The Early Universe as a Probe of New Physics

by

Christopher Shane Bird

B.Sc. University of Victoria 1999 M.Sc. University of Victoria 2001

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Abstract

The Standard Model of Particle Physics has been verified to unprecedented precision in the last few decades. However there are still phenomena in nature which cannot be explained, and as such new theories will be required. Since terrestrial experiments are limited in both the energy and precision that can be probed, new methods are required to search for signs of physics beyond the Standard Model. In this dissertation, I demonstrate how these theories can be probed by searching for remnants of their effects in the early Universe. In particular I focus on three possible extensions of the Standard Model: the addition of massive neutral particles as dark matter, the addition of charged massive particles, and the existence of higher dimensions. For each new model, I review the existing experimental bounds and the potential for discovering new physics in the next generation of experiments.

For dark matter, I introduce six simple models which I have developed, and which involve a minimum amount of new physics, as well as reviewing one existing model of dark matter. For each model I calculate the latest constraints from astrophysics experiments, nuclear recoil experiments, and collider experiments. I also provide motivations for studying sub-GeV mass dark matter, and propose the possibility of searching for light WIMPs in the decay of B-mesons and other heavy particles.

For charged massive relics, I introduce and review the recently proposed model of catalyzed Big Bang nucleosynthesis. In particular I review the production of ⁶Li by this mechanism, and calculate the abundance of ⁷Li after destruction of ⁷Be by charged relics. The result is that for certain natural relics CBBN is capable of removing tensions between the predicted and observed ⁶Li and ⁷Li abundances which are present in the standard model of BBN.

For extra dimensions, I review the constraints on the ADD model from both astrophysics and collider experiments. I then calculate the constraints on this model from Big Bang nucleosynthesis in the early Universe. I also calculate the bounds on this model from Kaluza-Klein gravitons trapped in the galaxy which decay to electron-positron pairs, using the measured 511 keV γ -ray flux.

For each example of new physics, I find that remnants of the early Universe provide constraints on the models which are complimentary to the existing constraints from colliders and other terrestrial experiments.

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Chapter 1

Introduction

The Standard Model has been very successful for the last three decades, with numerous experiments confirming the existence of several particles and measuring the fundamental parameters to increasing precision. In spite of many dedicated searches for new physics at high energy colliders, as yet there has been no confirmed data which is inconsistent with with Standard Model.

However this success does not extend to explaining cosmological data. For example, the WMAP satellite [8, 9] which measured anisotropy in the cosmic microwave background, and experiments studying both supernovae and large scale structure in the Universe, have provided strong evidence for the existence of at least two new forms of energy confirming the results of previous astrophysics experiments. The first of these is an electrically neutral form of matter referred to as *dark matter* comprising 23% of the energy content of the Universe, whose existence had been previously inferred from the discrepancy between luminous mass and gravitation masses of galaxies and more recently in the observed gravitational lensing of the bullet clus-

ter [10]. The other new form of energy, which is referred to as *dark energy*, has a negative pressure and comprises 73% of the energy content of the universe, and was originally detected in supernovae surveys [11, 12]. Astrophysics experiments have also indicated excess positrons in the galaxy [13], ultra-high energy cosmic rays ¹ [15, 16], and a net baryon number in the Universe (which can be measured both in the CMB and in comparisons of the predictions of Big Bang Nucleosynthesis to observed abundances of light elements). None of these phenomena can currently be resolved within the context of the Standard Model.

In addition to these experimental anomalies, the Standard Model also fails to explain why gravity is fifteen orders of magnitude weaker than the other forces, why there exists three generations of particles, and several other problems related to the underlying theory. There are numerous proposals which try to solve these problems, but as yet none have been confirmed by experiments. Furthermore economical and technological constraints restrict both the energy and precision which can be probed directly in either current or next generation of collider experiments.

However nature has provided an alternate laboratory in the search for new physics in the form of the early Universe. Moments after the big bang, the energy scales involved in typical particle reactions were well in excess of those accessible to terrestrial experiments, allowing for previously undetected physical phenomena to have an effect on the evolution and particle content of the Universe. If these effects leave a signature which can be studied in modern times, then they may provide evidence for the existence and nature of new physics.

¹Recent preliminary results from the Auger observatory have suggested that the source of these ultra-high energy cosmic rays are not isotropic, and may instead be produced in active galactic nuclei, which would indicate that new physics may not be required to explain them[14].

In this dissertation, I present several examples of physics beyond the Standard Model that will have an effect on the early universe, including many models which my collaborators and I have developed in previous published papers. I also present several new methods of searching for the effects of these models in the modern Universe, including both methods which my collaborators and I originally published and methods which I have developed for this dissertation, which are previously unpublished. As will be demonstrated, each of these new methods provides either stronger constraints on new physics models than previously existed, or allows existing experiments to probe new regions of the parameter space for each model.

In Chapter 2, I review the motivation for dark matter and present several simple models. Although the models presented involve minimal extensions of the Standard Model, they also serve as effective theories for more complicated models and the bounds presented can be applied to other dark matter candidates. In Section 2.6, I present the motivations for the special case of light dark matter, involving sub-GeV dark matter, and the possibility of detection in B-meson experiments as originally published in:

- C.Bird, P. Jackson, R. Kowalewski and M. Pospelov, "Search for dark matter in b → s transitions with missing energy", Phys. Rev. Lett. 93, 201803 (2004), [arXiv:hep-ph/0401195].
- C.Bird, R. Kowalewski and M. Pospelov, "Dark matter pair-production in b → s transitions", Mod. Phys. Lett. A 21, 457 (2006) [arXiv:hep-ph/0601090].

With the exception of the Minimal Model of Dark Matter (MDM) presented in Section 2.2.1, which was previously published in Ref [17, 18, 19], all of the models represent original research. The constraints on each model, which are derived from existing experimental data as well as updated bounds on the MDM, also constitute original research.

In Chapter 3, I review the existing bounds on long lived charged relics which may exist in the Universe, and how the presence of metastable charged particles could affect the predictions of Big Bang nucleosynthesis. The possibility that charged particles could catalyzed the standard reactions in Big Bang nucleosynthesis (BBN) was originally published in Ref [20], and the resulting constraints from Catalyzed BBN on charged relics are reviewed. In particular, I calculate the effect of charged particles on the primordial Lithium-7 and Beryllium-7 abundances, and demonstrate how the presence of charged particles during nucleosynthesis could catalyze the destruction of these elements. This work was originally published in:

 C. Bird, K. Koopmanns, M. Pospelov, "Primordial Lithium Abundance in Catalyzed Big Bang Nucleosynthesis", Phys. Rev. D 78, 083010 (2008) , arXiv:hep-ph/0703096v3]

Using the measured ⁷Li abundance, which is known to be smaller than the abundance predicted in the standard BBN, constraints on the lifetime and abundance of the charged relic are derived and compared with previously published constraints derived from catalyzed production of ⁶Li .

In Chapter 4, I review the motivations for introducing extra dimensions into spacetime as well as the existing constraints on higher dimensions from both collider experiments and astrophysics experiments. I derive new bounds on the size of nonwarped extra dimensions by calculating the abundance of Kaluza-Klein gravitons in such models, and comparing this result to limits derived from the comparison of BBN predictions to the observed abundance of primordial ⁶Li . This calculation and constraints were originally published in:

 R. Allahverdi, C. Bird, S. Groot Nibbelink and M. Pospelov, "Cosmological bounds on large extra dimensions from non-thermal production of Kaluza-Klein modes", Phys. Rev. D 69, 045004 (2004) [arXiv:hep-ph/0305010].

In addition, I demonstrate the Kaluza-Klein gravitons produced in the early Universe could become trapped in the galaxy, and decay in the present. These decays produce both γ -rays and positrons, with the positrons annihilating to produce an observable flux of 511 $keV \gamma$ -rays. By comparison with the 511 keV flux observed by the INTEGRAL satellite, I derive new constraints on the size of the extra dimensions. These calculations represent original research which is previously unpublished.

Through these three classes of physics beyond the Standard Model, I will introduce and demonstrate a variety of methods in which new theories can be probed by examining both their effects on the early Universe and the remnant signatures that they have left in the modern Universe. As will be shown throughout this dissertation, the effects of new physics in the early Universe can be used to probe phenomena that are beyond the reach of terrestrial experiments.

Chapter 2

Dark Matter

2.1 Overview

One of the oldest and most important problems in modern cosmology is the missing mass of the Universe. Baryonic matter, such as luminous matter in the form of stars and nebulae and non-luminous matter in the form of dust and planets, accounts for less than 5% of the total energy content [8] of the Universe. The remaining matter, which forms 23 % of the energy density of the Universe, is believed to be in the form of dark matter, and cannot be explained by the Standard Model¹.

The direct detection of dark matter and the determination of its properties is inhibited by the apparent weakness of its interactions. At present dark matter can only be detected through its gravitational effects, and therefore the nature of dark matter is still undetermined. Most models require dark matter to interact with the Standard Model through other forces as well, however studying dark matter with these other

¹It is possible to explain dark matter using massive neutrinos, however limits on the mass of the neutrinos in the Standard Model exclude them as the primary form of dark matter.

forces requires either collider experiments or nuclear scattering experiments, neither of which has yet detected a clear signal of dark matter.

There are several candidates for dark matter. The most common are in the form of weakly interacting massive particles (WIMPs). These as yet undetected particles are expected to by thermally produced in the early universe. If the particles were strongly interacting, then all WIMPs would have annihilated early in the history of the Universe, while WIMPs with no interactions are overproduced. The evolution of the Universe with WIMPs is well understood, and standard methods from cosmology (see for example Ref. [21]) can be used to precisely calculate the dark matter abundance.

In this chapter the properties of several dark matter candidates will be derived and presented. In Section 2.2, seven minimal models will be developed which rely on a minimum amount of new physics. Although these models are minimal, they represent effective models for more complicated dark matter models and the properties and constraints derived are generic. In Section 2.3, I calculate the dark matter abundance predicted by each model, and use this result and the observed dark matter abundance to constrain the parameter space of the model. In Section 2.4, I further constrain the parameter space of each model by calculating the cross-section for scattering of the WIMP from a nucleon, and comparing this result to the limits set by dedicated dark matter searches. In Section 2.5 I review the methods used at high energy particle colliders to search for invisible Higgs decays, which is the signal expected for each of the models presented in this dissertation. These results provide the region of parameter space for each model which can be probed by experiments such as the LHC and the Tevatron. Finally, in Section 2.6 I review both the motivations and limitations of sub-GeV WIMPs, and then derive constraints on light dark matter in each model using the abundance constraints and the current limits on the invisible decays of the B-meson. As will be demonstrated in this chapter, each of the minimal models has unique and interesting properties.

2.2 Minimal Models of Dark Matter

There are many interesting candidates for dark matter. In many of these models, the dark matter candidate is motivated by another, often more complicated theory, such as the lightest supersymmetric particle and Kaluza-Klein gravitons (motivated by the possible existence of higher dimensions). However it is also possible that dark matter is unrelated to any other theory, and is just a single new particle or a few new particles.

In this section, I present several minimal models of dark matter in which only a few new particles are added to the Standard Model². In addition, in each model the WIMP is made stable by only allowing interactions containing an even number of WIMPs.

These models are simple, yet provide an explanation for the effects of dark matter, and can also be used as effective theories for more complicated dark matter models. The models considered in this dissertation are:

- Model 1: Minimal Model of Dark Matter (MDM)
 - In this model, a single scalar field is added to the Standard Model, which

 $^{^{2}}$ A complete review of all minimal models is beyond the scope of this dissertation, and as such I will only include models in which the interaction with the Standard Model is provided by a Higgs or Higgs-like boson.

couples only to the Standard Model Higgs boson. This represents the simplest model of dark matter that can produce the observed abundance.

• Model 1b: Next-to-Minimal Model of Dark Matter

- This model is identical to MDM, but introduces a second scalar field which couples to the scalar WIMP and mixes with the Higgs boson.

• Model 2: Minimal Model of Dark Matter with Two Higgs Doublet

- The simplest Higgs model involves a single Higgs boson, but this may not be the correct model of nature. There exist several models which include two Higgs bosons, with one coupled to up-type quarks and one coupled to downtype quarks and leptons. This model of dark matter introduces a scalar WIMP which can couple to one or both of these Higgs bosons.

• Model 3: Minimal Model of Fermionic Dark Matter (MFDM)

- In this model, a Majorana fermion WIMP is added to the Standard Model. However the fermion in this model cannot couple directly to the Higgs boson, and so an additional scalar field is required to mediate the interactions between dark matter and the Standard Model.

- Model 4: Minimal Model of Fermionic Dark Matter with Two Higgs Doublets
 As with Model 2, it is possible that there are two different Higgs bosons. In this model a Majorana fermion WIMP is the dark matter candidate, which can couple to one or both of these Higgs fields.
- Model 4b: *Higgs-Higgsino Model*
 - In supersymmetric models, each Higgs boson is accompanied by a fermionic

partner, the Higgsino. In this model a fermionic WIMP is coupled to both the Higgs and the Higgsino.

• Model 5: Dark Matter in Warped Extra Dimensions

- In models with warped extra dimensions, there is an additional field known as the radion which has similar properties to the Higgs boson. In this model either a scalar or fermion WIMP is added to the Standard Model, but with no Standard Model interactions. Instead the gravitational forces mediate interactions with the Standard Model through via the radion.

In this section each model will be developed, with constraints and experimental sensitivities given in the following sections.

2.2.1 Model 1: Minimal Model of Dark Matter

The minimal model of dark matter introduces a singlet scalar to the Standard Model [17, 18, 19], which interacts with the Standard Model through the exchange of a Higgs boson. This represents the simplest model which can explain the properties of dark matter.

The Lagrangian for this model is given by

$$-L_{S} = \frac{m_{0}^{2}}{2}S^{2} + \frac{\lambda_{S}}{4} + \lambda S^{2}H^{\dagger}H$$

$$= \frac{m_{0}^{2} + \lambda v_{ew}^{2}}{2}S^{2} + \frac{\lambda_{S}}{4} + \lambda v_{ew}hS^{2} + \frac{\lambda}{2}S^{2}h^{2}$$
(2.1)

where H is the Standard Model Higgs doublet, $v_{ew} = 246 \ GeV$ is the Higgs vacuum expectation value, and h is the corresponding Higgs boson, with $H = (0, (v_{ew} + v_{ew}))$

 $h)/\sqrt{2}$). The physical mass of the scalar is $m_S^2 = m_0^2 + \lambda v_{ew}^2$.

As will be demonstrated in later sections, the coupling constant and the Higgs mass appear together in each calculation. As such, the model is reparameterized using

$$\kappa^2 \equiv \lambda^2 \left(\frac{100GeV}{m_h}\right)^4 \tag{2.2}$$

where m_h is the Higgs boson mass.

2.2.2 Model 1b: Next to Minimal Model of Dark Matter

It is also possible that the scalar WIMP has no interactions with the Standard Model particles. In this case, a next-to-minimal model of dark matter can be constructed in which the scalars are coupled to a second singlet scalar, U. Since the WIMPs must annihilate to Standard Model particles, this new intermediate scalar must couple to the Standard Model. However existing experimental bounds restrict a direct coupling of U to Standard Model fermions or gauge bosons. Therefore in this model the U-boson is taken to mix with the Standard Model Higgs field.

The Lagrangian for this model is

$$-\mathcal{L}_{S} = \frac{\lambda_{S}}{4}S^{4} + \frac{m_{0}^{2}}{2}S^{2} + (\mu_{1}U + \mu_{2}U^{2})S^{2} + V(U) + \eta'U^{2}H^{\dagger}H$$

$$= \frac{m_{S}^{2}}{2}S^{2} + \frac{m_{u}^{2}}{2}u^{2} + \mu_{u}S^{2} + \eta_{v_{EW}}uh + \dots,$$
(2.3)

where in the second line only the mass terms and relevant interaction terms are listed,

and where u is the excitation of the U-boson field above its vacuum expectation value,

$$U = +u$$

The final term in the second line of Eq. 2.3 gives the mixing between u and h.

If this mixing is significant, the existing bounds on the higgs mass would also place a lower bound on the mass of the u-boson. If the u-boson is light, then it is possible that it could violate existing experimental bounds. It is also possible that a light u-boson could contribute as a second component of dark matter. Therefore in this dissertation it is assumed that $m_u \gg m_h, m_s$. However this region of parameter space is identical to the MDM, with the redefinition

$$\kappa^2 \equiv \frac{\mu^2 \eta^2}{m_u^4} \left(\frac{100 \text{ GeV}}{m_h}\right)^4 \tag{2.4}$$

and as a result, all of the experimental bounds and searches for the scalar in the minimal model also apply to the scalar WIMP in the next-to-minimal model.

2.2.3 Model 2: Minimal Model of Dark Matter with 2HDM

Another possible extension of the minimal model of dark matter is the addition of another Higgs particle. One motivation for this model is to allow more freedom in the properties of the Higgs mechanism. Although the Standard Model can be viable with a single Higgs field, there is no evidence from experiment or from theoretical predictions for there to exist only one type of Higgs. Furthermore, the existence of a second Higgs doublet is required in supersymmetric models to avoid both a gauge anomaly and to allow both the up and down type quarks to have Higgs couplings.

There are a few different common two-higgs doublet models, with different Standard Model particles coupled to each of the Higgs bosons. In this dissertation, the Type-II model is used in which one Higgs is coupled to the up type quarks and the second one is coupled to down type quarks and leptons, and which is the model required for the minimal model of supersymmetry³.

In contrast to the Standard Model Higgs, the vacuum expectation values for the 2HDM are not known, with the only constraint being $v_u^2 + v_d^2 = v_{ew}^2$. Due to this constraint, it is common to use the parameter $\tan \beta \equiv v_u/v_d$. Furthermore, since the mass ratio of top and bottom quarks is proportional to v_u/v_d , it is also common to take $\tan \beta$ to be large [23, 24, 25] so that the Yukawa couplings for top and bottom type quarks are similar in magnitude.

The other motivation for this model of dark matter is in the possibility of light WIMPs. As will be outlined in Section 2.6, there are several experiments whose results could be interpreted as evidence of lighter WIMPs, with masses in the O(1 GeV) range. In the minimal model of dark matter, the mass of the scalar WIMP receives a contribution from the Higgs vev of O(200 GeV), $m_{DM}^2 = m_0^2 + \lambda_S v_{ew}^2$, and therefore a sub-GeV WIMP requires significant fine-tuning of m_0 and λ_S to reduce the mass by the required two orders of magnitude. In the 2HDM model, the corresponding correction to the WIMP mass can be of order $v_d \sim O(1 \text{ GeV})$ and therefore it may require very little fine-tuning.

In this section I will introduce three special cases of the minimal model of dark matter with 2HDM. In general the dark matter couplings to the Higgs bosons will

³For a more detailed review of the Type-II model see, eg. Ref[22]

be of the form

$$-\mathcal{L} = \frac{m_0^2}{2}S^2 + \lambda_1 S^2 (|H_d^0|^2 + |H_d^-|^2) + \lambda_2 S^2 (|H_u^0|^2 + |H_u^+|^2) + \lambda_3 S^2 (H_d^- H_u^+ - H_d^0 H_u^0)$$
(2.5)

However unlike the minimal model of dark matter, this model has too many unknown parameters to be fully constrained by the dark matter abundance. However the most interesting properties of this model are observable in certain special cases. The three special cases which will be studied in this dissertationare those in which a single λ_i is taken to be non-zero. In particular, the special cases are:

Case 1 corresponds to $\lambda_1 \gg \lambda_2, \lambda_3$ or a scalar WIMP which interacts with down-type quarks and leptons through Higgs mediation.

Case 2 corresponds to $\lambda_2 \gg \lambda_1, \lambda_3$ or a scalar WIMP which interacts with up-type quarks through Higgs mediation. In this case, the Higgs vev which appears in all the calculations in close to v_{SM} , and for most of the WIMP mass range H_u decays predominantly to the weak bosons as in the single Higgs model. As a result, this case is almost identical to the minimal model of dark matter presented in Section 2.2.1.

Case 3 corresponds to $\lambda_3 \gg \lambda_1, \lambda_2$ or a scalar WIMP which interacts with both up and down-type quarks and leptons through Higgs mediation.

In the general model, the physical mass of the scalar is given by

$$m_S^2 = m_0^2 + \lambda_1 v_d^2 + \lambda_2 v_u^2 - \lambda_3 v_u v_d$$
(2.6)

In the special case of tan β large, and $\lambda_1 \gg \lambda_2, \lambda_3$ (*Case 1*), the scalar mass is

$$m_S^2 = m_0^2 + \frac{\lambda_1 v_{ew}^2}{\tan^2 \beta} \tag{2.7}$$

which, unlike the previous models, can be of order $O(1 \ GeV)$ without significant fine-tuning. For the case of $\lambda_3 \gg \lambda_1, \lambda_2(Case \ 3)$ and large $\tan \beta$, the mass is

$$m_S^2 = m_0^2 + \frac{\lambda_3 v_{ew}^2}{\tan\beta} \tag{2.8}$$

which can also be small without requiring significant fine-tuning. The third case, in which λ_2 dominates (*Case 3*), is nearly identical to the MDM and cannot contain sub-GeV WIMPs without significant fine-tuning.

2.2.4 Model 3: Minimal Model of Fermionic Dark Matter

The models discussed previously have used scalar dark matter. However there are no observed scalars in nature, and many candidates for dark matter are fermionic. For this reason, minimal models containing fermion WIMPs also need to be considered.

As with the minimal model of scalar dark matter presented in Section 2.2.1, it is possible to construct a minimal model of fermionic dark matter [26]. However in this case a new scalar must be introduced as well to mediate the interaction between the WIMP and the Higgs ⁴. The Lagrangian for this model is

⁴Although it is possible to construct a minimal model without this additional scalar field, the resulting model in non-renormalizable.

$$L = \frac{1}{2} \partial_{\mu} \Phi \partial^{\mu} \Phi - \frac{M_{2}^{2}}{2} \Phi^{2} + \frac{1}{2} \chi i \not \partial \chi - \frac{M_{3}}{2} \chi^{2} - \frac{\lambda_{1}}{2} \Phi \chi^{2} - \lambda_{2} \Phi H^{\dagger} H - \frac{\lambda_{3}}{2} \Phi^{2} H^{\dagger} H - \frac{\lambda_{4}}{6} \Phi^{3} - \frac{\lambda_{5}}{24} \Phi^{4}$$
(2.9)

The first constraint imposed on this model is the requirement that it have a stable vacuum state. If it does not contain a stable vacuum, it cannot be a realistic model. The potential for this model in the unitary gauge, $\sqrt{2}H^{\dagger} = (h, 0)$, is

$$V = \frac{M_2^2}{2} \Phi^2 + \frac{M_3}{2} \chi^2 + \frac{\lambda_1}{2} \Phi \chi^2 + \frac{\lambda_2}{2} \Phi h^2 + \frac{\lambda_3}{4} \Phi^2 h^2 + \frac{\lambda_4}{6} \Phi^3 + \frac{\lambda_5}{24} \Phi^4 + \frac{\lambda_h}{4} (h^2 - v_0^2)^2$$
(2.10)

where the final term is the usual potential for the Higgs boson, but with v_0 an arbitrary parameter instead of v_{ew} . This potential is bounded from below if

$$\lambda_5, \lambda_h > 0 \qquad \lambda_h \lambda_5 > 6\lambda_3^2 \tag{2.11}$$

or if

$$\lambda_5 = \lambda_4 = 0 \qquad \lambda_h > 0 \qquad \lambda_3 + 4M_2^2 > 0 \tag{2.12}$$

The minimum of this potential is

$$<\chi>=0 \quad = v_{ew} = \pm v_0 \sqrt{1 - \frac{2\lambda_2 w + \lambda_3 w^2}{\lambda_h}} \quad <\Phi>\equiv w$$
 (2.13)

where w is the solution of the cubic equation

$$\lambda_2 < h >^2 + (M_2^2 + \lambda_3 < h >^2)w + \frac{\lambda_4}{2}w^2 + \frac{\lambda_5}{6}w^3 = 0$$
(2.14)

and where $\langle h \rangle = v_{ew}$, as is required in the Standard Model.

Because of the mixing terms, h and ϕ do not represent physical fields. Instead the physical particles are linear combinations of the two states, which we will denote by $\phi_1 = h \cos \theta + \phi \sin \theta$ and $\phi_2 = \phi \cos \theta - h \sin \theta$, and the Lagrangian is of the form

$$L = \frac{1}{2} \partial_{\mu} \phi_{1} \partial^{\mu} \phi_{1} - \frac{m_{1}^{2}}{2} \phi_{1}^{2} + \frac{1}{2} \partial_{\mu} \phi_{2} \partial^{\mu} \phi_{2} - \frac{m_{2}^{2}}{2} \phi_{2}^{2} + \frac{1}{2} \chi i \partial \chi - \frac{m_{\chi}}{2} \chi^{2} - \frac{\eta_{1} \sin \theta}{2} \phi_{1} \chi^{2} - \frac{\eta_{1} \cos \theta}{2} \phi_{2} \chi^{2} - \frac{\eta_{3}}{2} \phi_{1}^{2} \phi_{2} - \frac{\eta_{4}}{2} \phi_{2}^{2} \phi_{1} - \frac{\eta_{5}}{4} \phi_{1}^{2} \phi_{2}^{2} - \frac{\eta_{6}}{6} \phi_{1}^{3} - \frac{\eta_{7}}{6} \phi_{2}^{3} - \frac{\eta_{8}}{24} \phi_{1}^{4} - \frac{\eta_{9}}{24} \phi_{1}^{4}$$

$$(2.15)$$

The couplings to the Standard Model are taken to be the usual Higgs couplings, with $h = \phi_1 \cos \theta - \phi_2 \sin \theta.$

For the remainder of this section, it will be assumed that $m_2 \gg m_1, m_{\chi}$. As a result, the last three terms in the Lagrangian will not contribute to the annihilation or scattering cross-sections at tree level, and can be omitted. This requirement, although not required for the model, ensures that only the fermion contributes significantly to the dark matter abundance, and that existing experimental bounds on new forces below the electroweak scale are not violated.

2.2.5 Model 4: Fermionic Dark Matter with 2HDM

The model presented in this section is similar to the model presented in Section 2.2.3, but in this model the WIMPs are Majorana fermions. As in that section, it is assumed in this model the there exist two Higgs doublets, with one Higgs coupled only to up-type quarks and one coupled only to down-type quarks and leptons.

As in the previous section, the fermions cannot couple directly to the Higgs but must instead couple through an intermediate boson,

$$-\mathcal{L} = \frac{m_0^2}{2} \overline{\chi} \chi + \frac{m_U^2}{2} U^2 + \mu U \overline{\chi} \chi + \eta_1 U^2 (|H_d^0|^2 + |H_d^-|^2) + \eta_2 U^2 (|H_u^0|^2 + |H_u^+|^2) + \eta_3 U^2 (H_d^- H_u^+ - H_d^0 H_u^0) + \eta_U U^4$$
(2.16)

After symmetry breaking, the relevant terms reduce to

$$-\mathcal{L} = \frac{m_{\chi}^2}{2} \overline{\chi} \chi + \lambda_1 v_d H_d \overline{\chi} \chi + \lambda_2 v_u H_u \overline{\chi} \chi + \lambda_3 v_u H_d \overline{\chi} \chi \qquad (2.17)$$

assuming that $M_U >> m_H, m_{\chi}$.

As in Section 2.2.3, three special cases of this model will be considered corresponding to a single λ_i dominant. In this dissertation, only the special cases of λ_1 and λ_3 dominant will be studied. As will be seen in Section 2.6, the special case of λ_3 dominant is particularly interesting as it produces sub-GeV fermionic dark matter. The special case of λ_2 dominant is similar to the minimal model of fermionic dark matter presented in Section 2.2.4, and will not be studied further.
2.2.6 Model 4b: Higgs-Higgsino Model

Another simple form of fermionic dark matter is a Majorana fermion coupled to a Higgs-Higgsino pair. This model is inspired by supersymmetry, in which each Higgs boson is accompanied by a fermion field known as a *Higgsino*. However in this model the Higgsino is only assumed to be a fermion field with an $SU(2) \times U(1)$ charge, with the quantum numbers of a Higgs, without requiring the presence of supersymmetry. In this model, the dark matter is the Majorana fermion, which is analogous to the neutralino in supersymmetric models. This model exhibits the basic properties of many supersymmetric models of dark matter, without the additional complications that are present in such models.

The terms of the Lagrangian for this model which are relevant for these calculations are

$$-L_f = \frac{1}{2}M\overline{\psi}\psi + \mu\overline{\overline{H}_d}\overline{H}_u + \lambda_d\overline{\psi}\overline{H}_dH_d + \lambda_u\overline{\psi}\overline{H}_uH_u$$
(2.18)

where \bar{H}_d , \bar{H}_u are the Higgsino fields. In this model it is also assumed that $M \ll \mu$, $\lambda_u v_u$, and as before $\tan \beta$ is assumed to be large.

The physical fields in this model are linear combinations of the fields given in Eq 2.18. The dark matter candidate is

$$\chi = -\psi\cos\theta + \bar{H}_d\sin\theta \qquad \sin^2\theta \equiv \frac{\lambda_u^2 v_u^2}{\lambda_u^2 v_u^2 + \mu^2}$$
(2.19)

$$m_1 = M \cos^2 \theta$$

which is the lightest mass eigenstate. The terms in the effective Lagrangian which

describe the mass and interactions of this state are

$$L_{eff} = \frac{1}{2} m_1 \overline{\chi} \chi - \lambda_d \sin \theta \cos \theta H_d \overline{\chi} \chi \qquad (2.20)$$

At energy scales significantly smaller than m_U , which is taken to be large, this model then reduces to the model in Section 2.2.5, with $\lambda_d \sin \theta \cos \theta$ corresponding to λ_1 . The 2HDM+fermion model does include an additional effective two Higgs - two fermion coupling which is not significant in the tree-level annihilation crosssections for WIMPs lighter than m_h , but which will become important in searching for light dark matter in B-meson decays, in which Higgs loops are present, as shown in Section 2.6.7. As a result, the constraints from abundance calculations, dedicated dark matter searches, and collider searches for the 2HDM+fermion model also apply to this model with the reparameterization

$$\kappa \equiv \left(\frac{\lambda_d \lambda_u v_u \mu}{\lambda_u^2 v_u^2 + \mu^2}\right) \left(\frac{100 \ GeV}{M_H}\right)^2 \left(\frac{\tan\beta}{100}\right)$$
(2.21)

while the constraints on light dark matter from B-meson decays will be different for the two models.

2.2.7 Model 5: Dark Matter & Warped Extra Dimensions

The models presented in the previous sections have used the Higgs boson to provide an interaction between the dark matter candidate and the Standard Model, as is required to produce the correct dark matter abundance. In this section I will introduce an alternative method, in which warped extra dimensions can effectively mediate WIMP annihilations. Since WIMPs cannot interact through electromagnetic or strong nuclear forces, and since interactions through weak nuclear forces are tightly constrained by experiments, it is tempting to consider WIMPs which only interact through gravity. However gravity is too weak to produce a significant abundance of thermally produced dark matter. If dark matter is produced in decays of heavy relics, then the gravitational interactions are too weak to produce efficient annihilation, and the result is an overabundance of dark matter. One possible exception is to produce dark matter in regions where gravity is stronger, such as in warped extra dimensions.

The possible existence of extra dimensions⁵ has become very popular in recent years [28, 29, 30], with the primary motivation for such models being a resolution of the *hierarchy problem*. The electroweak forces have couplings of the order $O(TeV^{-1})$, while gravitational couplings are of order $M_{PL}^{-1} = \sqrt{G_N} = 0.82 \times 10^{-16} TeV^{-1}$. However the Standard Model cannot explain this large difference in the strengths of the forces.

One explanation is that gravity exists in higher dimensions, effectively diluting the gravitational field relative to the other Standard Model fields. In these models, the Standard Model fields are trapped on a four-dimensional spacetime brane while gravity can propagate in higher dimensions as well. Gravitation experiments can probe these higher dimensions, and currently restrict the size of the non-warped extra dimensions to be less than $\sim O(0.1 \text{ mm})$ [31, 32]

The Randall-Sundrum model avoids these constraints by introducing a single extra dimension which is strongly warped [29, 30]. The spacetime metric for this model is

 $^{{}^{5}}A$ more complete review of the motivations for extra dimensions are presented in Chapter 4, along with several of the common models and constraints.

$$ds_{RS}^2 = e^{-2k\phi|y|} \eta_{\mu\nu} dx^{\mu} dx^{\nu} - \phi(x_{\mu})^2 dy^2$$
(2.22)

where $\phi(x_{\mu})$ behaves in the same manner as a scalar field trapped on the brane, and is referred to as the *radion*. As a result of this exponential warping, the extra dimension could be large or non-compact without violating constraints from gravitation experiments. In addition, the effective Planck mass M_{PL} , which determines the gravitation couplings on the brane, is reduced relative to the true Planck mass, M_* , by the relation

$$M_* \approx M_{PL} e^{-k\pi r_c} \tag{2.23}$$

where $r_c \equiv \langle \phi \rangle$ is the vacuum expectation value of the radion field. In this model, M_* can be as small as 1 TeV while $M_{PL} = 1.22 \times 10^{16} TeV$.

There are a number of possible candidates for dark matter which are naturally contained in extra dimensional models.For example, when the gravitational field propagates in the higher dimensions, it can only have certain energy levels or modes due to the boundary conditions on the extra dimension. Each of these modes has the same properties as a massive particle trapped on the brane, and this effective particle is referred to as a *Kaluza-Klein graviton* or a *Kaluza-Klein mode*. Another possibility is that the brane on which the SM fields are trapped can fluctuate in the higher dimensions, forming bumps in the brane. These fluctuations can also behave like particles trapped on the brane, referred to as *branons*. In the early Universe, the KK gravitons and the branons can be formed both in the decay of other particles and in the annihilations of Standard Model particles. In the same manner that WIMPs freeze-out of thermal equilibrium to form a dark matter abundance, these effective particles can also freeze-out and replicate the effects of dark matter. These models have been studied extensively in Ref [33, 34] and Ref [35].

In this model, it is only assumed that the dark matter candidate is a new particle and not necessarily an effect of the extra dimensions. It is also assumed that this new particle accounts for the entire dark matter abundance, although it is possible that the observed abundance is a combination of WIMPs and Kaluza-Klein gravitons or branons.

In the previous sections, a minimal number of new particles were introduced, which were then coupled to the Standard Model through the exchange of a Higgs boson. In this section, I again introduce a single new particle ⁶, but now couple it to the Standard Model through the exchange of a Randall-Sundrum radion.

Since gravitons and radions naturally couple to the energy-momentum tensor, the WIMPs naturally interact with the Standard Model without requiring additional interactions. This has the additional benefit of removing one parameter from the model, as the WIMP-gravity coupling is proportional to the WIMP mass instead of an arbitrary coupling constant. Although these properties are also present in models without extra dimensions, in those cases the gravitational interaction is too weak to efficiently annihilate WIMPs in the early Universe, with typical annihilation cross sections being of order $\sigma_{ann} \sim O(m_{dm}^2 M_{PL}^{-4})$. Since the Planck mass is several orders of magnitude lower in the Randall-Sundrum model, the annihilation cross-section is much larger in the presence of warped extra dimensions and the WIMPs can annihilate efficiently.

 $^{^{6}\}mathrm{In}$ this section both a scalar and a fermion are added to the Standard Model, however these are to be considered as two separate models for dark matter

In this section I introduce two models. The first model is a singlet scalar WIMP, with no non-gravitational interactions, and with Lagrangian

$$L = \frac{1}{2} (\partial_{\mu} S)^2 - \frac{1}{2} m_S^2 S^2$$
(2.24)

The second model is similar, except the WIMP is a Majorana fermion. The Lagrangian for the second model is,

$$L = \frac{1}{2}\bar{\chi}\partial\!\!\!/ \chi - \frac{m_{\chi}}{2}\bar{\chi}\chi \qquad (2.25)$$

As outlined in Ref [36], in the Randall-Sundrum model, the radion couples to the trace of the energy-momentum tensor, denoted by Θ^{μ}_{μ} ,

$$L_{int} = \frac{\phi}{\Lambda_{\phi}} \Theta^{\mu}_{\mu} \tag{2.26}$$

where Λ_{ϕ} is the vacuum expectation value of the radion. The couplings of the radion to the Standard Model fields was derived in Ref [36], and for the case of strongly warped extra dimensions are similar to the Higgs couplings.

It should be noted that in the figures for this model, it is assumed that $\Lambda_{\phi} = v_{EW}$. While solving the hierarchy problem does require the size of the extra dimensions to be stabilized with $\Lambda_{\phi} \sim O(TeV)$ [37], there is no further restriction on its size. For comparison with the previous models which rely on a Higgs coupling, and following the examples in Ref [36], it will be assumed that $\Lambda_{\phi} = v_{EW}$ for the purpose of each calculation. The actual Λ_{ϕ} dependence included in an effective coupling constant,

$$\kappa \equiv \left(\frac{m_{S,f}}{1 \ TeV}^2\right) \left(\frac{v_{EW}}{\Lambda_{\phi}}\right)^2 \left(\frac{1 \ TeV}{M_{\phi}}\right)^2$$

where M_{ϕ} is the mass of the radion. It should also be noted that in the range of $m_{S,f} \gg \Lambda_{\phi}$ the couplings can become non-perturbative and therefore such heavy WIMPs are not considered in this model.

2.3 Abundance Constraints

The primary constraint on any proposed dark matter candidate is that it not overclose the Universe, so that the predicted energy density of dark matter should not exceed the energy density of the Universe. Furthermore, the dark matter density predicted by each model should be consistent with the observed value of $\Omega_{DM}h^2 =$ 0.1099 ± 0.0062 [9] measured by the WMAP satellite.

The most common mechanism for production of dark matter in the early Universe is through thermal production. The early Universe contained high energy fields in hot thermal equilibrium, with all species of particles being created and annihilating. As the Universe expanded and the temperature dropped, the density of each particle species decreased (due to dilution in an expanding universe) and the production and annihilation reaction rates lowered. At a certain temperature, referred to as the *freeze out temperature* and taken to be the temperature where $H \approx \Gamma_{ann} = \langle \sigma_{ann} v \rangle$ $\Omega_{DM}\rho_{cr}$ for each species, the WIMPs became too diffuse to effectively annihilate and the dark matter density froze out.

Using standard methods (see for example Ref. [21]), the dark matter abundance at freeze-out can be derived,

$$\Omega_{DM}h^2 = \frac{1.07 \times 10^9 x_f}{g_*^{1/2} M_{PL} \, GeV \, < \sigma_{ann}v >} \tag{2.27}$$

where $x_f = m/T_f$ is the inverse freeze out temperature in units of the WIMP mass, and g_* is the number of degrees of freedom available at freeze out. The annihilation cross-section term $\langle \sigma_{ann}v \rangle$ in this equation represents the thermal average of the cross-section and the relative velocity of the WIMPs at the time of freeze-out. From Eq 2.27 and the observed dark matter abundance, it follows that the annihilation cross section has to be $\sigma_{ann} \approx 0.7 \ pb$.

For most of the parameter space, the thermal average can be related to the crosssection by the formula

$$\sigma_{ann} = a + bv^2 \to <\sigma_{ann}v > = a + \frac{6bT}{m_{DM}}$$
(2.28)

where a and b represent the s-wave and p-wave parts of the cross-section. However near the resonances, such as occurs at $m_{DM} \sim m_h/2$ in the minimal model of dark matter, this formula fails because the cross-section cannot be written in the form given in Eq 2.28 due to the presence of the resonance. This formula also fails close to thresholds, where a particle with a slightly higher energy can annihilate to additional particles. In those mass ranges, the thermal average is given by [38]

$$<\sigma_{ann}v>=rac{m^{3/2}}{2\sqrt{\pi T^3}}\int_0^\infty e^{-mv^2/4T}\sigma_{ann}v^3dv$$
 (2.29)

This equation provides corrections to account for the highest energy particles in the thermal equilibrium which can annihilate either through a resonance or the particles heavier than the WIMPs. These effects widen the resonances in the annihilation



Figure 2.1: Generic Feynman diagram for annihilation of WIMPs, denoted here by χ . In this diagram, M is a mediator particle and X represents any Standard Model field.

cross-section, with the largest correct occurring for WIMPs whose masses are slightly below the resonance, and reduce the sharp increase in the cross section at the threshold for production of heavier particles.

It should also be noted that in general, the abundance must be calculated separately for two mass ranges. For WIMPs in the range $m_{DM} \gtrsim 2 \text{ GeV}$ the abundance freezes out before hadronization, meaning that the annihilation produces unbound quarks, leptons, and (for sufficiently heavy WIMPs) gauge bosons and Higgs pairs. For lighter dark matter, with $m_{DM} \lesssim 2 \text{ GeV}$, the WIMPs freeze out after hadronization, and therefore the annihilation produces hadrons as well as leptons, but not unbound quarks.

In addition, for each model there exists a lower bound on the WIMP mass that results from requiring the model to have perturbative couplings. This bound is called the *Lee-Weinberg limit* [39, 40]. As a result, it was originally believed that WIMPs could not be lighter than $m_{DM} \sim O(10 \text{ GeV})$. Since the annihilation crosssections for fermions are usually suppressed by a factor of m_{DM}^2/M^4 , where M is the mass of a mediator particle, light fermionic WIMPs would require new forces below the electroweak scale. However several recent papers have demonstrated that it is possible to produce O(GeV) mass WIMPs with the correct abundance using either scalar WIMPs [41, 42, 43, 26], or using certain models of fermionic WIMPs with enhanced annihilation cross-sections [26].

In this section, I derive abundance constraints for each of the minimal models presented in the last section. In each case the abundance is plotted separately for light dark matter, with the exception of the minimal model of fermionic dark matter in which light WIMPs are not possible and in the model of dark matter with warped extra dimensions, in which case light WIMPs are already excluded.

2.3.1 Model 1: Minimal Model of Dark Matter

For the minimal model of dark matter, the annihilation cross section is calculated using the diagrams in Figure 2.2. The cross section can then be written in terms of the decay width of a virtual Higgs boson,

$$\sigma_{ann}v_{rel} = \frac{8v_{EW}^2\lambda^2}{(4m_S^2 - m_h^2)^2 + m_h^2\Gamma_h^2} \quad \lim_{m_h \to 2m_s} \frac{\Gamma_{hX}}{m_h}$$
(2.30)

The Higgs decay width has been studied extensively in searches for the Higgs boson (for a review, see [22]), and writing the cross-section in this form then simplifies the abundance calculation.

For WIMPs in the range of $m_s \lesssim 60 \ GeV$ the annihilation cross-section is dominated by production of b-quarks and $\tau^+\tau^-$ pairs, while heavier WIMPs in the range of $m_s \gtrsim 85 \ GeV$ annihilate efficiently to W^+W^- and Z^0Z^0 pairs. It should also be



Figure 2.2: The Feynman diagram for the annihilation of scalar WIMPs in the Minimal Model of Dark Matter.In (a), the scalars annihilate via an intermediate Higgs boson to produce any Standard Model fields. For sufficiently heavy scalars, diagrams (b) and (c) also contribute to the annihilation of scalars into Higgs boson pairs.

noted that the peak in the annihilation cross-section corresponding to the production of an on-shell Higgs is located at the Higgs mass, which is currently unknown but is constrained to $m_h \ge 114 \ GeV[44, 45, 46]$ and $m_h \le 182 \ GeV$ [47], while data from the ALEPH detector may indicate $m_h \sim 115 \ GeV[48]$. In this calculation the Higgs mass will be taken to be $m_h = 120 \ GeV$. If the Higgs mass is different from this, the peak will be located in a different region and the corresponding lowering of the coupling constant, illustrated in Figure 2.3.1(a), will also move.

For sub-GeV WIMPs, this cross section depends on the decay width of a light Higgs, which was previously studied two decades ago [49, 50, 51]. However there exist uncertainties in the annihilation cross section due to the fact that previous calculations were done at zero-temperature, while the decay width used here is properly calculated at a finite temperature. In particular, it is unclear whether the resonances in the Higgs decay width will have an effect, since the thermal bath in the early Universe may significantly broaden the hadronic resonances. There also exist some uncertainty as to the temperature at which hadronization becomes important. Therefore in the abundance calculation, we introduce a range of decay widths corresponding to the zero temperature case and the high temperature case, with the true decay falling somewhere between these two extremes. The result is plotted in Figure 2.3.1b.

For scalars lighter than ~ 150 MeV, the main annihilation channel is to electrons and muons. In the range 150 $MeV \leq m_S \leq 350 \ MeV$ the annihilation cross-section is dominated by annihilation to pion pairs. The Higgs-pion coupling is calculated using the standard low-energy theorems [49]. It should also be noted that the requirement that the scalar abundance be equal to the observed dark matter abundance



Figure 2.3: Abundance constraints on the coupling and mass of the scalar in the minimal model of dark matter. The first plot gives the constraints for heavy WIMPs, while the second plot gives the approximate constraints for GeV scale WIMPs. For sub-GeV WIMPs, there is some uncertainty in the annihilation cross section related to the effects of non-zero temperature on resonant annihilation modes and the effects of annihilations during hadronization. The region above the curves corresponds to abundances below the observed dark matter abundance, but are not excluded.

requires the coupling to be large, with $\kappa \gtrsim \sqrt{4\pi}$, and as a result the theory would become non-perturbative.

In the range 350 $MeV \leq m_S \leq 650 \ MeV$ kaons and other bound strange quarks will begin to be produced, as well as several resonances. The most important of these is the $f_0(980)$ resonance, which creates an enhancement in the annihilation cross section at $m_S \sim 490 \ MeV$. However the width of this resonance is only known at zero temperature, whereas in the early Universe this resonance is important at $T \sim (0.05 - 0.1)m_S \approx (25 - 50) \ MeV$. The result of this higher temperature is to destroy a fraction of the resonances during the annihilation, which results in a weakening of the effect. For this reason, we have taken one extreme to be the narrowest resonance consistent with experimental bounds, which results in the largest cross-section, and the other extreme to be complete destruction of the resonance and no effect on the cross-section.

For WIMPs in the range 650 $MeV \leq m_S \leq 1 \ GeV$ the annihilation cross-section includes several resonances and numerous decay channels. Although the calculation cannot be done precisely in this range, it is reasonable to assume that there will be no significant source of suppression or enhancement of the cross-section in this range, and as such we extrapolate the cross-section in this region.

Above $m_S \sim 1 \ GeV$, the freeze-out temperature of the WIMPs is sufficiently high that hadronization has not occurred and the annihilation cross-section can be calculated using unbound quarks. However as before there is still some uncertainty in this calculation. At the threshold for charm quark production the temperature is just below the hadronization temperature, while at the threshold for D-meson production (the lightest bound state of a charm quark) the temperature is high enough to destroy these states. Therefore we take one extreme for the cross-section to be introduction of charm quarks at the lower threshold, and one extreme to be introduction of charm quarks only at the higher threshold. When the scalars are taken to heavier still, annihilation to τ -leptons also becomes important.

The total cross-section has been calculated, and using Eq 2.27, the abundance has been calculated. The results are plotted in Figure 2.3.1 in terms of the parameter,

$$\kappa \equiv \lambda \left(\frac{100 \; GeV)}{m_h}\right)^2 \tag{2.31}$$

Using the requirement of perturbative couplings, with $\kappa \lesssim \sqrt{4\pi}$, the range of $m_S \lesssim$ 300 MeV is excluded. As already mentioned in this section, there is uncertainty in the decay width of a virtual Higgs boson at low energies and non-zero temperatures, resulting in uncertainties in the constraint on κ for $m_S \lesssim 2 \ GeV$. For $m_S \sim m_h/2$, the scalars annihilate through the Higgs resonance, resulting in a larger cross-section, which then requires κ to be smaller in this region. It should also be noted that in most of the models in this section, the abundance constraints are only given for $m_S \lesssim 100 \ GeV$. The WIMPs could be heavier than this, with masses as high as a few TeV still being viable candidates for dark matter, however such WIMPs would be difficult to detect and are not expected to be well constrained by present experiments. Also in each of these plots, the region of parameter space above the lines corresponds to models which have an abundance lower than the observed dark matter abundance, although the scalar could still be one component of dark matter.

2.3.2 Model 2: Minimal Model of Dark Matter with 2HDM

The abundance calculation in this model proceeds in the same manner as in Section 2.3.1, with the Standard Model Higgs decay width replaced with the appropriate decay width for one of the higgses in the two higgs doublet. For the purpose of comparison with other models in this dissertation, it is assumed that each of the Higgs bosons has a mass of $m_H = 120 \ GeV$, although this assumption is not required. As with the minimal model of dark matter, if the mass of the Higgs is changed the constraints on the parameter κ remain the same, except for the position of the Higgs resonance (which appears as a dip located at $m_S \sim m_h/2$ in the plots below).

For the first special case, with $\lambda_1 \gg \lambda_2, \lambda_3$, the scalars annihilate via the H_d boson, which decays to leptons and down-type quarks. The abundance constraints are given in Figure 2.4. Since $v_d \ll v_{EW}$, the width of the Higgs resonance is increased resulting in a less apparent dip in the allowed value of κ when compared with the MDM results.

As mentioned before, when $\tan \beta$ is taken to be large the case of λ_2 dominant is very similar to the Minimal Model of Dark Matter, presented in Section 2.2.1. The difference is in the lack of annihilation to strange and bottom quarks when the WIMPs have masses of a few GeV. As a result, in this mass range the abundance constraints require κ to be significantly larger than in the MDM. Although there are uncertainties in the decay width of the virtual Higgs in this case, most of the parameter space which gives the correct dark matter abundance also requires non-perturbative couplings. For WIMPs heavier than a few GeV, the abundance constraints are identical to the MDM.



Figure 2.4: Abundance constraints for the minimal dark matter + 2 HDM, with $\lambda_1 \gg \lambda_2, \lambda_3$ and with $M_{H_d} = 120 \text{GeV}$.



Figure 2.5: Abundance constraints for the minimal dark matter + 2 HDM, with $\lambda_2 \gg \lambda_1, \lambda_3$ and with $M_{H_u} = 120 \text{GeV}$

The third case, with λ_3 dominant, is more interesting. In the large $\tan \beta$ limit, the scalars annihilate through H_d as in the first model, except the effective coupling of the WIMP is enhanced by a factor of $v_u/v_d = \tan \beta$. Therefore, using

$$\kappa = \lambda_3 \left(\frac{100 \text{ GeV}}{M_{H_d}}\right)^2 \left(\frac{\tan\beta}{100}\right)^2 \tag{2.32}$$

the abundance constraints are identical to those plotted in Figure 2.4, but two orders of magnitude smaller.

In summary, the three special cases considered are defined in a similar manner, but provide very different abundances. The case of λ_1 dominant allows for WIMPs with $m_S > 400 \ MeV$ to have perturbative couplings, and does not display as large a variation in the allowed values of κ over the entire mass range when compared to the other cases. When λ_2 is dominant, the range of $m_S \gtrsim 5 \ GeV$ is very similar to the minimal model of dark matter, but the lack of annihilations to leptons and strange quarks reduces the possibility of light WIMPs by requiring non-perturbative couplings for most of the parameter space. The final case of λ_3 dominant has a much smaller value of κ due to the tan β enhancement of the annihilation cross section, but otherwise has features identical to the case of λ_1 dominant. In the general case, where all three λ_i are of comparable magnitude, it is expected that the abundance constraint will resemble the third case, since the total cross-section is dominated by the $\lambda_3 v_u/v_d$ terms.



Figure 2.6: Feynman diagram for the annihilation of WIMPs in the Minimal Model of Fermionic Dark Matter. In this diagram, ϕ_1, ϕ_2 represent the higgs and higgs-like scalars of the theory and X represents any Standard Model particles.

2.3.3 Model 3: Minimal Model of Fermionic Dark Matter

As in the previous models, the abundance of dark matter in this model is calculated using the annihilation cross-section. In the MFDM, this cross section is

$$\sigma_{ann} = \frac{\eta_1^2 \sin^2 \theta \cos^2 \theta \sqrt{s - 4m_\chi^2}}{2} \left(\frac{(m_1^2 - m_2^2)^2 + (m_2 \Gamma_2 - m_1 \Gamma_1)^2}{((s - m_1^2)^2 + m_1^2 \Gamma_1^2)((s - m_2^2)^2 + m_2^2 \Gamma_2^2)} \right) \Gamma_{h \to X}$$
(2.33)

where Γ_1 and Γ_2 represent the decay widths for ϕ_1 and ϕ_2 respectively, and $\Gamma_{h\to X}$ represents to decay width for a virtual Higgs with mass $m_h = 2m_{\chi}$. The thermal average for the annihilation cross-section can be approximated as

$$<\sigma_{ann}v>\approx 6T\eta_1^2\sin^2\theta\cos^2\theta\left(\frac{(m_1^2-m_2^2)^2+(m_2\Gamma_2-m_1\Gamma_1)^2}{((s-m_1^2)^2+m_1^2\Gamma_1^2)((s-m_2^2)^2+m_2^2\Gamma_2^2)}\right)\Gamma_{h\to X}$$
(2.34)

Although this approximation is valid for most of the parameter space, it is not accurate if $m_{\chi} \sim m_1/2$, $m_{\chi} \sim m_2/2$, or if the fermion mass is close to the threshold for annihilations to heavier particles [38]. For these special cases, the thermal average has been calculated numerically using Eq 2.29.

The resulting bounds on the coupling constants are plotted in Figure 2.7, with the region of parameter space above the line allowed, but leading to an abundance lower than the observed dark matter abundance. The bounds are written in terms of the parameter

$$\kappa \equiv \eta_1 \cos\theta \sin\theta \left(\frac{100 \ GeV}{m_1}\right)^2 \tag{2.35}$$

as this combination appears in all of the relevant cross-sections for production, annihilation, and scattering of WIMPs.

It should also be noted that light dark matter is not possible in this model. Compared to the model presented in Section 2.2.1, the annihilation cross-section is suppressed by a factor of

$$\frac{\sigma_{fermion}}{\sigma_{scalar}} \sim \frac{m_{\chi}^2 v_{rel}^2}{m_u^2} \sim O(10^{-5}) \tag{2.36}$$

which results in a coupling strength of $\kappa \sim O(10^2 - 10^3)$, and is therefore nonperturbative.⁷ Requiring the coupling to be perturbative excludes $m_{\chi} \leq 25 \ GeV$.

⁷It is possible to produce light fermionic dark matter in this model when $m_u \ll v_{ew}$ and $\eta \ll \mu < 1$, but this region of parameter space has been extensively explored in searches for lighter higgs bosons and such a model would need significant fine-tuning.



Figure 2.7: Abundance constraints on the minimal model of fermionic dark matter. In contrast to the scalar dark matter models, fermionic dark matter requires non-perturbative couplings for masses of O(1 GeV), and therefore this range is omitted from the plot.

2.3.4 Model 4: Fermionic Dark Matter with 2HDM

As with the case of scalar WIMPs coupled to two Higgs doublets, this model contains multiple free parameters and as such the general model cannot be easily studied or plotted. Instead it can be examined through three special cases, corresponding to a single non-zero or dominant coupling constant λ_i for each case.

For the case of λ_2 dominant, the model reduces to the minimal model from the previous section, and the constraint is very similar to Figure 2.7. The difference between these two models is that in this model the WIMPs cannot annihilate to b-quarks pairs or to τ -leptons. However these effects are only significant for lighter WIMPs for which κ is already required to be non-perturbative.

The abundance constraints for the case of λ_1 dominant are given in Figure 2.8. As in the minimal model of fermionic dark matter, the coupling constant must be larger than in the analogous scalar model by a factor of $\sim m_u^2/(m_\chi < U >)$ and therefore sub-GeV WIMPs are not possible for the cases of λ_1 and λ_2 dominant, with the coupling constant only perturbative for $m_\chi \gtrsim 1.3 \text{ GeV}$ in the first case and $m_\chi \gtrsim 25 \text{ GeV}$ in the second case.

However the special case of $\lambda_3 \gg \lambda_1, \lambda_2$ results in a suppression of the coupling constants by a factor of $\tan^{-1}\beta \sim 0.01$. This suppression of the coupling constant by a factor of v_d/v_u allows for sub-GeV fermionic WIMPs without requiring κ to be non-perturbative. The abundance constraints are given in Figure 2.8, using the parameterization,

$$\kappa \equiv 2\lambda_3 \mu \left(\frac{v_{sm}w}{m_u^2}\right) \left(\frac{100 \ GeV}{M_H}\right)^2 \left(\frac{\tan\beta}{100}\right) \tag{2.37}$$



Figure 2.8: Abundance constraints on κ in the 2HDM plus fermionic WIMP model for the ranges (a) $m_{\chi} < 2 \ GeV$ and (b) $m_{\chi} \lesssim 100 \ GeV$, with λ_1 dominant. These abundance constraints also apply to the Higgs-Higgsino model.



Figure 2.9: Abundance constraints on κ in the 2HDM plus fermionic WIMP model for the ranges (a) $m_{\chi} < 2 \ GeV$ and (b) $m_{\chi} \lesssim 100 \ GeV$, with λ_3 dominant.

For the general case in which all of the coupling constants are of similar magnitude, the annihilation cross-section is still dominated by the λ_3 term due to the $(v_u/v_d)^2 \sim \tan^2 \beta$ enhancements, arising from the $\lambda_3 v_u$ coupling of the WIMP to H_d and the m_f/v_d couplings of H_d to the Standard Model fields.

2.3.5 Model 5: Dark Matter & Warped Extra Dimensions

The WIMPs in this model annihilate via a virtual radion, which subsequently decays into Standard Model fields. The annihilation cross-section can be written in terms of the radion decay width, given in Ref [36],

$$<\sigma_{s}v>=\frac{8M_{S}^{4}}{\Lambda_{\phi}^{2}}\frac{1}{(4M_{S}^{2}-M_{\phi}^{2})^{2}+M_{\phi^{2}}\Gamma_{\phi}^{2}}\left(\frac{\Gamma_{\phi\to X}}{M_{\phi}}\right)_{M_{\phi}\to 2M_{S}}$$
(2.38)

$$<\sigma_{f}v>=\frac{12m_{f}^{3}T}{\Lambda_{\phi}^{2}}\frac{1}{(4m_{f}^{2}-M_{\phi}^{2})^{2}+M_{\phi}^{2}\Gamma_{\phi}^{2}}\left(\frac{\Gamma_{\phi\to X}}{M_{\phi}}\right)_{M_{\phi}\to 2m_{f}}$$
(2.39)

where the first equation corresponds to scalar WIMPs and the second to fermionic WIMPs, and Λ_{ϕ} is the vacuum expectation for the radion field. As discussed in the introduction to this section, this form of the thermally average cross-sections is only valid when the WIMP mass is not close to the resonance in the radion propagator, and not close to a threshold for producing heavier Standard Model fields. For these regions the thermal average of the cross-sections are calculated numerically using Eq 2.29.

The dark matter abundance is calculated using Eq 2.27, and the results are plotted in Figure 2.11 in terms of the effective coupling constant



Figure 2.10: Feynman diagram for the annihilation cross section of WIMPs in the presence of warped extra dimensions. In this diagram, R represents the radion which acts as a mediator for the annihilation and S represents either scalar or fermionic WIMPs.



Figure 2.11: Abundance constraints on scalar (solid line) and fermionic (dashed line) WIMPs in the presence of warped extra dimensions.

$$\kappa \equiv \left(\frac{v_{EW}}{\Lambda_{\phi}}\right)^2 \left(\frac{m_{S,f}}{M_{\phi}}\right)^2 \tag{2.40}$$

For both scalars and fermions there is a lowering of κ at $m_{S,f} \sim 85 \ GeV$, due to the availability of annihilations to gauge bosons. This decay channel is efficient, leading to a larger cross-section and requires smaller values of κ to produce the correct dark matter abundance. It should be noted that, unlike the previous models, the coupling of the WIMPs to the radion is determined by the mass of the WIMP, and therefore the abundance constraints leave only the radion mass as a free parameter analogous to the Higgs mass in previous models.

Also unlike the previous models, the value of Λ_{ϕ} is unknown while the corresponding parameter in the previous models, v_{EW} is known from electroweak measurements. However in the reactions relevant to this model, Λ_{ϕ} only appears in the parameter κ and therefore variations in Λ_{ϕ} do not affect the constraints given for this model.

It should also be noted that, although one of these model includes scalar WIMPs, sub-GeV WIMPs are not possible. Requiring κ to be perturbative sets $m_S \gtrsim 35 \ GeV$ and $m_f \gtrsim 50 \ GeV$.

The calculations and results of this section demonstrate that the presence of warped extra dimensions can allow a WIMP to have no gauge or Yukawa interactions with other particles, but still annihilate efficiently through gravitational forces to provide the correct dark matter abundance.



Figure 2.12: A generic Feynman diagrams for elastic WIMP-nucleon scattering.

2.4 Dedicated Dark Matter Searches

At present, the primary method of searching for WIMPs is with dedicated dark matter detectors which search for the recoil of nuclei which results from from collisions with WIMPs. In each experiment, an array of semiconductor detectors is located in a shielded location, usually underground, and surrounded by detectors which measure either ionization, phonons, or photons which result from the scattering of WIMPs in the solar system with nuclei in the detectors.

Using various methods, experiments such as DAMA [52], CDMS [53, 3, 54], and XENON10 [4] have already reported upper bounds on the WIMP-nucleon elastic scattering cross section. Furthermore, the DAMA collaboration has claimed a positive signal of dark matter, although this result conflicts with exclusions set by other experiments.

For the models presented in this dissertation, the WIMP-nucleon scattering is mediated by a Higgs or Higgs-like particle. However the Higgs-nucleon coupling is not well known, and as such low energy theorems have to be used [55, 22].

The coupling of the Higgs to the quarks is defined as

$$L = -\sum_{i} \frac{m_i}{v_{EW}} h \bar{q}_i q_i \tag{2.41}$$

although the effect of the lightest quarks is negligible and can be omitted. The coupling to gluons, via heavy quark loops, is given by

$$L = \frac{\alpha_s N_H}{12\pi v_{EW}} G^a_{\mu\nu} G^{\mu\nu}_a h \tag{2.42}$$

where N_H is the number of heavy quark flavours that the Higgs can couple to. By equating the terms in this interaction to the trace of the QCD energy-momentum tensor,

$$\Theta^{\mu}_{\mu} = -\frac{\alpha_s (11 - 2/3N_L)}{8\pi} G^a_{\mu\nu} G^{\mu\nu}_a + \sum_{i=1}^{N_L} m_i \bar{q}_i q_i \qquad (2.43)$$

and using the known expectation value of the energy momentum-tensor for nucleons,

$$< N |\Theta^{\nu}_{\mu}| N >= m_N < N |\bar{\psi}_N \psi_N| N > \tag{2.44}$$

the Higgs-Nucleon coupling can be expressed in the form

$$< N|h|N> = \frac{2N_H m_N}{3(11 - 2/3N_L)v_{EW}} < N|\bar{\psi}_N\psi_N|N>h$$
 (2.45)

It should be noted however that this interaction fails to take into account the direct coupling of the Higgs to the small strange quark component in the nucleon⁸. The

⁸In some of the models presented in this dissertation, the type of Higgs boson involved does not couple to the strange quark, and therefore this correction is dropped

heavier top, bottom and charm quarks are more strongly coupled to the Higgs boson, however their abundance in the nucleon is almost non-existent. However virtual strange quarks in the nucleon, while having a smaller mass and therefore a weaker Higgs coupling, do have a significant effect on the nucleon Higgs coupling. Using the estimate [57, 58, 59]

$$< p|m_s\bar{s}s|p> \simeq 221 \pm 51 \ MeV < p|\bar{s}s|p> \tag{2.46}$$

gives the effective Higgs-nucleon coupling as

$$< N|h|N> = \frac{1}{v_{EW}} \left(\frac{2N_H m_N}{3(11 - 2/3N_L)} + \left(1 - \frac{2N_H}{3(11 - 2/3N_L)} \right) \frac{< p|m_s \bar{s}s|p>}{< p|\bar{N}N|p>} \right) \\ \times < N|\bar{\psi}_N\psi_N|N>h$$
(2.47)

The numerical value of this coupling for each type of Higgs will be given in the following sections.

In the following sections, the WIMP-nucleon scattering cross-section is calculated for each of the minimal model. For each model, this cross-section will then be compared to recent data from the CDMS and XENON10 experiments⁹. Although there are several other dedicated dark matter experiments as well, these are the three which currently provide the most stringent bounds on the scattering cross-section ¹⁰.

 $^{^9\}mathrm{Data}$ from DAMA is omitted, as the present bounds are weaker than the other two experiments for the models considered in this dissertation.

¹⁰The constraints given in the following sections are the most stringent as of January 2008. Recently new results have been released by CDMS [54] which are slightly stronger for $m_{DM} \gtrsim$ 50 GeV, however these new results do not significantly affect the results and have not been included in this dissertation.

2.4.1 Model 1: Minimal Model of Dark Matter

For the scalar WIMPs in this model, the elastic scattering cross section depends on the single diagram in Figure 2.12. This cross-section depends on the Higgsnucleon coupling, which is calculated using the low energy theorems outlined in the introduction to this section. For the Standard Model Higgs boson, the Higgs-nucleon coupling is approximated as

$$g_{hNN} \approx \frac{283 \pm 50 \text{ MeV}}{v_{EW}} \tag{2.48}$$

which gives

$$\sigma_{el} = \kappa^2 \left(\frac{50 \text{ GeV}}{m_S}\right)^2 \left((0.87 \pm 0.04) \times 10^{-41} \text{ cm}^2\right)$$
(2.49)

Using the abundance constraints on κ from Section 2.3.1, the elastic scattering crosssection is plotted in Figure 2.13 with recent bounds from CDMS[3] and XENON10[4]¹¹.

In this figure it can be observed that these searches are insensitive to light scalars with masses below ~ 10 GeV. In this range, the low mass of the WIMPs results in small recoil velocities of the heavier nuclei (Germanium and Silicon in CDMS and gaseous Xenon in XENON10) in the detectors. It is expected that future experiments will be able to probe this range using lighter nuclei [60], with several such experiments currently being planned [61, 62, 63]¹².

In spite of this model being minimal, it avoids all prior bounds from dedicated

¹¹Although there are several dedicated dark matter searches in operation, at present CDMS and XENON10 have produced the strongest bounds.

¹²These experiments use lighter nuclei and are therefore more sensitive to light dark matter, however other factors in their design may still limit their ability to probe O(1 GeV) WIMPs



Figure 2.13: Limits from dedicated dark matter searches on the Minimal Model of Dark Matter, using as an example $m_H = 120$ GeV. The solid line represents the WIMP-nucleon scattering cross-section with the coupling constant determined by the abundance constraint. The dashed and dashed-dotted lines represent constraints from CDMS [3] using the Silicon data and Germanium data respectively. The solid bold line represents the recently released constraints from the XENON10 experiment[4].

searches with the only constraint arising from the XENON10 data released in 2007. Full exclusion of this model will require several orders of magnitude improvement in the detector sensitivity, both for light WIMP mass for which current detectors are insensitive and for heavier WIMPs near the Higgs resonance, and is unlikely to happen with the next generation of experiments [64, 65, 66].

2.4.2 Model 2: Minimal Model of Dark Matter with 2HDM

The constraints from dedicated dark matter searches can be calculated in the same manner as in Section 2.4.1, except that in the 2HDM model the Higgs-nucleon coupling is different due to the change in $v_{ew} \rightarrow v_u, v_d$, and the restriction that each of the higgs couples to only up-type or to down-type quarks and leptons.

In the case of λ_1 dominant and λ_3 dominant, the scattering cross-section depends on the $H_d N N$ coupling. Using the same low-energy theories as in the Standard Model Higgs-nucleon coupling, the effective Higgs-nucleon coupling is

$$L_{H_dNN} \approx \frac{220 \pm 50 \ MeV}{v_d} H_d \bar{N} N \tag{2.50}$$

In this case, there is no coupling of the Higgs to the gluons through the top-quark loop, and H_d couples to the nucleon predominantly through direct coupling to the virtual strange quarks within the nucleons. Since the quark content of the nucleon is not well known, the Higgs-nucleon coupling in this case contains a significant uncertainty.

Using this effective coupling, the WIMP-nucleon scatting cross-section for the

case λ_1 dominant is

$$\sigma_{el} = \kappa^2 \left(\frac{50 \text{ GeV}}{m_S}\right)^2 \left((0.53 \pm 0.04) \times 10^{-41} \text{ cm}^2\right)$$
(2.51)

and for the case λ_3 dominant is

$$\sigma_{el} = \kappa^2 \left(\frac{\tan\beta}{100}\right)^2 \left(\frac{50 \text{ GeV}}{m_S}\right)^2 ((0.53 \pm 0.04) \times 10^{-37} \text{ cm}^2)$$
(2.52)

From the abundance constraints on κ , the limits from dedicated searches can be derived. The results are given in Figure 2.14. With the exception of a small mass range near the Higgs resonance, data from the XENON10 experiment can already exclude $m_{DM} \gtrsim 10 \ GeV$.

Since the annihilation cross-section and the scattering cross-section each contain a factor of $\tan \beta$ in the case of λ_3 dominant, these terms cancel out in the final result and the scattering cross-section is the same for λ_1 or λ_3 dominant.

The case of λ_2 dominant is more difficult to detect in dedicated searches. Since H_u is similar to the SM Higgs in the large tan β limit, the abundance constraints for this model are very similar to the abundance constraints on the minimal model of dark matter. However the SM Higgs coupling to the nucleon is dominated by a direct coupling to the strange quark content of the nucleon, and by heavy quark loops. In the case of H_u , there is no strange quark coupling and no coupling to bottom quark loops. Therefore the effective coupling is reduced to

$$L_{H_uNN} = \frac{82 \ MeV}{v_u} H_u \overline{N}N \tag{2.53}$$

In this case the Higgs couples to the nucleon predominantly through a top-quark



Figure 2.14: Limits on the 2HDM+scalar model from dedicated dark matter searches. The bound is for the special cases of λ_1 dominant and λ_3 dominant. As in Figure 2.13, the dashed and dashed-dotted lines represent bounds from CDMS, while the solid bold line represents limits from XENON.


Figure 2.15: Limits on the 2HDM+scalar model from dedicated dark matter searches. In this plot, the special case of λ_2 dominant is plotted along with the usual experimental bounds.

loop, with no effects from direct coupling to the strange quark and therefore this coupling does not have the large uncertainty of the previous models.

Using this result, the scattering cross-section can then reduced to

$$\sigma_{el} = \kappa^2 \left(\frac{50 \text{ GeV}}{m_S}\right)^2 (0.75 \times 10^{-42} \text{ cm}^2)$$
(2.54)

The dedicated search limits for this model are given in Figure 2.15. Although the Higgs-nucleon coupling is smaller for this model, the abundance constraints allow κ to be slightly larger than in the minimal model of dark matter, and the scattering cross-section is similar for the two models. Existing data from XENON10 can already

exclude the range 10 $Gev < m_S < 40 GeV$.

In summary, all three of the special cases of this model can be probed with dedicated dark matter experiments. As with the minimal model of dark matter, the scalars in this model with λ_1 or λ_3 dominant can be as light as a few GeV and are invisible to present dedicated dark matter searches. However unlike the first model, heavier WIMPs have been almost completely excluded by XENON10. In the case of λ_2 dominant, sub-GeV WIMPs are forbidden by the abundance constraints and the requirement that κ be perturbative, but heavier WIMPs can satisfy the abundance constraint without violating bounds set by nuclear recoil experiments.

2.4.3 Model 3: Minimal Model of Fermionic Dark Matter

The nucleon scattering cross-section for the minimal model of fermionic dark matter is calculated in the same manner as the minimal model of scalar dark matter presented in Section 2.4.1. Using the effective coupling, κ , and the Standard Model Higgs-nucleon coupling presented earlier, the scattering cross-section is

$$\sigma = ((1.46 \pm 0.07) \times 10^{-42} cm^2) \kappa^2 \tag{2.55}$$

This cross-section is enhanced relative to the minimal model of scalar dark matter. As shown in Eq 2.36, the annihilation cross-section is suppressed for fermions relative to scalar WIMPs, resulting in larger values of κ from the abundance bounds which also produces a larger scattering cross-section. The cross-section is plotted in Figure 2.17, with the current limits from CDMS [3] and XENON10 [4].

In contrast to the scalar models presented previously, the minimal model of



Figure 2.16: Feynman diagrams for the scattering of WIMPs and nucleons in the Minimal Model of Fermionic Dark Matter.

fermionic dark matter cannot contain sub-GeV mass WIMPs and as such the entire parameter space can be explored by dedicated searches. For this model, data from the CDMS and XENON10 experiments have already excluded WIMPs with $m_{\chi} \leq 80 \ GeV$, with the only exception being a range of masses between ~ 50 $\ GeV$ and 60 $\ GeV^{13}$. As in previous models, the mass range that is not excluded is due to the possibility that the WIMPs annihilate through a Higgs resonance, which enhances the cross-section and allows the WIMP couplings to be smaller, and which results in a suppressed nuclear scattering cross-section.



Figure 2.17: Expected WIMP-nucleon scattering cross section for the minimal model of fermionic dark matter (thin solid line), plotted as before with limits from CDMS (dashed and dashed-dotted lines) and XENON10 (thick solid line). The vertical line gives the cutoff at which lighter WIMPs require a non-perturbative coupling.



Figure 2.18: Dedicated Dark Matter search limits on the 2HDM+fermion model, with either λ_1 or λ_3 dominant. The experimental constraints from CDMS and XENON10 are as given before. The vertical line represents the cut-off in the λ_1 dominant case, where κ becomes non-perturbative.

2.4.4 Model 4: Fermionic Dark Matter with 2HDM

As discussed in Section 2.2.5, the case of λ_2 dominant is similar to the minimal model of fermionic dark matter, and the bounds from dedicated dark matter searches are similiar. Therefore in this section, only the λ_1 and λ_3 dominant cases will be studied.

The higgs-nucleon couplings in the 2HDM are as given in Section 2.4.2, and the WIMP-nucleon cross-section is given by

$$\sigma_{el} \approx ((8.3 \pm 0.6) \times 10^{-43} cm^2) \kappa^2 \tag{2.56}$$

for the case of λ_1 dominant, and by

$$\sigma_{el} \approx ((8.3 \pm 0.6) \times 10^{-39} cm^2) \left(\frac{\tan\beta}{100}\right)^2 \kappa^2$$
 (2.57)

for the case of λ_3 dominant. The scattering cross section and the corresponding experimental constraints are plotted in Figure 2.18. As in the analogous scalar case, the scattering cross-section is the same for the λ_1 and λ_3 dominant cases. However in the λ_1 dominant case, the coupling constant becomes nonperturbative for light WIMPs. This is indicated in the figure by the solid vertical line.

The difference between this model, in which there are no constraints from dedicated searches, and the minimal model of fermionic dark matter, in which a large range of masses is excluded, is that the large Higgs vev in the previous model leads to a smaller decay width for the Higgs while in this model the Higgs vev is $v_d \sim v_{EW}/\tan\beta$. Because the decay width and the annihilation cross-section are larger in this model, the abundance constraints allows for a smaller κ . However the

 $^{^{13}}$ This range is calculated assuming a Higgs mass of 120 GeV. If the Higgs is heavier than this, then this range will shift to allow for higher mass WIMPs.

scattering cross-section does not get this same enhancement since it is assumed that the scattering occurs at lower energies away from the Higgs resonance, and so the overall effect is a suppression of the scattering cross-section.

Based on the results of this section, it is apparent that in the special case of λ_1 or λ_3 dominant, there are no constraints on the WIMP mass in this model, and even the next generation of experiments may not be able to probe it. In particular, the case of λ_3 dominant allows for sub-GeV WIMPs and yet has no constraints at any mass range from dedicated dark matter searches.

2.4.5 Model 5: Dark Matter & Warped Extra Dimensions

In a similar manner to the higgs-nucleon coupling, the radion-nucleon coupling is given by the coupling of the radion to the trace of the energy momentum tensor,

$$L_{int} = \frac{\phi}{\Lambda_{\phi}} \Theta^{\mu}_{\mu} \tag{2.58}$$

where, at low energies,

$$< N |\Theta^{\mu}_{\mu}| N >= m_N < N |\bar{\psi}_N \psi_N| N > \tag{2.59}$$

This coupling is stronger than the corresponding Standard Model Higgs coupling, as the radion couples to the full energy-momentum tensor rather than just to the mass of the quarks and quark loops in the nucleon. This difference enhances the WIMPnucleon scattering cross-section in this model by an order of magnitude compared to the Minimal Model of Dark Matter.

For the scalar WIMPs, the WIMP-nucleon scattering cross section is



Figure 2.19: WIMP-nucleon scattering cross-section for scalar WIMPs (dasheddotted line) and fermion WIMPs (dashed line) with radion mediation. The current limits from CDMS and XENON10 are indicated with the bold dashed and solid lines respectively. The short vertical lines represent the Lee-Weinberg bound on scalar and fermion WIMPs.

$$\sigma_S = \frac{m_N^4 m_S^2}{2\pi \Lambda_\phi^4 m_\phi^4} = 1.3 \times 10^{-44} cm^2 \kappa^2 \tag{2.60}$$

while for fermions the scattering cross-section is

$$\sigma_f = \frac{m_N^4 m_f^2}{\pi \Lambda_\phi^4 m_\phi^4} = 2.6 \times 10^{-44} cm^2 \kappa^2 \tag{2.61}$$

Using the abundance constraint previously derived, the scattering cross-sections can be calculated, and the results are plotted in Figure 2.19.

The data from CDMS does not improve the constraints on this model, as the region it excludes requires a non-perturbative coupling for both scalars and fermions. However the recently reported bounds from the XENON10 experiments improve the constraint, with scalars excluded for $m_S \leq 57 \ GeV$ and fermions excluded for $m_f \leq 85 \ GeV$.

2.5 Collider Constraints

Another possibility is that dark matter will be detected in the next generation of high energy colliders, such as the LHC or the ILC. For the models presented in the section 2.2, the primary signal of WIMPs¹⁴ will be an invisibly decaying Higgs boson¹⁵. Results from LEP-I and LEP-II have already excluded an invisible SM

¹⁴As these channels require a real Higgs boson be produced, only WIMPs lighter than $m_h/2$ can be probed in this way.

¹⁵In the case of Model 5, in which a radion is used as the mediator, the signal will be an invisibly decaying radion. However as demonstrated in Ref [36], the production and decay of a radion is very similar to the Standard Model Higgs boson. Therefore the arguments in this section also apply to invisible radion decays.

Higgs¹⁶ with mass below 114 GeV [44, 45, 46] using the $e^+e^- \rightarrow Z^0 + h_{inv}$ channel, while the Tevatron and the LHC will search for an invisible Higgs boson produced in either the $pp \rightarrow Z + h_{inv}$ channel [68, 69] or through weak boson fusion [70, 69].

The discovery potential of the $pp \to Z(\to l^+l^-) + h_{inv}$ channel was studied in Ref. [68, 69, 71] and Ref. [72, 69] for the LHC and Tevatron respectively. The signal of an invisible Higgs in this channel is obscured by the $pp \to Z + jet$ background, where the jet could be either soft or otherwise undetected, and it was originally thought that this background would significantly weaken any signal detected in this channel [68]. However, as demonstrated in Ref [69], the background can be reduced considerably by introducing specific cuts on the missing momentum, with the optimum results produced by requiring $p_T \gtrsim 75 \ GeV$.

The other significant backgrounds for this process are

$$p\bar{p} \to Z(\to l^+l^-) + Z(\to \bar{\nu}\nu)$$

$$p\bar{p} \to W^+(\to l^+\nu) + W^-(\to \bar{\nu}l^-) \qquad (2.62)$$

$$p\bar{p} \to Z(\to l^+l^-) + W^{\pm}(\to l^{\pm}\nu)$$

where in the third channel, the charged lepton is not detected. The second channel is removed by requiring the invariant mass of the lepton pair to be close to the Z boson mass, with $|m_{l^+l^-} - m_Z| < 10 \ GeV$ and the lepton momenta should be in similar directions, which implies both leptons are produced by a single Z-boson

¹⁶These bounds assume a Standard Model Higgs boson with $BR(h \to \not E) = 100\%$. If there are multiple Higgs fields, or if this branching ratio is less than 100%, then these bounds are weaker. However a Higgs boson with a lower invisible branching ratio can be constrained by its visible decay modes



Figure 2.20: Feynman diagram for the production of Higgs bosons through the $Z^0 + H$ channel, at hadron colliders (left) and electron-positron colliders (right)

rather than from two W^{\pm} bosons, requiring a cut of $\phi_{l^+l^-} < 143^\circ$. The background from the first two channels can also be reduced by rejecting events with lower missing transverse momentum. As outlined in Ref [69], the decays of Z and W bosons to missing energy tend to produce soft neutrinos and low momentum decay products, while invisibly decaying Higgs bosons are expected to generate larger values of p_T . The third background channel, in which a third charged lepton is missed by the detector, is expected to be small due to the detector coverage at the LHC [69].

Using these cuts to reduce the background, it is expected that the LHC will be capable of detecting an invisibly decaying Standard Model Higgs with mass $m_h \lesssim$ 160 GeV through the $Z + h_{inv}$ channel. In comparison, the WBF channel, which will be introduced later in this section, is expected to be capable of detecting an invisible Higgs as heavy as $m_h \lesssim 500 \text{ GeV}$ with $\mathcal{L} = 10 \text{ fb}^{-1}$ [70].

This channel can also be studied at ILC, with the advantage of being able to accurately measure the mass of the Higgs, and to measure small SM branching ratios [73].

The second channel which can be used for detection of an invisible Higgs is

weak-boson fusion, in which the Higgs boson couples to a virtual boson exchanged by two protons. The final signal in this channel will be $h_{inv} + 2jets$, with the dominant contribution to the background being the $Z(\rightarrow \bar{\nu}\nu) + 2jets$ channel and a lesser contribution to the background from the $W^{\pm}(\rightarrow l^{\pm}\nu) + 2jets$ where as before the charged lepton is not detected. In the case of weak-boson fusion, the accompanying jets are formed by high-energy partons in the $\bar{p}p$ collision, and are expected to have high momentum and a large rapidity gap. In contrast, the two background channels are expected to produce softer jets with lower rapidity gaps ¹⁷. Therefore the background can be reduced by requiring both the invariant mass of the jets and the rapidity gap to be large. For example, in Ref [69] the WBF channel (at the Tevatron) was studied using the cuts,

$$m_{jj} > 320 - 400 \, GeV \qquad \Delta \eta_{jj} > 2.8$$
 (2.63)

with the strongest signal corresponding to $m_{jj} > 320 \ GeV$. In that study, it was demonstrated that although the WBF channel is too weak to provide detection of an invisible Higgs at the Tevatron, the combination of WBF with the Higgstrahlung process described before would allow a 120 GeV higgs to be discovered for $\mathcal{L} = 7 \ fb^{-1}$ of data. The same channel has been studied for the LHC [70], using

$$m_{ij} > 1200 \ GeV \qquad \phi_{ij} \lesssim 60^{\circ} \tag{2.64}$$

where ϕ_{jj} is the angle between the jets, and is restricted to count only forward jets. In

¹⁷The background event in which a Z-boson is produced in WBF, and then decays to a neutrinoantineutrino pair, has very similar properties to the invisible Higgs decay and as such these cuts cannot reduce this particular channel



Figure 2.21: Feynman diagrams for the other two processes which could be used to probe invisible Higgs decays at hadron colliders, weak boson fusion (left) and gluon fusion (right).

addition, because the cross-section for the weak boson fusion channel does not drop off as fast for larger Higgs masses, it can detect heavier Higgs bosons, with masses up to $m_H \sim 400 \ GeV$ for $\mathcal{L} = 30 \ fb^{-1}$ compared with a limit of $m_h \sim 175 \ GeV$ in the other channels [69].

This channel can be used for detection of an invisible Higgs at either LHC or the Tevatron, although the signal at the Tevatron is not expected to be strong unless several channels are combined [69].

Another possible channel which has been studied is the production of $h_{inv} + jet$ in gluon fusion [74]. Gluon fusion is one of the main channels of Higgs production at both the LHC and the Tevatron. However as demonstrated in Ref [69], for invisibly decaying Higgs bosons the background channel of $Z(\rightarrow \bar{\nu}\nu) + jet$ obscures this signal and the discovery potential of this channel is limited.

It has also been suggested that the production of top-quark pairs with associated Higgs production could be used to probe invisible Higgs decays, however the analysis is significantly more difficult than the other processes and does not appear to provide a better signal [75]. The significance of the invisible Higgs signal depends on several factors, but for $m_h = 120 \ GeV$ the LHC will be able to detect a 3σ signal for a branching ratio as small as [69]

$$BR_{h\to \text{invisible}} \gtrsim \begin{pmatrix} 0.41 & \mathcal{L} = 10 \text{ fb}^{-1} \\ 0.24 & \mathcal{L} = 30 \text{ fb}^{-1} \end{pmatrix}$$
(2.65)

At the Tevatron, the analogous bounds are

$$BR_{h \to \text{invisible}} \gtrsim \begin{pmatrix} 0.90 & \mathcal{L} = 10 \text{ fb}^{-1} \\ 0.52 & \mathcal{L} = 30 \text{ fb}^{-1} \end{pmatrix}$$
(2.66)

It is also possible to search for invisible Higgs decays in e^+e^- colliders. The primary search channel at electron-positron colliders in the 'Higgstrahlung' process,

$$e^+e^- \to Z^0 + h_{inv}$$

measured by observing the decay of the Z-boson. The main background reactions for this reaction are

$$e^+e^- \to W^+W^- \to \ell\nu\bar{q}q$$
$$e^+e^- \to Z^0Z^0 \to \bar{\nu}\nu\bar{q}q$$
$$e^+e^- \to \bar{q}q + \gamma$$

The first reaction appears to produce missing energy if the lepton is hidden in a jet or is missed by the detectors, while the second reaction can mimic an invisible

Higgs boson with a mass similar to that of the Z-boson. The third reaction can also contribute to the background if the photon is not detected. As with the searches at the LHC, these backgrounds are reduced using a series of cuts outlined in [44, 45] for LEP, and in [73] for the ILC.

In this section, I will derive and present the expected sensitivity of collider experiments to each of the minimal models. For each model, it will be assumed that $m_h = 120 \ GeV$ for the purpose of demonstration. The general results are not expected to change significantly for different Higgs masses, with the exception the the location of the Higgs resonance in the abundance constraints and resulting drop in the invisible branching ratio for each model will shift to $m_{DM} \sim m_h/2$.

2.5.1 Model 1: Minimal Model of Dark Matter

In the minimal model of dark matter, the Higgs coupling to WIMPs is stronger than the coupling to Standard Model fields for light WIMPs, and for $m_s \leq m_h/2$ the branching ratio for invisible Higgs decays is almost 100%. Using the search methods outlined in the introduction to this section, the LHC will be able to search for WIMPs in this entire mass range, while higher mass WIMPs will produce a signal too weak to be detected.

The branching ratio for the minimal model of dark matter is plotted in Figure 2.22 for 100 $GeV < m_h < 140 \ GeV$, along with the minimum branching ratio which can be detected by the LHC ¹⁸. The Tevatron can also probe this range, with a luminosity of 30 fb^{-1} being enough to detect $BR_{h_{inv}} \gtrsim 0.52$. Existing data from LEP can already exclude $m_H < 114.4 \ GeV$ in this model when $BR(h_{inv}) = 100\%$

¹⁸It should be noted that the upper bounds on the invisible Higgs branching ratio is also dependent on the Higgs mass. However the variation over this range of masses is small.



Figure 2.22: Invisible Higgs branching ratio, with the discovery potential for the LHC. The central dashed line represents the invisible Higgs branching ratio for $m_h = 120 \ GeV$, with the grey region giving the branching ratio for the range 100 $GeV < m_h < 140 \ GeV$. The region above line A is detectable at the 3σ level with $L = 10 \ fb^{-1}$ at LHC, while the region above line B can be detected with $L = 30 \ fb^{-1}$.

[44].

In summary, either the LHC or the Tevatron can detect scalar WIMPs in this model through an invisible Higgs decay. However as indicated in Figure 2.22 if $m_{DM} \approx m_h/2$ the invisible branching ratio is reduced and these bounds can be avoided.

2.5.2 Model 2: Minimal Model of Dark Matter with 2HDM

The special cases of λ_1 or λ_3 dominant are difficult to detect at colliders. In the previous model, the WIMPs could be detected through the invisible decay of a Standard Model Higgs boson which is produced through the $Z^0 + H$ channel or through weak boson fusion. In the 2HDM with large tan β , only the up-type Higgs is produced in these reactions. The situation is the same for electron-positron colliders such as the ILC, since the main channel for detecting an invisible Higgs is also $Z^0 + H$ which does not occur in these two cases.

The down-type Higgs can also be produced in the LHC through a b-quark loop process, which results in a Higgs and a jet of other particles. However if the Higgs decays invisibly, this signal is difficult to separate from the background for a Standard Model Higgs boson.

Although the cross-section for down-type Higgs production is enhanced relative to the Standard Model by a factor of $\tan^2 \beta$, due to the smaller vacuum expectation value, it is also suppressed by the lack of a top-quark loop. From Ref [74], the production cross-section for the down-type Higgs through the b-quark loop is $\sigma_h \sim$ $O(100 \ pb)$ at the LHC, or $O(1 \ pb)$ for Tevatron. However the background crosssection is $\sigma_b = 1.5 \times 10^5 \ pb$ (300pb for Tevatron) [69].

As a result, any collider constraints on these models are expected to be quite weak. With 30 fb^{-1} of data at LHC, the signal will still be well below 2σ .

For the purpose of collider searches, the third case of λ_2 dominant is identical to the minimal model of scalar dark matter. In this case, higgs production by gluon fusion involves only a top-quark loop and not a bottom-quark loop, but this has only a small effect on the higgs production cross-section [74], and the gluon fusion channel is already difficult to detect when the higgs decays invisibly.

As mentioned in the introduction to this section, invisible Higgs decays can also be probed at electron-positron colliders through the $e^+ + e^- \rightarrow Z^0 + h_{inv}$ channel. However, as with the hadron colliders this channel only proceeds for the case of λ_2 dominant, in which case the results are the same as those for the minimal model of dark matter.

2.5.3 Model 3: Minimal Model of Fermionic Dark Matter

Using the abundance constraints on κ , and the three channels for the detection of an invisible Higgs given in the introduction to this section, the discovery potential of the LHC and Tevatron can be calculated for the minimal model of fermionic dark matter.

In this model, it is possible for the LHC to discover WIMPs as heavy as 50 GeV (or slightly below $m_h/2$ for Higgs masses above 120 GeV). However as with the minimal model of scalar dark matter, the rapid drop off in the Higgs branching ratio above $m_{\chi} \approx 50$ GeV restricts detection of heavier WIMPs. As demonstrated in Figure 2.17, the range of $m_{\chi} \leq 50$ GeV has already been excluded by the dedicated



Figure 2.23: The branching ratio for $h \to \chi \chi$, with $m_h = 120 \ Gev$ (dashed line) and in the range 100 $GeV < m_h < 140 \ GeV$ (grey region). The horizontal lines represent the minimum branching ratio which would produce a 3σ signal in the $h \to Z + \chi \chi$ channel at the LHC for $L = 10 \ fb^{-1}$ and $L = 30 \ fb^{-1}$.

dark matter searches, CDMS and XENON10. Therefore it is not expected that the LHC will be able to detect signals from this model.

2.5.4 Model 4: Fermionic Dark Matter with 2HDM

As in the model presented in Section 2.5.2, an invisibly decaying down-type Higgs boson is difficult to detect in colliders, and therefore it is not expected that there will be strong constraints on either this model, or the higgsino model given in Section 2.2.6, from any present or near-future collider.

As with the analogous model for scalar WIMPs, the cases of λ_1 or λ_3 dominant would be detected by an invisible H_d decay. However in the LHC and Tevatron, the only channel capable of producing this Higgs boson is a b-quark loop, and the resulting signal is significantly smaller than the background.

The third case, in which λ_2 is dominant, is not significantly different than the minimal model of fermionic dark matter. Therefore it is expected that either LHC or the Tevatron could probe this model up to $m_{DM} \sim 50 \text{ GeV}$ if $m_H \approx 120 \text{ GeV}$.

2.5.5 Model 5: Dark Matter & Warped Extra Dimensions

The radion used in this model has similar properties to the Standard Model Higgs boson, and so the collider signals and explorable region of parameter space for these models are similar to those presented in Section 2.5.1 and Section 2.5.3.

The most significant difference is that, unlike in the Higgs models in which v_{EW} is fixed, the radion vev Λ_{ϕ} is unknown. As a result, the cross-sections for radion production are enhanced (or suppressed) by a factor of $\sigma_{RS}/\sigma_{SM} \approx v_{EW}^2/\Lambda_{\phi}^2$.

The branching ratio for scalar WIMPs in this model is plotted in Figure 2.24,



Figure 2.24: Sensitivity of the LHC to scalar WIMPs through the invisible radion signal. Lines A and B represent the smallest branching ratio that can be detected at $\mathcal{L} = 10 \ fb^{-1}$ and $\mathcal{L} = 30 \ fb^{-1}$ respectively. The vertical line represents the WIMP mass at which the model becomes non-perturbative.

with a scaling factor added to account for the enhancement in the radion production cross-section. For the purpose of comparison with previous models, it is assumed that $M_{\phi} = 120 \ GeV$ while m_S and Λ_{ϕ} are varied to satisfy the abundance constraints. In addition, only scalar WIMPs are considered here, as the abundance constraints on the fermionic WIMPs in this model require non-perturbative couplings for the regions of parameter space which can be probed by the LHC or Tevatron.

Using these results, the LHC can probe scalar WIMPs in this model up to $m_S \lesssim$ 38 GeV with $\mathcal{L} = 10 \ fb^{-1}$ and up to $m_S \lesssim 42 \ GeV$ with $\mathcal{L} = 30 \ fb^{-1}$, while perturbative couplings require $m_S \gtrsim 35 \ GeV$.

2.6 Light Dark Matter

In the previous sections, WIMPs as heavy as $m_{DM} \sim O(100 \text{ GeV})$ have been studied, while even heavier WIMPs can be used to explain dark matter. However there are also many reasons to study models which contain WIMPs with masses in the O(1 GeV) range.

Light dark matter has several benefits. The 511 keV γ -ray flux from the galactic core, which is believed to be caused by a non-localized source of positrons [77], can be explained by the annihilation [41] or decay [78] of O(100 MeV) WIMPs that have been captured in the galactic core. It has also been demonstrated that this does not result in an overproduction of other galactic γ -rays [80, 81]. Light dark matter may also be able to explain the observed flux of γ -rays in the 1 MeV to 20 MeV range [82] without conflicting with measurements of the extragalactic γ -ray background [83].

There is also a known discrepancy between results from different dedicated dark

matter experiments. The data from DAMA indicates the existence of a WIMP consistent with $m_{DM} \sim 60 \ GeV$, while CDMS and other experiments have excluded this region of parameter space. Both of these experiments search for dark matter by detecting the recoil of nuclei scattered by WIMPs. However the experiments use different nuclei, and the Na atoms in the DAMA detector are more sensitive to light WIMPs than the Ge atoms in the CDMS detector, due to the difference in the WIMP velocity that is required to cause a detectable recoil. As demonstrated in Ref [87], this difference in the detection of light dark matter can explain both the positive signal at DAMA and the negative at all other detectors.

The abundance constraints on sub-GeV WIMPs were given for each of the minimal models in Section 2.3. In this section, constraints on light dark matter from B-meson decays are calculated and presented for each of the models which permits light WIMPs. The work in this section was originally published by the author and collaborators in Ref [89] and Ref [26].

2.6.1 Constraints on Light Dark Matter from B-decays

At present the experimental constraints on light dark matter are weak. As discussed in previous sections, light WIMPs are difficult to detect in experiments relying on nuclear recoil, as the heavier nuclei do not have a measurable recoil from lighter WIMPs unless they have a large velocity. Experiments which study the γ -ray flux from both the galaxy and from extragalactic sources can provide better constraints on such models, but are still limited. One alternative is to use the existing B-physics experiments, in which large numbers of B mesons are produced and accurate decay rates can be measured. In general, WIMPs with masses of a few GeV or less will be produced in the decay of heavier mesons such as the B-meson. The requirement that the light dark matter abundance correspond to the observed dark matter abundance places a constraint on the WIMP annihilation cross section, and therefore a lower bound on the coupling constants. This also implies a lower bound on the decay widths for the invisible decays of heavy Standard Model particles.

By measuring the B meson branching ratios involving missing energy, the presence of light WIMPs could be inferred [89, 26]. As will be demonstrated in the next section, this method allows B-physics experiments such as BaBar and BELLE to search for sub-GeV WIMPs, and for many models this provides the strongest constraints on their existence and properties. It should also be noted that we demonstrated the possibility of detecting dark matter in the decays of B-mesons prior to either BELLE or BaBar placing stringent bounds on the invisible decay widths, and that this idea has now been invoked as a motivation for building future B-meson experiments [90, 91, 92] capable of further probing invisible decays.

It should be noted that in this section only the decay $B \to K + \not{E}$ is studied. The decays $B \to \not{E}, B \to \gamma + \not{E}$, and $B \to \pi + \not{E}$ will also produce WIMP pairs in these experiments, however neither of the first two decays can be easily detected, while the third decay is suppressed by a factor of $\sim |V_{ts}/V_{td}|^2 \sim 0.04$ relative to the kaon decay and is also not as well constrained from experiments [93]. It is also possible to produce WIMP pairs in the decays of heavier mesons, such as $\Upsilon \to \gamma + \not{E}$. However in general there is less data available for these decays, while some specific decays like this one have large widths in the Standard Model and therefore will have very small branching ratios for WIMP production.

2.6.2 B-Meson Experiments

Although the decay of $B^+ \to K^+ + \not E$ can be detected through the detection of the kaon, it is not possible to determine the missing energy without first knowing the energy of the initial B-meson. This can be achieved by considering the system in which there are two B-mesons produced, and reconstructing one decay completely. Such a system can be studied effectively by considering the threshold production of two B-mesons in the decay of the $\Upsilon(4S)$ state.

For the reconstructed B-meson, either hadronic decays or semileptonic decays are allowed and the decay products of this B-meson are accounted for and removed from consideration. If the only remaining particle in the detector is a single kaon ¹⁹, then it could represent a missing energy signal.

When the kaon momentum is small, there also exists a large background which can overwhelm the signal. The most common of these are normal B-meson decays in which some particles are undetected, such as $B \to (D \to K + l\bar{\nu}) + l\bar{\nu}$, and in decays to the long lived neutral kaon, K_L^0 which can pass through the detectors without depositing any significant energy. As such only decays in which the kaon is above a predetermined minimum are counted as a signal. For the BaBar and BELLE experiments, this restriction is $\mathbf{p}_K > 1.2 \ GeV$, which restricts the range of WIMP masses that can be probed to be $m_{DM} < 1.9 \ GeV$. For the CLEO experiment, $\mathbf{p}_K > 0.7 \ GeV$ and can probe up to $m_{DM} < 2.1 \ GeV$.

At present, BaBar has examined $8.8 \times 10^7 \Upsilon(4S) \rightarrow \bar{B}B$ decays with both

¹⁹In practice, many of these decays also have some background particles or spurious effects of the decay products of the reconstructed B-meson. As such, it is common to use weaker selection criteria which suppress the background without adversely affecting the efficiency of the missing energy searches.

hadronic and semileptonic decays of the reconstructed B-meson, and restricted the invisible branching ratio to [94]

at a 90% c.l. In contrast, BELLE examined $53.5 \times 10^7 \Upsilon(4S) \rightarrow \bar{B}B$ decays with only hadronic B-mesons reconstructed, and set a limit of [7]

at a 90% c.l.

In principle it is also possible to search for dark matter in the decays of the neutral B-meson, through the reaction $B^0 \to K^0 + \not{E}$, however it more both more difficult to reconstruct B^0 and less probable that the resulting K^0 can be detected and as such this reaction does not significantly enhance the constraints on missing energy.

Another possible channel is the decay of B-meson to an excited state of the kaon, $B \to K^* + \not E$. The sensitivity from this decay mode is comparable to that of $B^+ \to K^+ + \not E$ and can probe WIMPs only slightly lighter than that case.

As more data is collected from B-meson factories, it is expected that this sensitivity to light dark matter will improve. In particular, increased luminosity will allow these experiments to probe smaller branching ratios, while possible improvements in separating signal from background may allow the experiments to detect lower energy kaons and therefore heavier WIMPs.



Figure 2.25: Feynman diagrams which contribute to B-decay with missing energy in the minimal scalar model of dark matter.

2.6.3 Model 1: Minimal Model of Dark Matter

As discussed in Section 2.6, light dark matter can be detected in B meson decays. In particular, the decay $B \rightarrow K + missing \ energy$ provides a strong probe of the sub-GeV range of the minimal model of dark matter.

The decay $B \to K + missing \ energy$ can occur in two ways. The Standard Model predicts this decay will occur as $B \to K + \overline{\nu}\nu$ [95], with

$$Br(B^+ \to K^+ + \overline{\nu}\nu) \simeq (4 \pm 1) \times 10^{-6}$$

As demonstrated in Ref [89, 26], this decay can also proceed as a decay to dark matter which would not be seen in the present detectors. The diagrams which contribute to the Standard Model decay and to the decay in the Minimal Model of Dark Matter are given in Figure 2.25.

The loop process $b \to s+h$, which is required for the decay to dark matter scalars, can be calculated as the derivative of the $b \to s$ self-energy term with respect to v_{EW} (see for example Ref [96]), resulting in an effective vertex

$$L_{bsh} = \left(\frac{3g_W^2 m_b m_t^2 V_{ts}^* V_{tb}}{64\pi^2 M_W^2 v_{EW}}\right) \bar{s}_L b_R h + h.c.$$
(2.69)

Since the Higgs is constrained to be significantly heavier than the other particles involved in this decay, it can be integrated out to form an effective Lagrangian for b-decays.

$$L_{bsE} = \frac{1}{2} C_{DM} m_b \overline{s}_L b_R S^2 - C_\nu \overline{s}_L \gamma^\mu b_R \overline{\nu} \gamma_\mu \nu + h.c.$$
(2.70)

At leading order, the coefficients are

$$C_{DM} = \frac{\lambda}{m_h^2} \frac{3g_W^2 V_{ts}^* V_{tb}}{32\pi^2} x_t$$

$$C_{\nu} = \frac{g_W^2}{M_W^2} \frac{g_W^2 V_{ts}^* V_{tb}}{16\pi^2} \left[\frac{x_t^2 + 2x_t}{8(x_t - 1)} + \frac{3x_t^2 - 6x_t}{8(x_t - 1)^2} \ln x_t \right]$$
(2.71)

where $x_t = m_t^2/M_W^2$. From these effective interactions and the hadronic form factors for B and K mesons [97, 98, 99], the decay width for the process $B \to K + SS$ can be calculated:

$$\frac{d\Gamma_{B^+ \to K^+ SS}}{d\hat{s}} = \frac{x_t^2 C_{DM}^2 f_0(\hat{s})^2}{512\pi^3} \frac{I(\hat{s}, m_S) m_b^2 (M_B^2 - M_K^2)^2}{M_B^3 (m_b - m_s)^2},\tag{2.72}$$

where $\hat{s} = (p_B - p_K)^2 I(\hat{s}, m_S)$ reflects the available phase space,

$$I(\hat{s}, m_S) = [\hat{s}^2 - 2\hat{s}(M_B^2 + M_K^2) + (M_B^2 - M_K^2)^2]^{\frac{1}{2}} [1 - 4m_S^2/\hat{s}]^{\frac{1}{2}}.$$
 (2.73)

and $f_0(\hat{s}) \simeq 0.33 exp(0.63 \hat{s}/M_B^2 - 0.095 \hat{s}^2/M_B^4 + 0.591 \hat{s}^3/M_B^6)$ encodes the internal structure of the B-meson and kaon ²⁰.

²⁰In Ref [99], the form factor is given a different parameterization. However for the purpose of this calculation, the two parameterizations give nearly identical results.



Figure 2.26: Predicted branching ratios for the decay $B^+ \to K^+ + missing energy$, with κ determined by the abundance constraints, and with current limits from CLEO (I) [5], BABAR (II) [6], and BELLE (III) [7]. The grey bar shows the expected $B \to K \nu \bar{\nu}$ signal. The parameter space to the left of the vertical dashed line can also be probed with $K^+ \to \pi^+ + missing energy$.

From these results, the branching ratio for invisible B decays is calculated to be

$$BR_{B^+ \to K^+ + E} = 4 \times 10^{-6} + 2.8 \times 10^{-4} \kappa^2 F(m_S) \tag{2.74}$$

where as before,

$$\kappa \equiv \lambda \left(\frac{100 \; GeV}{m_h}\right)^2 \tag{2.75}$$

and $F(m_S)$ represents the available phase space,

$$F(m_S) = \int_{\hat{s}_{min}}^{\hat{s}_{max}} f_0(\hat{s})^2 I(\hat{s}, m_S) d\hat{s} \left[\int_{\hat{s}_{min}}^{\hat{s}_{max}} f_0(\hat{s})^2 I(\hat{s}, 0) d\hat{s} \right]^{-1}$$
(2.76)

The first term in the branching ratio represents the Standard Model result, and the second term represents the additional effects of dark matter. The branching ratio is plotted in Figure 2.26 with recent limits from BaBar and BELLE. In this figure, the region between the two solid lines gives the correct abundance for dark matter, with the region above the dashed lines excluded by B-physics experiments. The region to the right of these dashed lines corresponds to WIMP masses for which this decay produces a kaon with momentum less than the experimental cut-off. For very light WIMPs it is also possible to produce dark matter in the kaon decay, $K^+ \rightarrow \pi^+ + \not E$, and measurements of this decay width can already exclude the kinematically allowed region of $m_S \leq 150 \ MeV$ [100].

From the abundance calculation, it is clear that $\kappa \sim O(1)$ for most kinematically allowed scalars, resulting in a branching ratio at least one order of magnitude larger than predicted by the Standard Model. As discussed in the introduction, recent results from BaBar [94] have set a limit of $Br(B^+ \to K^+ + E) < 5.2 \times 10^{-5}$ at 90% confidence level, while preliminary results from BELLE [7] report a limit of $Br(B^+ \to K^+ + E) < 1.4 \times 10^{-5}$.

Based on the results of these experiments, the mass of scalar WIMPs in the minimal model can be constrained to be $m_S \gtrsim 1.7 \ GeV$.



Figure 2.27: Diagrams contributing to the decay $b \to s + SS$ in the 2HDM plus scalar dark matter model when λ_1 is dominant and $\tan \beta$ is large. Inside the loops, H_u and H_d denote the two charged Higgs bosons, with the mixing of the two doublets denoted by a cross.

2.6.4 Model 2: Minimal Model of Dark Matter with 2HDM

As before, we consider the three special cases in which a single λ_i is taken to be dominant. For the first case, $\lambda_1 >> \lambda_2$, λ_3 the diagrams which contribute are given in Figure 2.27 and, using the same effective Lagrangian given in Eq 2.70, the Wilson coefficient is

$$C_{DM} = \frac{\lambda_1}{M_{H_d}^2} \frac{g_W^2 V_{ts}^* V_{tb} x_t}{32\pi^2} \left(\frac{1 - a_t + a_t \ln a_t}{(1 - a_t)^2}\right)$$
(2.77)

where $a_t = m_t^2/M_H^2$. The corresponding branching ratio is

$$BR_{B\to K+E} = 4.0 \times 10^{-6} + 3.2 \times 10^{-5} \kappa^2 \left(\frac{1 - a_t + a_t \ln a_t}{(1 - a_t)^2}\right)^2 F(m_S)$$
(2.78)

where

$$\kappa = \lambda_1 \left(\frac{100 \ GeV}{M_H}\right)^2 \tag{2.79}$$



Figure 2.28: Branching Ratios for $B \to K + missing \, energy$ in the two higgs doublet model, with scalar WIMPs coupled primarily to H_d . The labeling of current limits from CLEO (I), BABAR (II), and BELLE (III) is the same as in Figure 2.26.



Figure 2.29: Diagrams contributing to the decay $b \to s + SS$ in the 2HDM plus scalar dark matter model when λ_3 is dominant and $\tan \beta$ is large.

and as for the minimal model of dark matter,

$$F(m_S) = \int_{\hat{s}_{min}}^{\hat{s}_{max}} f_0(\hat{s})^2 I(\hat{s}, m_S) d\hat{s} \left[\int_{\hat{s}_{min}}^{\hat{s}_{max}} f_0(\hat{s})^2 I(\hat{s}, 0) d\hat{s} \right]^{-1}$$
(2.80)

The branching ratio is plotted against current experimental limits in Figure 2.28.

The second case is λ_2 dominant, corresponding to a scalar WIMP which is coupled to the H_u boson. In the limit of large $\tan \beta$, $v_u \approx v_{ew}$ and the model becomes very similar to the minimal model of dark matter presented in Section 2.2.1. However because the WIMPs cannot annihilate to down or strange-type quarks, the Lee-Weinberg limit excludes a larger region of the parameter space.

The final case is the one in which $\lambda_3 \gg \lambda_1, \lambda_2$. The diagrams for this model are given in Figure 2.29. As noted in Section 2.3.2, the annihilation cross-section in this model is enhanced relative to the case of λ_1 dominant, resulting in a suppression of λ_3 by a factor of $\tan \beta$. However in this model the leading terms in the two Bdecay diagrams (ie. the terms which are proportional to $\tan \beta$) cancel, resulting in a suppressed coupling $C_{DM} \sim O(\tan^0 \beta)$. The overall result is that $BR(B \to K + SS)$ is reduced by $\tan^{-2} \beta \sim 10^{-4}$, and so

$$BR(B \to K + SS) \ll BR(B \to K + \overline{\nu}\nu)$$
 (2.81)

and there are no constraints on this model. In the case with both λ_1 and λ_3 significant, the B-decay constraints can exclude certain regions of parameter space but cannot exclude WIMPs at any range of masses.

For the case of λ_1 dominant, the results are similar to those plotted in Figure 2.26, and as with the minimal model the experiments exclude WIMPs lighter than $\sim 1.7 \ GeV$. For the case of λ_2 dominant, the results are similar and the data from BELLE excludes WIMPs lighter than $\sim 1.5 \ GeV$. The case of λ_3 dominant is quite different, in that the decays of B-mesons to WIMP pairs is suppressed by a factor of $\tan^2 \beta$ relative to the other two cases. As a result, B physics experiments cannot provide constraints on this model.

For the most general case, in which the three coupling constants are comparable in magnitude, the experimental limits are weaker. In the annihilation cross-section, the λ_3 terms dominate and are therefore λ_1 and λ_2 are not well constrained. However the invisible branching ratio is dominated by λ_1 and λ_2 terms, with λ_3 terms representing an $O(\tan^2\beta)$ correction. As a result, it is more difficult to place constraints on this model than in the special cases, as unlike those models $BR(B \to K + SS)$ is not related to Ω_{DM} .

2.6.5 Model 3: Minimal Model of Fermionic Dark Matter

As demonstrated in Section 2.3.3, the minimal model of fermionic dark matter cannot describe sub-GeV WIMPs and maintain perturbative couplings²¹. Therefore it is not possible to search for this type of dark matter in B-physics experiments.

2.6.6 Model 4: Fermionic Dark Matter with 2HDM

As was demonstrated in Section 2.3.4, it is possible to have sub-GeV fermionic WIMPs, without violating the abundance constraints, if they are coupled to the Higgs bosons in a two-higgs doublet. In particular, in the special case in which the

$\lambda_3 \overline{\chi} \chi v_u H_d$

term dominates all other WIMP-Higgs interactions, there is a $\tan^2 \beta$ enhancement of the WIMPs annihilation cross-section and therefore λ_3 can be $O(\tan \beta)$ smaller, making it perturbative for light WIMPs.

However for this special case, the diagrams which contribute to the decay $B \rightarrow K + \chi \chi$, which are given in Figure 2.30, cancel at leading order in tan β . As a result,

$$BR(B \to K + \chi\chi) \ll BR(B \to K + \overline{\nu}\nu)$$

and therefore this model is not constrained by B-meson decays.

²¹As discussed in Section 2.2.4, it may be possible for this model to contain light fermionic WIMPs if the mediator particle is also light. However such models are constrained by existing experiments, and are not considered in this dissertation.



Figure 2.30: The Feynman diagrams which contribute to $b \rightarrow s + \not\!\!\!E$ in the 2HDM plus fermionic WIMP model for the special case of λ_3 -dominant.



Figure 2.31: The Feynman diagram for the leading order decay of $b \rightarrow s + \chi \chi$ in the Higgs-Higgsino model.

2.6.7 Model 4b: Higgs-Higgsino Model

In this model, the decay of the b-quark to dark matter is given by a single diagram, given in Figure 2.31. The effective Lagrangian for this process is as given in Eq 2.70, with the Wilson coefficient

$$C_{DM} = \frac{V_{ts}^* V_{tb} \tan \beta}{32\pi^2 v_{sm}^3} \left(\frac{\lambda_d \lambda_u v_u \mu}{\lambda_u^2 v_u^2 + \mu^2}\right) \frac{a_t \ln a_t}{1 - a_t}$$
(2.82)

where, as before, $a_t \equiv m_t^2/M_H^2$. Using the parameter κ from Eq 2.21, and the phase space integral for fermions,


Figure 2.32: Branching ratio in the Higgs-Higgsino model

$$F(m_{\chi}) = \int_{\hat{s}_{min}}^{\hat{s}_{max}} f_0(\hat{s})^2 (\hat{s} - 2m_{\chi}^2/M_B^2) I(\hat{s}, m_{\chi}) d\hat{s} \left[\int_{\hat{s}_{min}}^{\hat{s}_{max}} f_0(\hat{s})^2 \hat{s} I(\hat{s}, 0) d\hat{s} \right]^{-1}$$
(2.83)

the branching ratio for this decay is

$$BR_{B\to K+/E} = 4.0 \times 10^{-6} + 9.8 \times 10^{-5} \kappa^2 \left(\frac{a_t \ln a_t}{1 - a_t}\right)^2 F(m_1)$$
(2.84)

The first term represent the Standard Model decay to neutrinos, and the second term represents the contribution from decays to light dark matter.

The bounds on this model from B-decays are given in Figure 2.32. Using the most recent data from BELLE [7], neutralinos lighter than $\sim 1.7 \ GeV$ can be excluded

²². Furthermore, WIMPs heavier than $\sim 2 \ GeV$ produce a signal smaller than the uncertainty in the predictions of the Standard Model, and as such the effect of decays to WIMP pairs will not be detectable.

2.6.8 Model 5: Dark Matter & Warped Extra Dimensions

As outlined in the previous section, the radion has similar properties to the Standard Model Higgs boson and as such existing experimental data can place a lower bound on its mass of $m_{\phi} \gtrsim 100 \ GeV$. From the abundance constraints in Section 2.3.5, this bound on the radion mass implies that the WIMP mass satisfies $m_{DM} \gtrsim 30 \ GeV$ which is too heavy to be produced in B-meson decays.

2.7 Conclusion

The nature of dark matter has remained a mystery for several decades, in spite of increasing evidence for its existence. Measurements of the cosmic microwave background and other astrophysics experiments suggest an abundance of $\Omega_{DM} \sim 0.11$, which for WIMP dark matter corresponds to a total annihilation cross-section of $\langle \sigma_{ann}v \rangle \approx 0.7 \ pb$, while dedicated dark matter searches have constrained the nuclear recoil cross-section. However most of the properties of dark matter are still unknown.

In this chapter, I have presented seven models of dark matter in which only a minimal amount of new physics is introduced. For each model, I have calculated and presented the dark matter abundance and used this result to constrain the model.

 $^{^{22}}$ As outline in Section 2.2.6, this model does not include all of the complications of supersymmetry, and it may be possible to avoid these bounds in certain supersymmetric models.

Each of these models was able to reproduce the observed abundance using natural values of the parameters, and perturbative couplings.

In Section 2.4, I calculated the WIMP-nucleon scattering cross-section for each model using the abundance constraints, and used this result and existing data from dedicated dark matter searches to constrain the parameter space. I have also demonstrated how each model can be explored at collider experiments, such as the LHC and the Tevatron, with the primary signals being invisible Higgs decays through the channel $pp \rightarrow Z^0 + h_{inv}$ and through weak-boson fusion. These experiments should be able to detect WIMPs lighter than $m_{DM} \leq M_h/2$, while heavier WIMPs can avoid detection.

In Section 2.6, I outlined the motivations for light dark matter and the existing bounds. I then proved that light dark matter could be detected in the decay of B-mesons, as originally published by myself and collaborators in Refs [89] and [26]. It is expected that this result is generic, with most models of sub-GeV dark matter producing an observable signal in B-meson decays.

Throughout this chapter I have shown how each of the minimal models, while defined in a similar manner, have very different properties. The Minimal Model of Dark Matter is capable of reproducing the observed dark matter abundance for the most of mass range considered, with the Lee-Weinberg limit only requiring $m_S \gtrsim$ 300 MeV. Dedicated dark matter searches have only recently been able to probe this model, with XENON10 data released in 2007 excluding a range of $10 \leq m_S \leq$ 30 GeV. However it is expected that the LHC will be able to further probe this model for WIMP masses as large as half the Higgs mass, or $m_S \gtrsim 55$ GeV for $m_H \approx 120$ GeV. Furthermore, using existing data from BELLE and BABAR can already exclude WIMPs lighter than $\sim 1.6 - 1.8 \ GeV$, although in this model these light WIMPs require a significant fine-tuning of the parameters to provide $O(1 \ GeV)$ masses.

In Model 2, the scalar WIMP was coupled to two different Higgs fields in the Type-II two Higgs-doublet model. For the special cases of this model, WIMPs could have masses below $O(100 \ MeV)$ without fine-tuning or violating the Lee-Weinberg limit due to $\tan \beta$ enhancements of the coupling constants. However these same models can be excluded by nuclear recoil experiments for $m_S \gtrsim 10 \ GeV$, with the exception of a small range at 50 $GeV \lesssim m_S \lesssim 70 \ GeV$ due to the Higgs resonance. Since these special cases do not include strong couplings to the weak gauge bosons, they are not expected to be probed well by colliders, which search for Higgs bosons in the $Z^0 + h$ channel or from weak boson fusion. From B-meson experiments, the case of λ_1 dominant is excluded for $m_S \lesssim 1.4 - 1.6 \ GeV$, while the λ_3 dominant case cannot be probed in these experiments.

The minimal model of fermionic dark matter is similar to the MDM, but with fermion WIMPs replacing the scalars. While this model can produce the correct abundance of dark matter, the Lee-Weinberg bound is $m_{\chi} \sim 25 \ GeV$ and nuclear recoil experiments exclude $m_{\chi} \leq 50 \ GeV$ (when $m_H \sim 120 \ GeV$). The LHC and Tevatron are not expected to be able to probe WIMPs heavier than this bound.

When the SM Higgs in the MFDM is replaced with two higgs doublets, the results can be quite different. For the special case of λ_3 dominant, this model can produce sub-GeV fermionic WIMPs without violating the Lee-Weinberg limit, due to $\tan^2 \beta$ enhancements to the annihilation cross-section, while the case of λ_1 dominant can contain WIMPs as light as $m_{\chi} \sim 1.6 \ GeV$. Furthermore, these two cases are not presently constrained by data from nuclear recoil experiments. When the WIMPs are light, B-meson decays can exclude $m_{\chi} \leq 1.6 - 1.8 \ GeV$ in the case of λ_1 dominant, while the WIMPs in the case of λ_3 dominant cannot be probed by these experiments. The Higgsino model has similar properties to this model with λ_1 dominant.

The final model presented is dark matter in the presence of warped extra dimensions. Although the WIMPs in this model have no non-gravitational interactions, the radion field generated by the extra dimensions allow them to annihilate efficiently. The result is that this model can provide the correct abundance, with a Lee-Weinberg limit of $m_S \gtrsim 35 \ GeV$ for scalars and $m_f \gtrsim 50 \ GeV$ for fermions. Dedicated dark matter searches further restrict this to $m_S \gtrsim 60 \ GeV$ and $m_f \gtrsim 80 \ GeV$. Furthermore, it is expected that both the LHC and Tevatron will be able to probe this model further, with the precise limit of their sensitivity depending on the radion mass and vev. As with the minimal model of fermionic dark matter, this model cannot contain light WIMPs and therefore is not constrained by B-meson experiments.

Although the models presented are minimal, their properties are similar to more complicated models. From the figures on dedicated dark matter searches and collider searches, it is clear that the next generation of experiments will be able to probe most of the parameter space of these models and possibly detect dark matter.

Chapter 3

Charged Relics

In addition to dark matter, it is also possible that the early Universe contained a small abundance of exotic charged massive particles (referred to as CHAMPs). The presence of these relics in the early universe can have many effects on the standard cosmology and on astrophysical processes, depending on their properties.

Heavy charged particles are predicted to exist in several modern theories, with the most commonly cited examples being in supersymmetry and in models with extra dimensions. In supersymmetry, every known charged particles would have a supersymmetric partner, which would also be charged, and which would be present in the early Universe. These models often also include candidates for dark matter, in the form of the lightest stable particle, with the next-to-lightest (quasi)stable particles being charged. For example, both the Constrained Minimal Supersymmetric Standard Model (CMSSM) and Minimal Supergravity (mSUGRA) theories, contain gravitino dark matter accompanied by a stau NLSP which is long lived, massive, and charged. The properties of CHAMPs are already constrained by experiments at LEP [101] and the Tevatron [102], which are currently able to probe up to $m_X \sim O(100 \text{ GeV})$. However these constraints tend to be model dependent, and the existing experiments cannot effectively probe higher masses.

In addition to accelerator based searches for charged particles, CHAMPs which survive to the present age of the universe could be detected using heavy water experiments [103, 104, 105] as well as in cosmic ray and γ -ray detectors [103] and neutrino detectors [106]. A detailed review of the bounds can be found in Ref [107]. However these searches are insensitive to relics which are long-lived, with lifetimes in the range of O(100s - 1000s) or longer, but which are not stable. Since such particles decay in the early Universe, new methods are required to search for the existence.

The focus of this chapter is on the recently proposed model of catalyzed big bang nucleosynthesis, in which the primordial abundances of light nuclei are altered by the formation of bound states with charged massive particles. In such models, metastable CHAMPs can be detected through their effects on the early universe without violating the existing bounds on stable charged massive particles. By comparing the predictions of CBBN to observed abundances of primordial elements, the properties of CHAMPs can be better constrained.

3.1 Catalyzed Big Bang Nucleosynthesis

Big Bang Nucleosynthesis (BBN) is the process by which the light nuclei in the Universe are formed, and is also a powerful tool for constraining new physics (for a review, see eg. Ref [108]). The theory relies on particle physics, nuclear physics, and general relativity to predict the abundances, and the results depend on a single parameter, the baryon-to-photon ratio, which can be measured from the cosmic microwave background [8]. The predictions have proven to be accurate when compared to the observed abundances in older, metal-poor stars. Therefore the effects of new physics on nucleosynthesis also provide strong constraints on proposed models.

If there exist charged particles which survive to the era of nucleosynthesis, then they can affect the standard processes in several ways. For the purposes of this section, there are two classes of model in which the charged particle can have a naturally long lifetime. One possibility is that all relevant coupling constants in the theory are small, which results in a low decay rate, and which we refer to as Type I. The other possibility is that the decay releases very little energy while having natural coupling constants. We refer to these models as Type II.

It is already well known that the presence of massive relics can affect Big Bang nucleosynthesis. The most common mechanism is through the decay of a massive relic during the epoch of BBN, with the decays producing more energy and entropy, which in turn alter the predictions of the primordial abundances of light elements [109, 110, 111, 112, 111]. In addition the decay of the relic can produce energetic photons, which will photo-dissociate the light nuclei [113, 114], and additional hadrons which can convert protons to neutrons leading to a higher neutron-to-proton ration and an overabundance of ⁴He [115, 116, 110, 110] as well as non-thermally producing other light nuclei [117, 118, 116, 110, 110].

However it is also known that any charged particles present during nucleosynthesis will form bound states with light nuclei. These heavy bound states result in a reduced Coulomb repulsion of other nuclei, as well as changing the rates of the nuclear reactions. This results in a shift in the relative abundances of light nuclei [20, 119, 120, 121].

In this Chapter, two results of catalyzed BBN will be studied. In Section 3.1.2 it will be demonstrated that the presence of a charged massive relic can increase the production rate of ⁶Li, and by comparison with observations of the primordial ⁶Li abundance this result is used to constrain the properties of CHAMPs. In Section 3.1.3, I demonstrate how the same charged relics can suppress the ⁷Li abundance, and using observations of the primordial ⁷Li abundance I derive limits on the lifetime and abundance of the charged relics. This work was originally published by the author and collaborators in Ref [122].

3.1.1 Bound States of Nuclei

The predictions of CBBN rely on the properties of bound states of nuclei with heavy charged particles. However the exact properties of these states are not well known, and depend on the internal structure of each nuclei.

For a first approximation, the bound state can be treated as a hydrogen-like state, with the X^- treated as a massive point particle and the light nucleus in a bound state with the naive Bohr energies. However it is common for the Bohr radius in these bound states to be comparable to or even smaller than the nuclear radius, leading to inconsistencies in the calculations. Furthermore, the nuclei have more complicated charged distributions than a point-particle, and as such the binding energies and wavefunction are also significantly altered. Therefore the Bohr approximation fails.

Assuming that the internal structure of the nuclei is unaffected, ground states energies for these bound states can be calculated using experimental data for the nuclear radii and variational methods in quantum mechanics to determine the ground state wavefunctions and energies. In each case the charge distribution is assumed to be:

$$\rho_c(r) = \rho_0 e^{-(r/r_0)^2} \qquad \rho_0 = Z\alpha/(\sqrt{\pi}r_0)^{1/3} \tag{3.1}$$

as this distribution has been previously found to be a good approximation to the actual charge distribution of the light nuclei [123, 124]. The value of r_0 is chosen such that the rms of this distribution matches the experimental rms radius, or $r_0 = \sqrt{2/3}R_N$.

For the calculations that follow, each wavefunction was calculated using both a trial wavefunction which is tuned to minimize the ground state energy and a numerical solution of the Schrödinger equation, although these two methods have been found to give identical results.

The energies for the common light elements involved in CBBN¹ are given in Table 3.1. In this table, $|E_b^0|$ and a_0 are the Bohr energy and radius for a point-like nucleus, R_N^{sc} and $|E_b(R_N^{sc})|$ are the nuclear radius and bound state binding energy for assuming a uniform charge distribution and $R_N = 1.22A^{1/3}$, and R_{Nc} and $|E_b(R_{Nc})|$ represent the nuclear radius and bound state binding energy using experimental determinations of the nuclear radius, $R_N = (5/3)^{1/3}R_c$ where R_c is the measured charge radius. T_0 represents the ionization energy of the bound state.

When nucleosynthesis starts, the temperature of the Universe is too high to form bound states. Although some states will form, ambient high energy photons will

¹It should be noted here that the properties of $({}^{4}HeX^{--})$ are included in this table, but as yet have not been included in detailed calculations of CBBN

bound st.	$ E_b^0 $	a_0	R_N^{sc}	$ E_b(R_N^{sc}) $	R_{Nc}	$ E_b(R_{Nc}) $	$T_0(keV)$
$^{4}\text{He}X^{-}$	397	3.63	1.94	352	2.16	346	8.2
$^{6}\mathrm{Li}X^{-}$	1343	1.61	2.22	930	3.29	780	19
$^{7}\mathrm{Li}X^{-}$	1566	1.38	2.33	990	3.09	870	21
$^{7}\text{Be}X^{-}$	2787	1.03	2.33	1540	3	1350	32
$^{8}\text{Be}X^{-}$	3178	0.91	2.44	1600	3	1430	34
$^{4}\text{He}X^{}$	1589	1.81	1.94	1200	2.16	1150	28
DX^-	50	14	-	49	2.13	49	1.2
pX^-	25	29	-	25	0.85	25	0.6

Table 3.1: Properties of bound states for charged relics and light nuclei. In this table, $|E_b^0|, |E_b(R_N^{sc})|$, and $|E_b(R_{Nc})|$ are given in units of keV, while a_0, R_N^{sc} , and R_{Nc} are given in fm.

immediately break-up the bound states. As the Universe cools, nucleosynthesis proceeds as in the standard model of BBN until the temperature drops below the binding energy for each state. At that time, the charged relics will begin to capture light nuclei which have already been formed. The abundance of bound states can be calculated in the usual manner using the Boltzmann equation,

$$-HT\frac{dY_{(NX^{-})}}{dT} = \langle \sigma_{rec}v \rangle n_N Y_X - \langle \sigma_{ph}v \rangle n_\gamma Y_{(NX^{-})}$$
(3.2)

where $Y_{(NX^{-})}$, Y_X , n_N are the abundances of bound states, free relics, and free nuclei respectively, and the cross-sections represent recombination and photodisintegration respectively. In previous papers [125, 126, 127] it has been common to use a Saha equation,

$$Y_{(NX^{-})}(T) = \frac{Y_X e^{-T_\tau^2/T^2}}{1 + n_{He}^{-1} (m_\alpha T)^{3/2} e^{-E_b/T}}$$
(3.3)

with $T_{\tau} = T/\sqrt{2\tau H(T)}$ and which assumes a thermal distribution of bound and

unbound relics, as an approximation to the solution of the Boltzmann equation. However this assumes that the recombination and photodisintegration reactions are in equilibrium and that there exists a thermal distribution of bound and unbound systems throughout the epoch of nucleosynthesis. As demonstrated in Ref [128, 129], this approximation is not valid and can result in order of magnitude errors in the final abundances of the light nuclei.

Throughout this section, bound states of charged relics are denoted by (NX^{-}) , where N can be any nuclei. The S-factors are defined in the usual way, as $S(E) = E\sigma/G$ where σ is the reaction cross section and G is the Gamow factor, which measures the probability that two particles can overcome Coulomb repulsion during a fusion reaction.

3.1.2 Overproduction of ⁶Li

The effects of catalyzed Big Bang nucleosynthesis are most noticeable in the rarer elements such as Lithium-6 and Lithium-7. The theory of CBBN was introduced in Ref [20], where it was demonstrated that the presence of any significant abundance of charged relics with $\tau \gtrsim O(10^4 \text{ s})$ would produce additional ⁶Li in excess of observations. As a result, experimental constraints on the primordial abundance of ⁶Li can be used to constrain theories which include metastable charged relics.

It should also be noted that the observed abundance of ⁶Li is known to be several orders of magnitude larger than predicted in SBBN. This excess is believed to be produced by more modern sources, such as in $\alpha - \alpha$ or $p - \alpha$ fusion by cosmic rays [130, 131]. However the excess is still present in metal-poor regions in which cosmic ray reactions should not have produced such large abundances of ⁶Li, and furthermore there appears to exist a ⁶Li plateau analogous to the Spite plateau of ⁷Li in which the ⁶Li abundance appears to be constant over large regions of space and is independent of metallicity. This suggests that the excess of ⁶Li is produced in the early Universe (for a review of the ⁶Li problem, see Ref [132, 133]).

In the Standard BBN scenario, ⁶Li is produced in small quantities by the reaction,

$${}^{4}He + D \to {}^{6}Li + \gamma \tag{3.4}$$

The cross-section for this reaction is suppressed due to the presence the photon in the final state. As outlined in Ref [20], the ⁶Li nucleus can be modeled as a ⁴He - Dbound state, and the E1 transition would normally be expected to dominate this reaction. However the two terms in the amplitude for this transition cancel due to the almost equal charge-to-mass ratio of the ⁴He and D nuclei. As a result, the reaction in Eq 3.4 proceeds by an E2 transition in which the quadrupole moment of the ⁴He - D system couples to the photon. The result is that this cross-section is proportional to the inverse fifth power of the photon wavelength, leading to a strong suppression relative to other BBN reactions.

If there exist charged particles during BBN then they can form bound states with ${}^{4}He$, which has a relatively large primordial abundance. These bound states can then produce additional ${}^{6}Li$ primarily through the reaction

$$({}^{4}HeX^{-}) + D \rightarrow {}^{6}Li + X^{-}$$
 (3.5)

The cross section for this reaction does not have the same suppression as the reaction Eq 3.4. For this reaction, the photon emitted by the 6 Li nucleus is virtual and can



Figure 3.1: Diagrams contributing to the production of ${}^{6}Li$, in the standard BBN (left) and in CBBN (right).

a much smaller wavelength. This results in a cross-section that is approximately eight orders of magnitude larger than the primary channel in the Standard BBN. The diagrams for the BBN and CBBN reactions are given in Figure 3.1, and the relationship between the S-factors for the two processes can be approximated as

$$S_{CBBN} = S_{BBN} \times \frac{8}{3\pi^2} \frac{p_f a_0}{(\omega a_0)^5} \left(1 + \frac{m_D}{m_{^4He}}\right)^2$$
(3.6)

where a_0 is the Bohr radius of the (⁴HeX⁻) system, $p_f = \sqrt{2m_{^6Li}(Q_{CBBN} + E)}$ is the momentum of the ⁶Li nucleus in the CBBN reaction (with $Q_{CBBN} = 1.13 \ MeV$) and $\omega = Q_{BBN} + E$ is the energy of the photon in the SBBN reaction (with $Q_{BBN} =$ 1.47 MeV). A more detailed calculation of the S-factor has been completed using a ground state wavefunction for (⁴HeX⁻) obtained by variational methods rather than the Bohr approximation, and Coulomb wavefunctions for the final state rather than plane waves, however the results are similar to this approximation. Using the results of Ref [134] interpolated to the relevant energies, the S-factor is found to be $S_{CBBN} \simeq 0.3 \ MeV \ bn$.

The presence of a charged relic in the bound state with ${}^{4}He$ also affects the Gamow factor in the reaction. Since the relic carries a negative charge, it screens the

Coulomb repulsion between the ${}^{4}He$ and D nuclei and reduces the Gamow suppression. Because the relic is also much more massive than the nuclei, the change in the reduced mass of the system will also affect the Gamow factor. The Gamow energy for the system is changed as

$$E_{SBBN}^{Gamov} = 5249 \ keV \to E_{CBBN}^{Gamov} = 1973 \ keV \tag{3.7}$$

Combining the new S-factor and the reduced Gamov energy gives the ⁶Li production cross-section as

$$<\sigma_{CBBN}v>\simeq 1.8\times 10^9\times T_9^{-2/3}exp(-5.37T_9^{-1/3})$$
 (3.8)

where the units are those common to BBN calculations, $N_A^{-1}cm^3s^{-1}g^{-1}$, with T_9 the temperature in 10^9K . It should be noted that this assumes that all nuclear distances are smaller than the Bohr radius for the bound state. In Ref [125] a solution of the three-body Schrodinger equation was utilized to improve on the cross-section, with the new results being

$$<\sigma_{CBBN}v>\simeq 2.4 \times 10^8 (1-0.34T_9)T_9^{-2/3}e^{-5.33T_9^{-1/3}}$$
 (3.9)

For the purpose of comparison, the cross-section for the reaction in Eq 3.4 is

$$<\sigma_{SBBN}v>\simeq 30 \times T_9^{-2/3}exp(-7.435T_9^{-1/3})$$
 (3.10)

From these equations, it is apparent that the presence of a charged relic can increase the rate of 6 Li production by several orders of magnitude.

The predicted abundance of ⁶Li in this model will also depend on the initial abundance of (⁴ HeX^-) bound states. For the purpose of this calculation, it is assumed that the relic abundance is small, and that by the time the ⁶Li producing reactions occurs all X^- particles are either in bound states with ⁴He nuclei or are unbound ².

As discussed in the previous section, the abundance of bound states must be calculated using the full Boltzmann

$$-HT\frac{dY_{(NX^{-})}}{dT} = \langle \sigma_{rec}v \rangle n_N Y_X - \langle \sigma_{ph}v \rangle n_\gamma Y_{(NX^{-})}$$
(3.11)

where n_N and Y_X represent the abundance of unbound nuclei and relics, and $Y_{(NX^-)}$ is the abundance of bound states.

Using the CBBN cross-section and the abundance of bound states, the evolution equation for the abundance of 6 Li is

$$-HT\frac{d^{6}Li}{dT} = D(n_{BS} < \sigma_{CBBN}v > +n_{He} < \sigma_{SBBN}v >) - {}^{6}Li n_{p} < \sigma_{p}v > \quad (3.12)$$

where ⁶Li and D are used to denote the (hydrogen normalized) abundance of each element, and σ_p is the destruction cross-section for the reaction

$${}^{6}Li + p \rightarrow {}^{3}He + {}^{4}He \tag{3.13}$$

The solution of this equation is given in Figure 3.2 for stable and for long-lived

 $^{^{2}}$ A full treatment of CBBN would require a fraction of the relics to be bound to other nuclei, however these fractions are quite small and are not expected to significantly alter these results.



Figure 3.2: Abundance of ⁶Li as a function of temperature (in keV) in the CBBN model. The solid lines correspond to stable relics, while the dashed lines correspond to $\tau = 10^4 s$. The ⁶Li abundance is given for two abundances of relics, $Y_X = 10^{-2}$ and $Y_X = 10^{-5}$. The predicted abundance from SBBN is given at the bottom of the figure.

 $(\tau = 10^4 s)$ relics, with abundances of $Y_X = 10^{-2}$ and $Y_X = 10^{-5}$. As an example of the strength of this method of constraining the properties of CHAMPs, it can be noted that for $\tau \sim 10^5 s$ and a ⁶Li abundance of $Y_{^6Li} \approx 2 \times 10^{-11}$ [109], the abundance of X^- must satisfy

$$Y_{X^-} \lesssim 8 \times 10^{-8} \text{ or } \frac{n_{X^-}}{s} \lesssim 10^{-17}$$
 (3.14)

This method of constraining CHAMPs with nucleosynthesis is also independent of the nature of the decay of the relics, whereas other constraints are based primarily on the effects of those decays [109, 110, 111].

As outlined in Ref [20], a scan over the entire parameter space of relic lifetimes and abundances yields a constraint (for reasonable choices of the relic annihilation cross-section) of $\tau \leq 4 \times 10^3 s$ using only the ⁶Li abundance. It is possible that including other reactions involving rare nuclei in the early Universe will strengthen this bound.

3.1.3 Suppression of ⁷Li

In addition to the overproduction of ⁶Li in Ref [122] my collaborators and I demonstrated that there is a region of parameter space which satisfies the constraints from ⁶Li production while also reducing the ⁷Li abundance. The calculations and primary results of the ⁷Li suppression by catalyzed BBN processes are repeated and reviewed in this section.

The abundance of ⁷Li is one of the few problems with the standard BBN. Using the value for the baryon-to-photon ratio derived from the CMB anisotropy, which leads to consistent predictions for the other abundances of light elements, the relative abundance of ⁷Li is predicted to be $Y_{7Li} = 5.24^{+0.71}_{-0.67} \times 10^{-10}$ [136]. However observations of low metallicity stars gives a value of $Y_{7Li} = 1.23^{+0.68}_{-0.32} \times 10^{-10}$ [132, 137] while observations of globular clusters have given values of $Y_{7Li} = 2.19^{+0.30}_{-0.26} \times 10^{-10}$ [138] and $Y_{7Li} = 2.34^{+0.35}_{-0.30} \times 10^{-10}$ [139], which is a factor of a few smaller than predicted.

There have been several proposed solutions for the ⁷Li problem. If the relevant nuclear reactions had been measured incorrectly, or if there were different rates in the early Universe, the predictions would be altered. However the possible corrections do not produce a significant change in the ⁷Li abundance [135, 140]. There is a possibility that there is an unknown mechanism in which stars burn ⁷Li faster than current models predict [132, 141, 142], as well as changes to the predictions from new developments in understanding how heavier elements such as ⁷Li are diffused and destroyed in stars [141, 142], both of which could affect the inferred primordial abundances.

It is also possible that an unknown physical effect, or a new particle, in the early Universe could affect the BBN predictions. In Ref [112, 111] it was demonstrated that a decaying relic with $\tau \sim 1000 - 3000$ s could release enough energy to lower the ⁷Li abundances. As will be demonstrated in the section, yet another possibility is that charged metastable particles can catalyze lithium burning reactions.

Recombination of ⁷Be and X^-

In the standard BBN scenario, ⁷Li is produced through the destruction of ⁷Be by neutrons, through the reaction

$$^{7}Be + n \rightarrow ^{7}Li + p \tag{3.15}$$

and after the epoch of nucleosynthesis through electron capture. From these two processes, all of the primordial ⁷Be is converted to ⁷Li (or other lighter nuclei) by the present time. Therefore depletion of the ⁷Li abundance can be achieved by first depleting the ⁷Be abundance. In the CBBN scenario, similar reactions using the bound state (⁷BeX⁻)can destroy ⁷Be which further depletes the ⁷Li abundance relative to the SBBN predictions. It should also be noted that in the SBBN, the ⁷Be abundance is frozen out at about $T \sim 40 \ keV$, while the formation of bound states occurs at $T \sim 33 \ keV$. Therefore the ⁷Be abundance will be constant throughout the timespan in which CBBN becomes important, with the only changes arising from CBBN processes.

Therefore the most important aspect of the ⁷Li suppression via CBBN is the recombination of ⁷Be with the charged relic, X^- . If the capture rate is too low, then the density of bound states will be too low for the catalyzed reactions to affect the primordial ⁷Li abundance. As was demonstrated in Ref [122], the formation of these bound states is the critical stage in the series of CBBN reactions which determines the primordial ⁷Li abundance.

The recombination rate also depends strongly on the properties of the bound state, (⁷BeX⁻). The bound state energy could be approximated with the ⁷Be nucleus represented as a point particle, in which case the ground state energy is $E_g^{(0)} =$ -2785 keV. However in this approximation the Bohr radius is $a_B = 1.03 \ fm$, while the actual ⁷Be radius is $< r^2 > ^{1/2} = 2.50 \pm 0.04 \ fm$ [143]. Assuming a Gaussian charge distribution, and a finite nuclear radius, the ground state energy is approximated as



Figure 3.3: Recombination of ⁷Be and X^- . The relic is captured to an excited state (left) and then radiates a number of photons to reach the ground state (right).

$$E_q = -1330 \pm 20 \ keV \tag{3.16}$$

The recombination rate will also depend on the internal structure of the ⁷Be nucleus. The first excited state of ⁷Be with spin 1/2, has an energy of only 429 keV above the ground state and can also form bound states with X^- . Therefore it is possible to form (⁷Be X^-) through intermediate states. The most important of these are

$$({}^{7}\text{Be}_{1/2}X^{-}), \quad n = 3, \quad l = 0, \quad E_{R} = (-239 + 429)\text{keV} = 190\text{keV};$$

 $n = 3, \quad l = 1, \quad E_{R} = (-290 + 429)\text{keV} = 140\text{keV};$ (3.17)
 $n = 3, \quad l = 2, \quad E_{R} = (-308 + 429)\text{keV} = 121\text{keV}.$

where E_R is the energy level of the state relative to ${}^7\mathrm{Be}_{3/2} + X^-$. The recombination cross-section is

$$<\sigma_{rec}v>=\frac{6\times10^3}{T_9^{1/2}}+\frac{1.9\times10^4}{T_9^{3/2}}exp(-1.40/T_9)+\frac{1.5\times10^4}{T_9^{3/2}}exp(-1.62/T_9) \quad (3.18)$$

where $T_9 \equiv T/10^9$ K is the temperature, and the rate is given in the standard BBN units of $N_A^{-1}cm^3s^{-1}g^{-1}$. The first term corresponds to the non-resonant reactions

$${}^{7}Be_{3/2} + X^{-} \to ({}^{7}Be_{3/2}X^{-}) + \gamma \to ({}^{7}BeX^{-}) + k\gamma$$
$${}^{7}Be_{3/2} + X^{-} \to ({}^{7}Be_{1/2}X^{-}) + \gamma \to ({}^{7}BeX^{-}) + k\gamma$$

where k is a number of photons, while the other two terms correspond to the resonances and captures to the excited states of ⁷Be. In addition to these reactions, it is possible that the recombination rate is increased by effects from the 2s state via the reaction

$${}^{7}Be_{3/2} + X^{-} \to ({}^{7}Be_{1/2}X^{-}, n = 2, l = 0) \to ({}^{7}Be_{1/2}X^{-}, n = 2, l = 1) + \gamma \to ({}^{7}BeX^{-}) + 3\gamma = 0$$

However the position of this resonance is unknown, and this reaction introduces additional uncertainty into the recombination rate. Therefore two special cases will be considered, corresponding to either no effect from this reaction or to a resonance located at +10 keV. In the second case, the recombination cross-section contains an additional term,

$$<\sigma_{rec}v> \rightarrow <\sigma_{rec}v> + \frac{4\times10^3}{T_9^{3/2}}exp(-0.12/T_9)$$
 (3.19)

This additional term can increase the total recombination rate by a factor of a few, and therefore is the source of a significant uncertainty.

It is also important that the bound states are not destroyed by thermal photons.

The rate for this process is related to the recombination rate via the detailed balance relation [144],

$$\Gamma_{photo} = \int_{E>|E_g|} \sigma_{photo} dn_{\gamma}(E) = <\sigma_{rec}v > \times \left(\frac{m_{Be}T}{2\pi}\right)^{3/2} exp(-|E_g|/T) \quad (3.20)$$

or

$$\Gamma_{photo} = <\sigma_{rec}v > \times \frac{5.5 \times 10^6}{T_9^{3/2}} exp(-15.42/T_9)n_{\gamma}$$
(3.21)

where $n_{\gamma}(T) = 0.24T^3$ is the total photon number density. Using both the recombination rate and photodisintegration rates, the Boltzmann equation for the density of bound states is

$$-HT\frac{dY_B}{dT} = n_B Y_X(1 - Y_B) < \sigma_{rec} v > -\Gamma_{photo} Y_B$$
(3.22)

with the assumption that $n_X \gg n_B$.

It should also be noted again that in this calculation the abundance of ⁷Be nuclei is taken to be constant. In the SBBN scenario, ⁷Be freezes out at a temperature of $T \sim 40 \ keV$, while the bound states (⁷BeX⁻) form at temperatures of $T \sim 33 \ keV$. Therefore in the temperature range at which CBBN reactions become important, the abundance of ⁷Be is approximately constant.

Catalyzed Reactions

Once the bound states of ⁷Be have formed, there are several reactions which destroy the ⁷Be nuclei and decrease the abundance of ⁷Li + ⁷Be. Some of these reactions are enhanced relative to the SBBN case, while other reactions are not possible in SBBN.

The first reaction to be considered is the destruction of ${}^{7}\text{Be}$ by protons. The reaction

$$^7Be + p \rightarrow ^8B + \gamma$$

is significantly enhanced in the catalyzed form, $({}^{7}BeX^{-}) + p \rightarrow {}^{8}B + X^{-}$, but the threshold energy is too large for this reaction to occur at any significant rate. It is also possible to catalyze this reaction as³

$$(^7BeX^-) + p \rightarrow (^8BX^-) + \gamma$$

In this reaction, there is a reduction in the Gamow suppression due to the Coulomb shielding of the ⁷Be nucleus by X^- , as well as an increase in the rate due to the emitted photon having approximately five times more energy than in the non-catalyzed reaction. The non-catalyzed reaction has been well studied (see for example Ref. [147] for the properties of the S-factor), and is known to depend on the third power of the photon energy through the S-factor,

$$S_{SBBN}(0) = \frac{5\pi}{9} \alpha \left(\frac{Z_1 A_2 - Z_2 A_1}{A}\right)^2 \omega_{SBBN}^3 I^2(0)$$
(3.23)

³In Ref [145, 146], it was also suggested that this reaction could proceed through a resonant excited state of ⁸B. However the threshold energy for this reaction is much larger and the reaction is unimportant.

In this equation, A_i and Z_i represent the mass and charge of the initial nuclei in this reaction and I(0) is the radial nuclear integral. Therefore, at first approximation the S-factor is taken to be

$$S_{CBBN}(0) = S_{SBBN}(0) \left(\frac{\omega_{CBBN}}{\omega_{SBBN}}\right)^3 \frac{1}{0.37^2} \sim 15 \text{ keV bn}$$
(3.24)

where we have taken $S_{SBBN}(0) = 21 \text{ eV}$ bn and the factor of represents the effects of the mass and charge of X^- .

This reaction also receives a significant contribution from the bound state resonances, with the most important being

$$({}^{7}BeX^{-}) + p \to ({}^{8}BX^{-}, n = 2, l = 1) \to ({}^{8}BX^{-}) + \gamma \qquad E_{R} = 167 \ keV$$

where the energy is given relative to the $({}^{7}BeX^{-}) + p$ unbound system. The rate for this reaction is

$$<\sigma v>\simeq 1.6 \times 10^8 T_9^{-2/3} exp(-8.86/T_9^{1/3}) + 1.6 \times 10^6 T_9^{-3/2} exp(-1.94/T_9)$$
 (3.25)

The non-resonant contribution is small relative to the resonant rate, and the bound states, $(^{7}BeX^{-})$, are destroyed by p-burning until $T_{9} \approx 0.2$.

The bound states, $({}^{8}BX^{-})$, will β -decay into $({}^{8}BeX^{-})$ which remains stable for the lifetime of the charged relic. Once it decays, the remaining ${}^{8}Be$ decays into two α -particles, but the amount of ${}^{4}He$ produced in this way does not affect the primordial abundance of Helium. It may also be possible for the reaction

$$(^{8}BeX^{-}) + n \rightarrow ^{9}Be + X^{-}$$

to occur, resulting in a small abundance of primordial ${}^{9}Be$.

The second reaction to be considered is destruction by neutrons. In the Standard BBN, the main channel for ⁷Be depletion is

$$^{7}Be + n \rightarrow ^{7}Li + p$$

However as outlined in Ref [122], the corresponding bound state reaction

$$(^{7}BeX^{-}) + n \rightarrow (^{7}LiX^{-}) + p$$

is not enhanced, as the widths of the ${}^{8}Be$ resonances which mediate the reaction are too large to be affected by the binding energies in any significant way.

From the relative magnitude of the proton and neutron destruction of ${}^{7}\text{Be}$, as well as the relative abundances of protons and neutrons at the appropriate temperatures, it is clear the in CBBN p-burning is the dominate channel for suppressing the ${}^{7}\text{Be}$ + ${}^{7}\text{Li}$ abundance.

Internal Decays of X^-

Another possible destruction mechanism is through the decay of the charged relic while in the bound state. The three main processes for this are:

A:
$$({}^{7}BeX^{-}) \rightarrow {}^{7}Be + \text{decay products} + \text{hard } \gamma \rightarrow {}^{3}He + {}^{4}He + \dots$$

B:
$$(^{7}BeX^{-}) \rightarrow ^{7}Be(E \gg T)$$
 + background particles + ... $\rightarrow ^{3}He + ^{4}He$ + ...

C:
$$(^7Be^*X^-) \rightarrow ^3He + ^4He + \dots$$

In the first case, the decaying X^- produces a high energy photon which destroys the ⁷Be nucleus. In this case, the decaying X^- can release energies of $O(100 \ GeV)$ while the photodisintegration threshold for ⁷Be is $E_{thr} \simeq 1.59 \ MeV$. In the second case, the decaying X^- does not directly affect the nucleus, but instead release the ⁷Be nucleus back into the background of thermal nuclei. Because the orbital energies of the ⁷Be are of order $O(1 \ MeV)$ in the bound state, the recoiling nucleus is sufficiently energetic that it may be broken up by collisions with other nuclei and background particles. In the third case, the effect of being bound to a charged particle polarizes the ⁷Be nucleus, effectively forming a superposition of the ground state with several excited states of the free nucleus. When the X^- decays, this polarization is removed and the ⁷Be excited states can decay into ${}^{3}He + {}^{4}He$.

The efficiency of case A can be estimated as follows. Assuming a Type I model (to provide sufficient energy in the decay) and that X^- decays to a single charged particle with an energy of $E_{max} \gg 1.59 \ MeV$, and approximating the flux of high energy photons colliding with the ⁷Be nucleus, the probability of radiative break-up is

$$P_{rad br} \simeq \int_0^\infty dr |\psi(r)|^2 \int_{E_{thr}}^{E_{max}} \sigma_\gamma(E) dn_\gamma(E)$$
(3.26)

where $dn_{\gamma}(E)$ is the number of photons with $E < E_{max}$ and $\sigma_{\gamma}(E)$ is the crosssection for radiative breakup of the ⁷Be nucleus. Using the measured cross-section⁴

 $^{^{4}}$ In the calculation of the probability of break-up for the 7 Be nuclei, the cross-sections are given in different units than usual for BBN, as this calculation applies to a single nuclei with a certain

for ${}^7Be + \gamma \rightarrow {}^3He + {}^4He$ [109],

$$\sigma_{\gamma} = 0.504 \ mb \left(\frac{2371 \ MeV}{\omega}\right)^2 exp \left(-5.19/\sqrt{E_{cm}} - 0.548E_{cm}\right) \\ \times \left(1 - 0.428E_{cm}^2 + 0.534E_{cm}^3 - 0.115E_{cm}^4\right)$$
(3.27)

where ω is the energy of the virtual photon, $E_{thr} = 1.587 \ MeV$ is the threshold at which this reaction can occur, and $E_{cm} \equiv \omega - E_{thr}$ is the released energy in MeV. The probability is given by

$$P_{rad \ br} \approx 1.0 \times 10^{-4} \qquad E_{max} = 100 \text{ GeV}$$
 (3.28)

The other channel which can destroy the bound ⁷Be is ${}^7Be + \gamma \rightarrow {}^3He + {}^4He$, with cross-section

$$\sigma_{\gamma} = 32.6 \ mb \frac{E_{thr}^{10} E_{cm}^2}{\omega^{12}} + 2.27 \times 10^6 \ mb \frac{E_{thr}^{8.83} E_{cm}^{13}}{\omega^{21.83}} \tag{3.29}$$

where $E_{thr} = 5.61 \ MeV$. As with the previous channel, the probability is

$$P_{rad br} \approx 1.3 \times 10^{-4} \qquad E_{max} = 100 \text{ GeV}$$
 (3.30)

This indicates that this mechanism is not efficient, with $\leq 0.1\%$ of the bound ⁷Be nuclei being destroyed in this manner, and does not constitute a significant channel for suppression of the ⁷Be + ⁷Li abundance.

In case B, the decay of the relic X^- leaves the ⁷Be nucleus unbound with O(MeV) energy rather than a thermal distribution, and is not required in the BBN calculation. kinetic energy. In effect, the released nucleus is bombarded with a flux of background photons and other particles which serve to destroy the nucleus.

The exact destruction rate depends on the relative rates of the slowing of the ⁷Be nucleus and the reaction ${}^7Be + p \rightarrow {}^8B + \gamma$. The slowing of the ⁷Be nucleus occurs via elastic collisions, in the form of Coulomb scattering from electrons and positrons⁵. In Ref [122], we have estimated that an O(MeV) nucleus will thermalize in this manner in $\sim 10^{-6}s$. The rate for ⁷Be destruction is significantly smaller, with the rate of destruction by the reactions ${}^7Be + \gamma \rightarrow {}^4He + {}^3He$ and ${}^7Be + p \rightarrow {}^9B + \gamma$ estimated as $\Gamma_{\gamma} \sim 10^{-10}s^{-1}$ and $\Gamma_{p} \sim 10^{-5}s^{-1}$ respectively [128]. Therefore the probability of the ⁷Be nucleus being destroyed before thermalization is $\lesssim 10^{-11}$, and therefore this mechanism is also not significant.

In case C, the rate of destruction depends on the part of the bound state ⁷Be wavefunction which corresponds to an excited nucleus. This can be approximated as

$$\sum \left| \frac{\mathbf{d} \cdot \mathbf{E}_{0i}}{E_0 - E_i} \right|^2 \tag{3.31}$$

where $\mathbf{d} \cdot \mathbf{E}_{0i}$ is of order 1 MeV, and the energy difference is $(E_i - E_0) \ge 10 \ MeV$. From these typical values, it is clear that this mechanism is not efficient either, with less than 1% of recoiling nuclei decaying from excited states.

These three channels do not contribute significantly to the destruction of ⁷Be nuclei, and therefore the effects of internal decays of the charged relic can be ignored.

⁵The nuclei can also be slowed by Compton scattering of thermal background photons, however the cross-section for this process is several orders of magnitude smaller than the cross-section for Coulomb scattering [115]



Figure 3.4: The internal conversion of ⁷Be to ⁷Li via a weak-boson exchange with X^- .

Internal Conversion Channels

Another possibility is that there is a second relic state, X^0 , which has a similar mass to X^- , and which can be a decay product of $X^- \to X^0 + \text{charged particles}$. The most obvious choice is a weak-coupling, $X^- \to X^0 + W^-$, and in this case the $W^$ boson can then hit the ⁷Be nucleus in the bound state, (⁷BeX⁻), and convert it into a ⁷Li nucleus,

$$(^{7}BeX^{-}) \rightarrow ^{7}Li + X^{0}$$

This reaction is shown in Figure 3.4. As the ⁷Li nucleus is more fragile, it is subsequently destroyed through the SBBN channels.

Energy Injection From X^+X^- Annihilation

In addition to the catalysis of nuclear reactions, the presence of charged relics can also affect the primordial abundances of the light elements through the injection of energy from X^+X^- annihilations and decays. In particular, when the temperature of the Universe is low enough the relic can form a bound state with its antiparticle, and subsequently annihilate through a positronium-like state.

The rate of energy released by X^+X^- annihilations is

$$\Gamma_{ann} = <\sigma_{X+X-}v_{rel} > n_{X+} \tag{3.32}$$

where the cross-section for bound state formation is

$$<\sigma_{X^+X^-}v_{rel}> = \frac{2^{10}\pi^{3/2}\alpha^3}{3e^4m_X^{3/2}T^{1/2}}$$
(3.33)

It should be noted that this cross-section is sufficiently small that this mechanism does not have a significant effect on the abundance of X^- during CBBN.

As is common in calculations of this nature, the effects of this mechanism are quantified using the energy released per photon, normalized on 1 GeV. For annihilating particles, this takes the form

$$\xi = \frac{2m_X}{1 \ GeV} Y_X^2 \eta_B^2 \times \int_{T_1}^{T_2} \frac{\langle \sigma_{X^+X^-} v_{rel} \rangle n_\gamma}{TH} dT$$
(3.34)

where T_1 to T_2 represents the range of temperatures at which these effects are important. In this calculation, this range is between ~ 10 keV and ~ 40 keV. At higher temperatures the effects of the annihilating particles will be overwhelmed by the thermal nuclear reactions.

Using the cross-section given above, ξ is given by

$$\xi = 2.2 \times 10^{-12} \left(\frac{500 \ GeV}{m_X}\right)^{1/2} \left(\frac{Y_X}{0.02}\right)^2 \tag{3.35}$$

At this scale, the energy released in the form of photon pairs produced in $X^+X^$ annihilations is not sufficient to affect the nuclear abundances. However if the annihilations produce hadrons with any significant branching ratio, then this energy release can suppress the ⁷Li abundance by a factor of a few[111]. It should also be noted that the $m_X^{3/2}$ scaling of ξ , which is a result of the expected linear dependence of Y_X on m_X , means that heavier CHAMPs will produce a stronger suppression of the ⁷Li abundance due to hadronic annihilations. As a result, the predicted abundance of ⁷Li in the CBBN model depends on both the catalyzed reaction rates, calculated in previous sections, and on the effects of energy injected into the system by both the annihilations and decays of the CHAMP being considered.

Abundance

In the Standard BBN, the dominant processes which determine the ⁷Li abundance are:

SBBN: ³He+⁴He
$$\rightarrow$$
 ⁷Be+ γ ; ⁷Be+ $n \rightarrow$ ⁷Li+ p ; ⁷Li+ $p \rightarrow$ 2⁴He or D+⁶Li. (3.36)

In addition to these reactions, the abundance is also affected by secondary reactions:

SBBN:
$${}^{3}H + {}^{4}\text{He} \rightarrow {}^{7}\text{Li} + \gamma; {}^{7}\text{Be} + p \rightarrow {}^{8}\text{B} + \gamma; {}^{7}\text{Be} + D \rightarrow p + 2{}^{4}\text{He}; (3.37)$$

These processes have been studied extensively in relation to BBN [135, 148].

As demonstrated in the previous sections, the presence of charged relics can add additional destruction channels:

CBBN:
$$^{7}\text{Be} + X^{-} \rightarrow (^{7}\text{Be}X^{-}) + \gamma; \quad (^{7}\text{Be}X^{-}) + \gamma \rightarrow ^{7}\text{Be} + X^{-};$$

 $^{7}\text{Li} + X^{-} \rightarrow (^{7}\text{Li}X^{-}) + \gamma; \quad (^{7}\text{Li}X^{-}) + \gamma \rightarrow ^{7}\text{Li} + X^{-}.$ (3.38)

Type I and II :
$$({}^{7}\text{Be}X^{-}) + p \leftrightarrow ({}^{8}\text{B}X^{-}) + \gamma; \quad ({}^{8}\text{B}X^{-}) \to ({}^{8}\text{Be}X^{-}).$$

 $({}^{7}\text{Be}X^{-}) + n \to ({}^{7}\text{Li}X^{-}) + p; \quad ({}^{7}\text{Li}X^{-}) + p \to X^{-} + 2{}^{4}\text{He};$
 $({}^{7}\text{Li}X^{-}) + p \to X^{-} + D + {}^{6}\text{Li}.$

Type II only: $(^{7}\text{Be}X^{-}) \rightarrow ^{7}\text{Li} + X^{0}; (^{8}\text{B}X^{-}) \rightarrow ^{8}\text{Be} + X^{0}.$

In addition to these primary channels, destruction of the $({}^{7}\text{Be}X^{-})$ can occur by Dburning. In $({}^{7}\text{Li}X^{-}) + p$ and $({}^{7}\text{Be}X^{-}) + D$ reactions the only change implemented relative to the SBBN rate is in the Coulomb penetration factor. In Type II models, it is also possible to produce ${}^{7}\text{Li}$ through the internal conversion process ${}^{7}\text{Be}+X^{-} \rightarrow$ $({}^{7}\text{Be}X^{-}) + \gamma \rightarrow {}^{7}\text{Li} + X^{0} + \gamma$, as discussed in the previous section.

Although a full treatment of CBBN would require the inclusion of several hundred catalyzed reactions, the calculation of the ⁷Li abundance can be performed to



(b)

Figure 3.5: The combined constraints on the abundance and lifetime of charged relics from CBBN in (a) Type-I and (b) Type-II models. The gray region is excluded due to an overproduction of ⁶Li while the lines represent the region that can explain the observed ⁷Li suppression. Curve A corresponds to the abundance when Eq 3.18 is used, while curve B corresponds to the abundance when Eq 3.19 is used.

reasonable precision using only the Li-Be reactions ⁶ since the final abundances of these elements are too small for a backreaction to significantly affect the more abundant elements such as ⁴He , ³He , and D. Using the predicted temperature dependent abundances D(T), ³He (T), etc. from the full SBBN code, and the portions of the code corresponding to the reactions in Eq 3.36 and Eq 3.37, the prediction for the ⁷Li + ⁷Be abundance is

$$(^{7}\text{Li}_{tot})_{SBBN} \equiv (^{7}\text{Be} + {}^{7}\text{Li})_{SBBN} = 4.1 \times 10^{-10}$$
 (3.39)

which agrees with more detailed calculations⁷ of the SBBN [135, 137, 148].

When the reactions of Eq 3.38 are included, the ⁷Li abundance is reduced. The abundance depends on the mass and lifetime of the charged relic, and is given in Figure 3.5 along with the constraints from ⁶Li abundances. For relics along the solid line, the ⁷Li abundance is 2.5×10^{-10} , which is consistent with the observed abundance of primordial ⁷Li. From these results, a charged relic with

few
$$\times 100s < \tau_X < 2000s$$

could reduce 7 Li to observed levels without overproducing 6 Li .

⁶During the writing of this dissertation, two more calculations of the ⁶Li and ⁷Li abundance in CBBN were published [145, 146], in which additional reaction rates were estimated and included. However as indicated in Table 3.2, these additional reactions do not significantly alter the constraints given here.

⁷It should be noted that after this calculation was completed, the reaction rates were updated and the SBBN prediction for $({}^{7}\text{Li}_{tot})_{SBBN}$ has increased [136]. However these updated reaction rates are not expected to significantly alter the conclusions of this chapter.

	nuclei	$ au_X(s)$	Y_X
Pospelov [20]	⁶ Li	$\lesssim 4000$	$\lesssim 10^{-7}$
Hamagutchi [125]	⁶ Li	3300-3700	$\sim 10^{-3} - 10^{-4}$
Koopmans [128]	⁶ Li	1600 - 7000	$\sim 5\times 10^{-4}-0.07$
Bird et. al. [122]	⁷ Li	1000-2000	$\gtrsim 0.04$
Jittoh et. al. $[127]$	⁷ Li	730-1736	$\gtrsim 1.2 \times 10^{-10}$
Kusakabe et. al. [145, 146]	⁶ Li , ⁶ Li	1600-2800	0.09 - 0.6
Kusakabe et. al. [145, 146]	⁶ Li , ⁷ Li	1400-2700	0.04 - 0.2
(with X^- internal decays)			
Pospelov [121]	^{9}Be	$\lesssim few \times 10^3$	-

Table 3.2: Preferred parameters for CHAMPs from various calculations of CBBN

3.2 Conclusions

The existence of massive charged relics is well motivated in theories of supersymmetry and in other theories of new physics. In the past the properties of such particles has been constrained by both collider experiments and heavy water experiments, as well as indirect constraints from the γ -ray background. However collider experiments have thus far only been capable of excluding $m_X \leq O(100 \text{ GeV})$ in very model dependent ways, and heavy water experiments cannot constrain heavy metastable CHAMPs with low abundance.

In this chapter, I have demonstrated how the presence of charged massive particles in the early Universe could affect the predictions of Big Bang nucleosynthesis by catalyzing nuclear reactions. In particular, the formation of bound states of charged relics with Lithium and Beryllium nuclei can lead to a suppression of the primordial ⁷Li abundance. As the ⁷Li abundance is one of the few discrepancies between BBN predictions and observation, with the observed abundance a factor of a few lower than predicted, this result can be viewed as another motivation for the existence of
charged massive particles in the early universe.

The primary constraint on CHAMPs in CBBN is from ⁶Li production. This bound and the ⁷Li bounds are reasonably model independent, with the main assumption being that the relic has unit charge and is massive.

As originally published in Ref [122], for CHAMPs with lifetimes in the range of $\tau \sim 1000 - 2000 \ s$ and abundances in the range of $Y_X \gtrsim 0.04$, the predicted ⁷Li abundance is consistent with observations without violating observed limits on the ⁶Li abundance. At present, the region of concordance between all CBBN bounds gives the preferred CHAMP properties as $\tau \sim 1500 \ s$ with $Y_X \sim O(0.1)$.

In the last year, the predictions of catalyzed Big Bang nucleosynthesis have been studied extensively [20, 119, 120, 122, 125, 126, 127, 149, 150, 151, 152, 145, 146, 153, 154] and will be studied in more detail in the future. A summary of the constraints on CHAMPs in each study is given in Table 3.2. The first three studies [20, 125, 128] used the production of ⁶Li as a restriction, with different estimates of the relevant production rates and the fractional abundance of bound states of (⁴HeX⁻), and constrain generic properties of the CHAMPs based on the observed small but nonzero abundance of primordial ⁶Li . The ⁷Li calculations [122, 127] assume that the CHAMP is responsible for the observed suppression of primordial ⁷Li, although these constraints could be avoided if ⁷Li is suppressed by other mechanisms as well. The two papers which simultaneously treat ⁶Li and ⁷Li also estimate additional reaction rates in CBBN which slightly alter the constraints. Furthermore, these two papers give different constraints, as the first result is independent of the CHAMP decay mode while the second result includes the effects of the decay $(NX^-) \rightarrow N + X^0 + ...$ [145, 146]. In addition, CBBN predicts a fairly model independent abundance of ${}^{9}Be$ relative to the ⁶Li abundance [121], which should be measurable in future astrophysics experiments. It has also been suggested that the region of parameter space which resolves the ⁷Li discrepancy should be thoroughly probed in future collider experiments [157, 158].

Based on these results, it is clear that CBBN provides a strong constraint on the properties of charged relics in the Universe as well as providing a possible explanation for the higher abundance of 6 Li and the suppression of 7 Li in the early Universe.

Chapter 4

Extra Dimensions

4.1 Overview

The possibility that there may exist hidden dimensions in our Universe has been considered for several centuries. The first serious research into extra dimensions occurred in 1915 with the four-dimensional general theory of relativity, and the five and six dimensional theories of Nordstrom [159], Kaluza [160], and Klein [161]. These theories were largely ignored until the 1970's, with the realization that certain models of supersymmetry and superstring theory were only consistent in higher dimensional spacetimes.

In 1998, the idea that the Universe could contain extra dimensions was revitalized with the realization that higher dimensions could solve several problems with Standard Model. It was discovered that if the Standard Model fields were confined to a small region of the extra dimension [28], or confined to a three-dimensional membrane [29, 30], then gravity could be made as strong as the other three forces in the Standard Model without violating existing experimental bounds, resolving the *hierarchy problem*. In addition, these models allowed extra dimensions as large as $R \sim 1 \ mm$ which made it possible to search for extra dimensions in modern gravity experiments. Later models have also been used to explain the fermion hierarchy [162, 163], number of spacetime dimensions[164], dark matter [33, 34, 35], dark energy [165], inflation [166], the low energy scale of the cosmological constant [167, 168], and non-singular alternatives to inflation [169].

The original models introduced by Kaluza and Klein included no difference between higher dimensions and the usual dimensions of space, with the exception of compactification. At present there are several models of extra dimensions, with no clear indication of which if any is correct. Many of the models are known to be unstable with respect to small perturbations. However each model predicts unique phenomena which could be detected. The three main classes of extra dimensional models are:

Universal Extra Dimensions (UED) - In the UED model, all Standard Model fields are allowed to propagate through all dimensions. This also results in very strong constraints on the size of the extra dimensions, with colliders restricting $R \leq 10^{-16}$ cm. Since the SM fields can propagate in the higher dimensions, these models have been used to explain the three generations of the Standard Model [162, 163], dark matter [33, 34, 170], but may also predict a decaying proton [171, 172].

Nonwarped Braneworlds - The second class of extra dimensional models involves 4D branes embedded in higher dimensional spacetimes. In such models, the extra dimensions are not warped, and are usually given the topology of a d-dimensional torus. In these models, only gravity can propagate in all dimensions, while the Standard Model fields are restricted to the brane. Gravity experiments still require these extra dimensions to be compact, but their sizes can be of O(1 mm) [28] which is observable in modern gravity experiments [31]. One of the features of these models is the presence of Kaluza-Klein gravitons. The gravitational field, like any function with periodic boundary conditions, can be described by discrete modes. Each of these modes satisfies the equation of motion for either a spin-2 particle (KK graviton), a vector field (graviphoton), or a scalar (radions or graviscalars) [173]. These effective particles are known as Kaluza-Klein modes, and have a mass spectrum of the form

$$m_{\vec{n}}^2 = m_0^2 + \frac{\vec{n}^2}{R^2} \tag{4.1}$$

where \vec{n} represents a set of d-integers. Nonwarped brane models also have a reduced Planck mass, which is given by

$$M_*^{d+2} = M_{PL}^2 / R^d \tag{4.2}$$

and which can be as low as a few TeV[28].

Warped Extra Dimensions - In models with warped extra dimensions, the Standard Model fields are still trapped on a 4D brane while gravity can propagate in higher dimensions. However unlike the previous model, the strong warping of the extra dimensions allows for large or even infinite dimensions as the warping limits the range over which gravity can operate.

The most common example of warped extra dimensions are the Randall-Sundrum models [29, 30]. In the Randall-Sundrum model, the metric of spacetime is given by

$$ds^{2} = e^{-2k\phi(x^{\mu})|y|}\eta_{\mu\nu}dx^{\mu}dx^{\nu} - \phi(x^{\mu})^{2}dy^{2}$$
(4.3)

As outlined in Section 2.2.7, the field $\phi(x^{\mu})$ has the same properties as a scalar field trapped on the 4D brane, and is referred to as the *radion*. As with the nonwarped models, the Randall-Sundrum has a reduced Planck mass given by

$$M_* \approx M_{PL} e^{-k\pi r_c} \tag{4.4}$$

where $r_c \equiv \langle \phi \rangle$. In this model, M_* can be as small as 1 TeV.

In this section I review the existing constraints on the ADD model [28] of nonwarped extra dimensions, and then calculate two new sets constraints arising from Big Bang nucleosynthesis in Section 4.3.1 and from positron production in the galactic core in Section 4.3.2. Although each constraint assumes the extra dimensions form a d-dimensional torus with all compact dimensions having the same size, the methods used are generic and could also be applied to more general models to provide additional constraints.

4.2 Previous Constraints & Searches

The most important constraint on such models is that they not violate current limits from gravitation experiments [31]. In the ADD model, a single extra dimension cannot resolve the hierarchy problem unless $R \simeq 1000 \ km$. However a dimension this large would lead to a $1/r^3$ gravitational force at the scale of the solar system, and so is already excluded. For higher dimensions, the present limit from gravitational force measurements is $R \lesssim 0.13 \ mm$ [32]. For comparison with other bounds, the gravitational bound on two extra dimensions limits the Planck mass to be $M_* \gtrsim$ $1.9 \ TeV$.

4.2.1 Astrophysics Constraints

In the early Universe, large numbers of Kaluza-Klein gravitons would have been created in both thermal processes and non-thermal processes. For thermal production, the dominant production channels are $\gamma \gamma \rightarrow KK, \bar{\nu}\nu \rightarrow KK$ and $e^+e^- \rightarrow KK$ [173, 174]. By assuming that the abundance of Kaluza-Klein modes is smaller than the abundances of the Standard Model fields, which avoids backreactions of the KK modes, the Boltzmann equation can be written as

$$\dot{n}_m = \sum_{i=\gamma,\nu,e^+} <\sigma_{ann}v >_i n_i^2 - 3Hn_m$$
(4.5)

where H is the Hubble constant and n_i is the number abundance of the fields. In this equation, it is assumed that the Kaluza-Klein modes produced in this way will have small mass, which results in a long life [173] and as such the decay term in the Boltzmann equation has be omitted. Following Ref [174], this equation can be reduced to

$$\dot{n}_m = -3Hn_m + \frac{11m^5T}{128\pi^3 M_P^2} K_1(m/T)$$
(4.6)

where m is the mass of the Kaluza-Klein mode, M_P is the reduced Planck mass (or the Planck mass as viewed on the brane) and K_1 is the modified Bessel function of the second kind. Taking in consideration the subsequent KK mode decays, gives the present abundance of a specific mode as

$$n_m \simeq \frac{19T_0^3}{64\pi^3 \sqrt{g_{*,RH}}} \frac{m}{M_P} e^{-\Gamma_{dec}(m)t_0} \int_{m/T_{RH}}^{\infty} q^3 K_1(q) dq$$
(4.7)

or for all modes with mass m,

$$n_m \simeq (1.9 \times 10^{-22} \ GeV^4) S_{d-1} \frac{T_{RH}^{d+1}}{M_*^{d+2}} \left(\frac{m}{T_{RH}}\right)^d e^{-\Gamma_{dec}(m)t_0} \int_{m/T_{RH}}^{\infty} q^3 K_1(q) dq \quad (4.8)$$

where T_{RH} is the temperature at which the Universe becomes radiation dominated, $S_{d-1} = 2\pi^{d/2}/\Gamma(d/2)$ is the area of a d-dimensional sphere. It is assumed that the modes are so closely spaced and that R is sufficiently large that the sum over indices, which for a given mass m must satisfy $\sum_{i=1..d} \left(\frac{k_i}{R}\right)^2 = m^2$, can be replaced with an integral over the surface of a sphere.

The second production mechanism in the early Universe is non-thermal production during reheating. It is believed that the Universe underwent a period of inflationary expansion, generated by a massive field known as the *inflaton*. At the end of this expansion, the inflatons decayed into other fields, which in effect reheated the Universe and began the period of thermal interactions which produced the usual Standard Model fields and dark matter. Since gravity is coupled to energy, these inflaton decays would necessarily be accompanied by production of Kaluza-Klein gravitons.

The abundance of Kaluza-Klein modes produced by reheating can be calculated in a similar manner to the abundance of thermally produced modes (see eg. Ref [175]). The final result is an abundance of

$$n_m \simeq (1.9 \times 10^{-22} \ GeV^4) S_{d-1} \frac{T_{RH}^{d+1}}{M_*^{d+2}} \left(\frac{m}{T_{RH}}\right)^{d-7} e^{-\Gamma_{dec}(m)t_0} \int_{m/(\alpha T_{RH})}^{m/T_{RH}} q^{10} K_1(q) dq$$
(4.9)

where $\alpha \equiv T_{MAX}/T_{RH}$ is a measure of the maximum temperature produced during reheating.

The total (number) abundance of Kaluza-Klein gravitons is given by the sum of the thermal and non-thermal abundance, given in Eq. 4.8 and Eq. 4.9 respectively, summed over all modes. The total (energy) abundance is similarly given by

$$\rho_{KK} = \int_0^\infty dm \ m(n_{m,thermal} + n_{m,reheat}) \tag{4.10}$$

As before, it is assumed that the modes are so closely spaced that the sum over modes with the same mass can be replaced with an integral over the surface of a sphere, and the sum over modes with different masses can be replaced with an integral over the mass.

Diffuse γ -ray Background

Since these gravitons can have sufficiently long lifetimes that they still exist in the Universe at present, the decay of these modes can be detected in satellite experiments. Some of these gravitons will decay to photons, with the decay rate [173]

$$\Gamma_{dec}(m) = \frac{m^3}{80\pi M_{PL}^2}$$
(4.11)

producing a flux of extragalactic γ -rays which can be observed by several satellite based experiments.

Using the measurements from EGRET [176] and COMPTEL [177], a lower limit on the Planck mass, M_* , was calculated¹ [175]. The results are given in Table 4.1. This also places an upper bound on the size of the extra dimensions from the relation,

$$\frac{R}{1 \ mm} \lesssim 2 \times 10^{31/d - 16} \left(\frac{1 \ TeV}{M_*}\right)^{1 + 2/d} \tag{4.12}$$

From the table, it can be seen that the constraints on the size of extra dimensions from this method are already several orders of magnitude better than from gravity experiments. As before, it should also be noted that these bounds assume that the extra dimensions form a torus, whereas other topologies and geometries are also possible and could avoid these bounds.

¹These results assume that $T_{MAX} \gtrsim 1 \text{ GeV}$, while $T_{RH} \sim 0.7 \text{ MeV}$, although it is possible that the difference between these two temperatures could be higher resulting in stronger constraints. It has also been argued that if T_{MAX} is too large, the KK modes produced would be heavier and therefore decay too early to be detected at present [178].

	d=2	d = 3	d = 4	d = 5	d = 6
M_*	$167 { m TeV}$	21.7 TeV	$4.75 { m TeV}$	$1.55 { m TeV}$	$< 1 { m TeV}$
R	22 nm	$2.5 \times 10^{-2} \text{ nm}$	1.1 pm	0.17 pm	> 0.029 pm
M_*	700 TeV	$25.5 { m TeV}$	2.8 TeV	$0.57 { m TeV}$	$0.17 { m TeV}$
R	1.3 nm	$1.9 \times 10^{-2} \text{ nm}$	2.4 pm	0.70 pm	0.16 pm

Table 4.1: Bounds on the size of nonwarped extra dimensions and the reduced Planck mass from astrophysical experiments, with the first two lines representing bounds from the γ -ray background and the second two lines representing bounds from neutron stars. The size of the extra dimensions is given as an upper bound, while the Planck mass given is a lower bound.

Neutron Stars & Supernovae

In addition to the Kaluza-Klein gravitons produced in the early Universe, it is also expected that high energy astrophysical processes will produce a significant abundance of Kaluza-Klein gravitons. In particular, it is expected that a fraction of the energy released in a supernova will be in the form of KK modes. The immediately imposes a constraint on the size of the extra dimensions, since the energy loss will affect the flux of neutrinos and other particles emitted by the supernova. For SN 1987A, observations of the neutrino flux restrict the fraction of energy lost to KK modes to be $f_{KK} \leq 0.5$ [179, 180, 181], which corresponds to $M_* \gtrsim 8.9 \ TeV$ for² d = 2. The bounds can be strengthened further by using the EGRET data to search for sources of γ -rays created in the decay or annihilation of the KK gravitons surrounding all cosmic supernovae, with $f_{KK} \lesssim 0.5 \times 10^{-2}$ or $M_* \gtrsim 28 \ TeV$ for d = 2[183, 182].

It is also possible to search for the effects of Kaluza-Klein gravitons in nearby neutron stars. During a supernova, a large number of KK modes are produced but

²For the purpose of clarity, only the constraints on the case of d = 2 are presented. The results for higher dimensions are given in Ref [182]

they tend to have small kinetic energies, and as such are trapped in the gravitational potential of the supernova core. The final result is a neutron star surrounded by a halo of KK gravitons. Since these modes are decaying and annihilating, this also results in a flux of ~ $O(100 \ MeV)$ neutrinos, electrons/positrons, and γ -rays. Therefore experiments designed to search for γ -ray fluxes from localized sources, such as GLAST, will be able to detect the effects of this halo, with the strongest bounds arising from nearby neutron stars. Existing data from EGRET can already be used is this manner to restrict $M_* \gtrsim 54 \ TeV$ for d = 2 [184, 182].

The Kaluza-Klein graviton halo also affects the neutron star itself. The same decays and annihilations which produce an observable flux at Earth will also produce a flux of particles and γ -rays that reheat the neutron star and prevent it from cooling. By observing the thermal emissions of pulsars, this effect can be used to place strong bounds on the ADD model of $M_* \gtrsim 700 \ TeV$ for d = 2 [184, 182]. The bounds for higher numbers of dimensions and the corresponding upper bounds on the size of the extra dimensions are given in Table 4.1.³

From Table 4.1, it can be observed that the constraints from neutron stars, in which the KK-modes are produced through neutron-neutron scattering, are better than those from the γ -ray background, in which the KK-modes are thermally produced, for d = 2 and d = 3 while for higher dimensions the γ -ray background is stronger. This difference is due to the fact that γ -rays are produced by thermal KK-modes while neutron stars produce modes via neutron-neutron scattering which have different dependencies on the number of dimensions.

³It should be noted that there is some uncertainty in the production of KK modes in supernovae, and various authors have produced different constraints, as summarized in Ref. [185]

4.2.2 Collider Constraints

Existing Experiments

Aside from astrophysics experiments, Kaluza-Klein modes can also be produced in collider experiments. In the ADD model all particle processes can emit gravitons, however due to the weak gravitation couplings the rates of graviton emission are proportional to inverse powers of the Planck energy $M_{PL} = 1.2 \times 10^{19} \text{ GeV}$. As such it is not possible to search for events with a specific number of missing gravitons.

However it is possible to search for the missing energy due to the emission of large numbers of gravitons. Although the probability of emitting a single graviton is small, in the ADD model the number of graviton modes with energy less than E is $\sim (RE)^d$. For reasonable energies, this number is quite large and as such the probability of producing any gravitons is significant. At the Tevatron, searches have been conducted for the reaction $p\bar{p} \rightarrow \text{jet} + \not{E}$ [2] while LEP searched for the reactions $e^+e^-\gamma + \not{E}$ [1], $Z^0 + \not{E}$ [186]. The combined results from LEP give the limits in Table 4.2 at 95% c.l. while the limits from the Tevatron are given in Table 4.3.

d	2	3	4	5	6		
M_*	$1.60 { m TeV}$	$1.20 { m TeV}$	$0.94 { m TeV}$	$0.77 { m TeV}$	$0.66 { m TeV}$		
R	$250~\mu m$	3.2 nm	12 pm	0.45 pm	50 fm		

Table 4.2: Lower bounds on M_* and upper bounds on R, due to missing energy experiments at LEP through the reaction $e^+e^- \rightarrow \gamma + \not E$. [1]

The constraints on the ADD model are stronger at LEP for d = 2, 3, 4, and stronger at Tevatron for d = 5, 6, due to different dependences on the number of dimensions for the two processes studied. However both of these collider results are weaker than the astrophysics constraints on the ADD model, with the exception of

d	2	3	4	5	6		
M_*	$1.18 { m TeV}$	$0.99 { m TeV}$	$0.91 { m TeV}$	$0.86 { m TeV}$	$0.83 { m TeV}$		
R	$350 \mu m$	3.6 nm	11 pm	0.35 pm	34 fm		

Table 4.3: Lower bounds on M_* and upper bounds on R, due to missing energy experiments at Tevatron through the reaction $p\bar{p} \rightarrow jet + \not{E}$. [2]

d = 6 for which the CDF at the Tevatron provides the strongest constraints.

Future Experiments

The ADD model will also be probed at the LHC (and the ILC) using several channels. Since the Standard Model Higgs field couples to the Kaluza-Klein gravitons, it may be possible for the ADD model to be probed using the invisible Higgs decays outlined in Section 2.5 [187, 188]. As shown in Ref [187], it is also possible to probe the ADD model using the mixing of a Higgs boson and a Kaluza-Klein graviscalar. However the constraints from these channels at future colliders are still expected to be weaker than those from existing satellite experiments, particularly for d = 2, 3 in which the scale of gravitation interactions, M_* , is expected to be above the energies probed at these colliders.

Another channel which can be used at the LHC is dimuon production, in which virtual graviton exchange produce an observable effect in the production of muon pairs with large invariant mass. This channel can provide the limits given in Table 4.4 and Table 4.5 [189]. Using this channel, the LHC bounds for d = 2, 3, 4 are weaker than those from the diffuse γ -ray background, as given in Table 4.1, even for very high luminosity. However the LHC could probe for extra dimensions with d = 5, 6 with luminosity as low as $\mathcal{L} = 10 \ fb^{-1}$, as the existing astrophysics bounds

	$\mathcal{L} = 10 \; fb^{-1}$	$\mathcal{L} = 30 \ f b^{-1}$	$\mathcal{L} = 100 \ fb^{-1}$	$\mathcal{L} = 300 \ fb^{-1}$
d = 3	$5.0 \ TeV$	$6.1 \ TeV$	$7.5 \ TeV$	$8.6 \ TeV$
d = 6	$4.2 \ TeV$	$4.8 \ TeV$	$5.8 \ TeV$	$6.7 \ TeV$

Table 4.4: The values of M_* which provide 5σ signal from dimuon production at the LHC, for d = 3 and d = 6.

	$\mathcal{L} = 10 \ fb^{-1}$	$\mathcal{L} = 30 \ f b^{-1}$	$\mathcal{L} = 100 \ fb^{-1}$	$\mathcal{L} = 300 \ fb^{-1}$
d = 3	$0.29 \ nm$	$0.21 \ nm$	$0.15 \ nm$	$0.12 \ nm$
d = 6	$4.3 \ fm$	$3.6 \ fm$	$2.8 \ fm$	$2.3 \ fm$

Table 4.5: The values of R which provide 5σ signal from dimuon production at the LHC, for d = 3 and d = 6.

are weak for higher numbers of dimensions.

It has also been suggested that colliders could search for extra dimensions through the production of black holes (for a review, see eg Ref. [190, 191, 192]). The production of sub-atomic black holes is not well understood, however it is believed that they can form when the energy in the collider exceeds the Planck scale. Although this will not happen for $M_{PL} \simeq 1.2 \times 10^{16} TeV$ in the normal four-dimensional spacetime, the ADD model reduces the Planck mass to the TeV scale, which is accessible.

Although the production of black holes in colliders is not well understood, or even certain to occur, the energy scales which can be probed by colliders is limited. In order to produce black holes at the LHC, the Planck mass would have to be $M_* \leq O(10 \ TeV)$, which from astrophysics constraints on the ADD model means that only d = 4, 5, 6 could be probed in this way.

The next generation of collider experiments has the potential to probe an interesting region of parameter space in the ADD model. For low numbers of dimensions, d = 2,3 the range of Planck masses which can be studied is already excluded by existing astrophysics bounds. For d = 4,5 the LHC can explore regions which are allowed by the neutron star bounds, however as will be shown in Section 4.3, this range is excluded by nucleosynthesis and by galactic positron production ⁴. For d = 6, the LHC is expected to be able to probe further than any existing astrophysics bounds.

4.3 New Constraints

4.3.1 Nucleosynthesis Constraints

The presence of extra dimensions, and in particular the decay of the Kaluza-Klein modes, will also affect the relative abundance of the light nuclei predicted by BBN. By comparing the observed abundances with the abundances predicted by BBN in the presence of KK gravitons, the ADD model can be further constraints. In this section, I derive and present the resulting limits, as previously published by the author and collaborators in Ref [193].

In the standard cosmology, it is believed that the early universe experienced a period of rapid expansion known as inflation. This expansion is generated by the presence of a field, referred to as the *inflaton*, which then decays into the Standard Model fields and reheats the Universe.

However if the Universe also contains extra dimensions, then some fraction of the energy released by inflaton decay will be in the form of Kaluza-Klein gravitons. Since the KK modes interact through gravity, they couple to the mass and energy of the

⁴The bounds from these two mechanisms could be weakened sufficiently to allow LHC to detect extra dimensions, however this requires some tuning of the models.

Figure 4.1: The Feynman diagram for emission of KK modes in the decay of the inflaton into a pair of the scalars ψ .

inflaton, and as such are relatively insensitive to the precise details of the inflaton model. This also requires that every decay channel of the inflation, $\phi \rightarrow \psi_i \psi_j$, be paired with a KK-mode producing channel, $\phi \to \psi_i \psi_j + KK$.

The KK modes produced in inflaton decays are expected to have lifetimes of several years, which results in their decay occurring after all light elements have been generated by Big Bang Nucleosynthesis. As a result of this delay in the release of some of the inflaton energy, the relative abundances of the light elements will be distorted compared to models without extra dimensions, and observed abundances can be then used to restrict the nature of the higher dimensions.

For the purpose of this calculation, it will be assumed that the inflaton decays primarily to Higgs bosons. The Feynman diagrams which contribute to this decay are given in Figure 4.1. As I will demonstrate in this section, the constraints on extra dimensions which result from this calculation depend on the energy released rather than the coupling constants. Therefore these constraints are relatively insensitive to which decays dominate.

Although there is a large range of masses and lifetimes for the KK-modes which are produced, any neutral relics which decay after recombination ($\tau_{KK} \gtrsim 10^{12} \ sec$) will leave a signature in the γ -ray background, which provides a very strong bound



Figure 4.2: The lifetime of the KK gravitons as a function of mass is indicated by the dashed line. The lifetime of the KK radions is shown for d = 2 (solid line) and for d = 6 (dashed-dotted line).

[194, 195]. For neutral relics with shorter lifetimes, it is expected that constraints from the dissociation of light nuclei will provide a stronger bound [194, 109]. From Ref. [109] the bound on the energy released by these decays is

$$\frac{\sum n_{KK} m_{KK}}{s} \approx 2 \times 10^{-12} \ GeV \tag{4.13}$$

for $\tau_{KK} \gtrsim 10^8 s$, while for $10^8 s > \tau_{KK} > 10^6 s$ the bound is

$$\frac{\sum n_{KK} m_{KK}}{s} \approx 2 \times 10^{-9} \ GeV \tag{4.14}$$

For KK modes with lifetimes in this range, the masses are in the electroweak energy scale, and the decays are to Standard Model particles. The lifetime of the graviton modes is [173]

$$\tau_{gr} \simeq 5 \times 10^4 \sec\left(\frac{1 \text{ TeV}}{m_{KK}}\right)^3$$
(4.15)

while for the radions the lifetime is

$$\tau_{rad} \simeq 2(d+2) \times 10^5 \sec\left(\frac{1 \text{ TeV}}{m_{KK}}\right)^3$$

$$(4.16)$$

The precise lifetimes are plotted in Figure 4.2.

By using the requirement that $\tau \lesssim 10^8 \ sec$ for the KK mode to have a significant effect on BBN, a maximum mass can be calculated

$$m_{max}^{\rm gr} \simeq 80 {\rm GeV};$$
 $m_{max}^{\rm rad} \simeq 200, 210, 225, 240, 250 {\rm GeV},$
for $d = 2, 3, 4, 5, 6,$
(4.17)

The density of KK modes is calculated by first calculating the ratio of emitted KK modes to inflatons. This ratio is parameterized as

$$\frac{n_{KK}^{i}}{n_{\phi}} = C_{i}(x)\frac{m_{\phi}^{2}}{M_{PL}^{2}} \qquad x = \frac{m_{KK}^{i}}{m_{\phi}} \qquad i = \text{gr, rad}$$
(4.18)

and is equal to the three body decay width involving a single KK mode divided by the total decay width of the inflaton. For the purpose of this calculation, it is assumed that the inflaton decay width is dominated by the two-body decays and that the ratio using Standard Model particles is similar to the ratio using only scalar



Figure 4.3: The dependence of $C_{\rm rad}(x)$, as defined in (4.18), on the ratio $x = m_{KK}^{\rm rad}/m_{\phi}$. Separate plots are given for the number of extra dimensions d = 3, 4, and 6.

fields in the decay products. The diagrams for the decay of the inflaton are given in Figure 4.1. The functions $C_i(x)$ which are calculated in this manner are plotted in Figure 4.3.

The entropy released by inflaton decay satisfies the relation

$$\frac{n_{\phi}}{s} \simeq \frac{3T}{m_{\phi}} \tag{4.19}$$

which can be combined with the results of (4.18) to give the energy density of the KK modes,



Figure 4.4: The dependence of $B_{\rm rad}(x)$ on the ratio $x = m_{max}^{\rm rad}/m_{\phi}$. Separate plots are given for the number of extra dimensions d = 3, 4, and 6.

$$\sum_{KK} \frac{m_{KK}^{i} n_{KK}^{i}}{s} \simeq 3B_{i}(x) \frac{3Tm_{\phi}}{M_{*}} \left(\frac{m_{max}^{i}}{M_{*}}\right)^{d+1}, \qquad x = \frac{m_{max}^{i}}{m_{\phi}}$$
(4.20)

where $B_i(x) = \sum_{KK} m_{KK}^i C_i(m_{KK}^i/m_{\phi})$. Since the KK mode masses are very close together, this sum can be replaced with an integral over m_{KK}^i ,

$$B_i(x) = \frac{S_d}{x^{d+1}} \int_0^x dy C_i(y) y^d$$
(4.21)

where $S_d = 2\pi^{d/2}/\Gamma(d/2)$ is the surface area of a d-dimensional sphere. The function $B_{rad}(x)$ is plotted in Figure 4.4.

In the limit of $m_{max} \ll m_{\phi}$, the energy density of KK modes can be approximated



Figure 4.5: Lower bounds on M_* for d=3,4,6 and for a range of inflaton masses.

m_{ϕ}	d = 2	d = 3	d = 4	d = 5	d = 6
$1 { m TeV}$	$35 { m TeV}$	$13 { m TeV}$	$7.1 { m TeV}$	$4.5 { m TeV}$	2.8 TeV
$2 { m TeV}$	$47 { m TeV}$	$17 { m TeV}$	$9.1 { m TeV}$	$5.7 { m TeV}$	$3.4 { m TeV}$
M_*	$220 { m TeV}$	$42 { m TeV}$	$15 { m TeV}$	$7.9 { m TeV}$	$4.0 { m TeV}$

Table 4.6: Lower bounds on the reduced Planck mass from nucleosynthesis constraints as a function of m_{ϕ} .

m_{ϕ}	d = 2	d = 3	d = 4	d = 5	d = 6
1 TeV	$0.52 \mu m$	60 pm	0.59 pm	39 fm	7.4 fm
2 TeV	$0.29 \mu m$	$38 \mathrm{pm}$	0.41 pm	28 fm	$5.7~\mathrm{fm}$
M_*	$0.013 \mu m$	$8.5 \ \mathrm{pm}$	0.19 pm	18 fm	4.6 fm

Table 4.7: Upper bounds on the size of nonwarped extra dimensions in the ADD model from nucleosynthesis constraints as a function of m_{ϕ} .

as

$$\frac{\sum_{KK} m_{KK}^i n_{KK}^i}{s} \simeq \frac{2S_d}{(d+1)\pi} \frac{T_R m_\phi}{M_*} \left[\frac{d}{d+2} \left(\frac{m_{max}^{rad}}{M_*} \right)^{d+1} + 2 \left(\frac{m_{max}^{gr}}{M_*} \right)^{d+1} \right] \ln\left(\frac{m_\phi}{m_{max}} \right)$$
(4.22)

where we take $\ln m_{max}^{gr} \approx \ln m_{max}^{rad}$, and $T_R \simeq 0.7 \ MeV$ is the reheat temperature⁵. The constraint in Eq 4.14 then places a constraint on M_* , and therefore on the scale of the extra dimensions. These limits are given in Table 4.6 and Table 4.7. In addition, limits on M_* for d=3,4,6 and for a range of inflaton masses are given in Figure 4.5.

⁵Recently it has been suggested that any reasonable model of inflation would require $T_R \geq 4 MeV[196]$, which would further strengthen these bounds

These constraints are stronger than the previous constraints for d = 4, 5, 6. However unlike the previous constraints, these results are model dependent. For heavier inflatons, the constraints are expected to be even stronger.

4.3.2 Galactic Positron Constraints

In the previous sections, the effects of Kaluza-Klein excitations on the early Universe were considered. However it is also possible that observable effects could be created in the modern Universe by quasi-stable modes, which were produced in the early Universe, and which the ADD model predicts would still exist in the present.

As outlined in Section 2.6, measurements by the SPI spectrometer on the INTE-GRAL satellite [13, 197] have confirmed previous observations of a flux of 511 keV photons from the galactic center [198, 199, 200]. These experiments have also determined that the γ -rays are most likely produced by a diffuse source rather than by a few point sources, which is consistent with a galactic halo composed of dark matter or KK-modes.

In this section I consider the possibility that a significant density of KK gravitons could be trapped in the gravitational potential of the galaxy. Although these modes are quasi-stable, some will decay into electron-positron pairs and into γ -rays. This should result in an observable flux of γ -rays both from direct decay of the KK-modes and from subsequent electron-positron annihilation. The observed flux can then be used to constrain the nature and size of the extra dimensions by comparing the predicted flux with the observed flux in the solar system⁶.

⁶During the preparation of this dissertation, we became aware of another paper addressing this issue [201]. However in that paper, only a single modulus field was considered rather than the

Although it is possible to derive constraints from the entire spectrum of galactic γ -rays, the complexity of such a calculation places it beyond the scope of this dissertation. Therefore only the 511 keV γ -ray flux, which is produced by positron production in the galaxy, will be considered. It should also be noted that the constraint considered in this section results from the production of low-energy positrons which lose energy as they travel through the interstellar medium. It is assumed that the positrons become non-relativistic⁷ within a short distance and annihilate in the galactic bulge. However the rate of energy loss by these positrons and the cut-off energy above which the positrons can no longer contribute to the observed flux are not well understood, and could potentially cause some uncertainty in the final limits.

For the purpose of this calculation, it can be assumed that the γ -ray flux which results from KK decays depends only on the partial decay width and galactic abundance of the modes. It will also be assumed that all positrons produced in the decay of KK-modes with mass below a certain cut-off, denoted m_{max} , will become nonrelativistic and annihilate within the galactic bulge. The decay widths for graviton decay to electron-positron pairs is given in Ref. [173],

$$\Gamma_{e^+e^-}(m_{KK}) = \frac{m_{KK}^3}{80M_{PL}^2} \tag{4.23}$$

while the abundance of gravitons in the Universe was previously calculated in Ref. [174] and Ref. [178]. At cosmic scales, the number density of each KK-mode as a

complete spectrum of KK-modes

⁷It is also possible to detect relativistic positrons produced by dark matter annihilations or KK-mode decays in astrophysics experiments [202, 203, 204], with some experiments indicating an unexplained excess of high energy positrons [205]. However there are also a number of uncertainties inherent to modeling positrons flux through the galaxy (see eg. Ref [206]), and as such these bounds will not be considered in this dissertation.

function of mass is

$$n_0(m_{KK}) \simeq \frac{19T_0^3}{64\pi^3 \sqrt{g_*}} \frac{m_{KK}}{M_{PL}} e^{-t_0/\tau_{KK}} \\ \times \left(\int_{m_{KK}/T_{RH}}^{\infty} q^3 K_1(q) dq + 2 \left(\frac{m_{KK}}{T_{RH}} \right)^{-7} \int_{m_{KK}/T_{MAX}}^{m_{KK}/T_{RH}} q^{10} K_1(q) dq \right)$$

$$(4.24)$$

where m_{KK} is the mass of the Kaluza-Klein mode, T_0 is the present (neutrino) temperature of the Universe, and T_{RH} is the temperature at which the Universe becomes dominated by radiation. In this equation, the first term represents KK production by thermal processes which occur during this radiation dominated epoch, while the second term represents KK production during an earlier period of reheating. The second integral also depends on T_{MAX} , which is the maximum temperature at which KK modes are produced during the reheating phase. In this section, only the two special cases of $T_{MAX} \sim T_{RH}$ and $T_{MAX} \gg T_{RH}$ will be considered.

The total energy density of Kaluza-Klein modes is obtained by summing over all masses. However as before, at the relevant energy scales the difference between the masses of neighbouring KK modes is small and as such the sum can be replaced with an integral over a d-dimensional sphere. The resulting energy density is

$$\rho_G = \sum_{all \ modes} m_{KK} n_0(m_{KK}) \simeq (1.9 \times 10^{22} \ GeV^4) S_{d-1} \left(\frac{T_{RH}}{M_*}\right)^{d+2} I_d^{(1)}(T_{RH}/T_{max})$$
(4.25)

where

$$I_d^{(\sigma)}(\beta) = \int_0^\infty dz e^{-t_0/\tau_{KK}} \left(z^{d+\sigma} \int_z^\infty dq \ q^3 K_1(q) + 2 \ z^{d+\sigma-7} \int_{\beta z}^z dq \ q^{10} K_1(q) \right)$$
(4.26)

represents the integral over all modes.

The bound derived in this section assumes that these KK modes, which were formed in the early Universe, have become trapped in the gravitational potential of the galaxy. Using the cosmological KK-mode abundance, the distribution of KK modes in the galaxy can then be approximated as

$$\rho_{KK}(r) = \frac{\rho_G}{\rho_{DM,cosmic}} \rho_{DM}(r) \tag{4.27}$$

where $\rho_{DM,cosmic}$ is the total cosmic dark matter abundance, and

$$\rho_{DM}(r) = \rho_0 exp\left(-\frac{2}{\alpha}\left[\left(\frac{r}{r_0}\right)^{\alpha} - 1\right]\right)$$
(4.28)

with $r_0 = 20h^{-1}kpc$ and $0.1 < \alpha < 0.2$, is the galactic dark matter distribution [207]. The constant ρ_0 is determined by requiring $\rho_{DM}(8.5kpc) = 0.3 \ GeV/cm^3$, which is the accepted value of the dark matter density at the Solar system. In this calculation it is assumed that the KK modes are distributed in the halo with the same mass distribution as in the early Universe. In practice the lightest modes are too light to be captured in the halo, however the effect of these missing modes is small.

Using similar methods, the rate of positron production in the Universe can be determined by summing over the partial width for the decay $KK \rightarrow e^+e^-$ of each KK mode,

$$N_{e^+,cosmic} = \sum_{all \ modes} \Gamma_{e^+e^-}(m_{KK}) n_0(m_{KK})$$

$$\simeq (1.9 \times 10^{22} \ GeV^4) S_{d-1} \left(\frac{T_{RH}}{M_*}\right)^{d+2} \frac{T_{RH}^2}{80M_{PL}^2} I_d^{(3)}(T_{RH}/T_{max})$$
(4.29)

and the number density of non-relativistic positrons produced per unit time in the galaxy follows from Eq 4.27,

$$N_{511keV}(r) \simeq N_{e^+,cosmic} \frac{\rho_{DM}(r)}{\rho_{DM,cosmic}}$$

$$\simeq (2.57 \times 10^{-3d-4} \ cm^{-3} s^{-1})(0.85 + 1.49\alpha) S_{d-1} \left(\frac{1000 \ T_{RH}}{M_*}\right)^{d+2}$$

$$\times \left(\frac{T_{RH}}{1 \ MeV}\right)^2 I_d^{(3)}(T_{RH}/T_{MAX}) f_{NR}(m_{max}/T_{RH}) exp\left(-\frac{2}{\alpha} \left[\left(\frac{r}{r_0}\right)^{\alpha} - 1\right]\right)$$
(4.30)

where the integral $I_d^{(3)}$ is given in Eq 4.26, and $f_{NR}(m_{max}/T_{RH})$ is the fraction of Kaluza-Klein modes which are lighter than m_{max} , and which are assumed to decay to non-relativistic positrons. This fraction is plotted in Figure 4.6 for the cases of $T_{MAX} \sim T_{RH}$ and $T_{MAX} \gg T_{RH}$.

As outlined in Ref [78], the resulting flux of 511 $keV \gamma$ -rays at the Solar system can be derived by calculating the line-of-sight integral of this density. However it should be noted that not all positrons contribute to the 511 $keV \gamma$ -ray flux. As outlined in Ref [208, 209, 81] positrons produced in the galaxy can form positronium states with electrons before annihilating. The positronium states only produce 511 keV photons in approximately 25% of the annihilations, while the other states



Figure 4.6: The fraction of Kaluza-Klein modes with mass below m_{max} for the cases of (a) $T_{MAX} \sim T_{RH}$ and (b) $T_{MAX} \gg T_{RH}$.

decay to three photons each with lower energies. By measuring the flux of 511 keV photons relative to lower energy photons, the fraction of positrons bound into positronium states in the galactic core is determined to be $f = 0.967 \pm 0.022$ [210]. As a result, on average each positron produced contributes 2(1 - 0.75f) = 0.55 photons to the 511 keV flux. Therefore the rate of positron production is expected to be a factor of ~ 3.6 larger than would be expected based solely on the 511 keV flux.

As discussed in the introduction to this section, the 511 keV flux has been measured by several experiments. The SPI spectrometer on the INTEGRAL γ -ray observatory measured an azimuthally symmetric distribution of 511 keV photons with FWHM of ~ 8° ± 1°, and total flux $\Phi_{obs} = (1.05 \pm 0.06) \times 10^{-3} ph cm^{-2} s^{-1}$ [13, 197]. Integrating the density in Eq 4.30 over this solid angle with the line of sight integral gives a total predicted flux of

$$\Phi_{th} = (0.86 \times 10^{20-3d} \ cm^{-2} s^{-1})(1.4 - 3.8\alpha) S_{d-1} \left(\frac{1000 \ T_{RH}}{M_*}\right)^{d+2} \times \left(\frac{T_{RH}}{1 \ MeV}\right)^2 f_{NR}(m_{max}/T_{RH}) I_d^{(3)}(T_{RH}/T_{MAX})$$

$$(4.31)$$

Comparing this result with the observed 511 $keV \gamma$ -ray flux constrains the Planck mass,

$$\left(\frac{M_*}{1000 T_{RH}}\right)^{d+2} \gtrsim (0.43 \pm 0.03) \times 10^{23-3d} (1.4 - 3.8\alpha) S_{d-1} \left(\frac{T_{RH}}{1 MeV}\right)^2 \times f_{NR}(m_{max}/T_{RH}) I_d^{(3)}(T_{RH}/T_{MAX})$$

$$(4.32)$$

	d	=2	d=3		d	=4	d=5		d=	=6
$T_{MAX} \gg T_{RH}$										
$\alpha = 0.1$	580	TeV	20	TeV	2.1	TeV	0.43	TeV	0.13	TeV
$\alpha = 0.2$	520	TeV	18	TeV	1.9	TeV	0.39	TeV	0.12	TeV
$T_{MAX} \sim T_{RH}$										
$\alpha = 0.1$	340	TeV	12	TeV	1.2	TeV	0.17	TeV	0.069	TeV
$\alpha = 0.2$	300	TeV	11	TeV	1.1	TeV	0.16	TeV	0.066	TeV

Table 4.8: Limits of sensitivity on M_* (TeV) for different values of α and different dimensions, assuming $T_{RH} = 1 \ MeV$. For larger values of M_* , the positron flux from decaying KK modes is lower than the observed 511 $keV \gamma$ -ray flux.

	d=2		d	d=3		d=4		d=5		=6
$T_{MAX} \gg T_{RH}$										
$\alpha = 0.1$	1.9	nm	30	pm	3.7	pm	1.0	pm	0.45	pm
$\alpha = 0.2$	2.3	nm	35	pm	4.3	pm	1.2	pm	0.50	pm
$T_{MAX} \sim T_{RH}$										
$\alpha = 0.1$	5.5	nm	69	pm	8.6	pm	3.8	pm	1.0	pm
$\alpha = 0.2$	7.0	nm	79	pm	9.7	pm	4.1	pm	1.1	pm

Table 4.9: Limits of sensitivity on the size of extra dimensions, R for different values of α and different dimensions and assuming $T_{RH} = 1 \ MeV$. For smaller values of R, the positron flux from decaying KK modes is lower than the observed 511 $keV \gamma$ -ray flux.

where as before $S_d = 2\pi^{d/2}/\Gamma(d/2)$, and where it is assumed that the contribution from direct production of 511 keV photons is negligible. Although it is possible to produce a flux of γ -rays in this energy range through direct production, the effect is expected to be small and will not significantly alter the constraints given.

The corresponding bounds on the Planck mass and the size of the extra dimensions are given in Table 4.8 and Table 4.9 respectively. In each bound, it is assumed that $T_{RH} \sim 1 \ MeV$, although recent data from the CMB and from large scale structure suggest $T_{RH} > 4 \ MeV$ for most models [196]. If this stronger temper-

	d=2		d	d=3		d=4		d=5		=6
$T_{MAX} \gg T_{RH}$										
$\alpha = 0.1$	4600	TeV	140	TeV	13	TeV	2.6	TeV	0.74	TeV
$\alpha = 0.2$	4200	TeV	130	TeV	12	TeV	2.3	TeV	0.68	TeV
$T_{MAX} \sim T_{RH}$										
$\alpha = 0.1$	2700	TeV	83	TeV	7.8	TeV	1.0	TeV	0.39	TeV
$\alpha = 0.2$	2400	TeV	77	TeV	7.0	TeV	0.95	TeV	0.37	TeV

Table 4.10: Limits of sensitivity on M_* (TeV) for different values of α and different dimensions, assuming $T_{RH} \sim 4 \ MeV$ and $f_{NR} \approx 1$.

	d=2		d=3		d=4		d=5		d=6	
$T_{MAX} \gg T_{RH}$										
$\alpha = 0.1$	30	pm	1.2	pm	0.23	pm	0.082	pm	0.045	pm
$\alpha = 0.2$	36	pm	1.4	pm	0.27	pm	0.098	pm	0.050	pm
$T_{MAX} \sim T_{RH}$										
$\alpha = 0.1$	86	pm	2.7	pm	0.54	pm	0.31	pm	0.10	pm
$\alpha = 0.2$	110	pm	3.1	pm	0.61	pm	0.34	pm	0.11	pm

Table 4.11: Limits of sensitivity on the size of extra dimensions, R for different values of α and different dimensions assuming $T_{RH} \sim 4 \ MeV$ and $f_{NR} \approx 1$.

ature bound is used, then each of the constraints on M_* is improved by a factor of $4^{(d+4)/(d+2)}$. The corresponding bounds⁸ in this case are given in Table 4.10 and Table 4.11 respectively.

It is also assumed that the mass at which decaying modes no longer produce nonrelativistic positrons is sufficiently high as to not affect this bound. The production of nonrelativistic positrons requires $m_{max} \leq O(200 \ MeV)$ [41]. Limits on γ -ray production by bremsstrahlung processes suggest $m_{max} \leq 40 \ MeV$ [80] or $m_{max} \leq$ 6 MeV [81]. If these bounds are used as a mass cut-off, the resulting constraints

⁸It should be noted that for $T_{RH} \ge 4 \ MeV$, a significant fraction of the produced positrons have energies of order $O(100 \ MeV)$, and therefore may remain relativistic. This introduces a level of uncertainty into these constraints.

on the ADD model are weaker than from other astrophysics experiments. However it should be noted that these bounds assume all positrons are created with uniform energy. In this model, the positrons have a range of energies corresponding to the range of KK-mode masses, and as such m_{max} can be larger without violating these bounds. As indicated in Figure 4.6, m_{max}/T_{RH} can be as low as ~ 40 without significantly weakening the constraints.

It should also be noted that these bounds are based on several assumptions. First, there is an assumption that the relative abundance of KK modes of different masses is the same in the galaxy as in the early Universe. There are also uncertainties in the dark matter halo profile of the galaxy, which can affect the observed flux, although this variation is small for most reasonable profiles and parameters. It is also assumed that the positrons annihilate within a short distance compared to the scale of the galactic center. This diffusion process is not completely understood, and if the positrons travel further then the observed flux would have a wider angular distribution which could improve these bounds [80]. It is also assumed that all annihilating positrons produce 511 keV photons (or lower energy γ -rays from the decays of positronium states.). However if a significant fraction of the positrons annihilate at higher energies, these photons would not be counted in the observed 511 keV flux. This would result in less stringent constraints on the number of positrons, and would weaken the bounds given in Table 4.8 and Table 4.9, while improving bounds obtained from considering the entire γ -ray spectrum.

From Table 4.8, it is apparent that the accumulated KK modes in the galactic core provide a strong bound on the size of the extra dimensions in the ADD model⁹, as

⁹Although the ADD model is considered in this section, it is expected that other models of extra dimensions can be constrained in this manner as well.

well as providing an explanation for the source of the observed population of galactic positrons. When these modes do decay, they inject photons and positrons into the galaxy which could be observed by existing experiments. As an example, I derived the non-relativistic positron density in the galaxy which is produced by the decay of light KK-modes. By requiring the flux of 511 keV photons produced by the subsequent annihilations of the positrons to be lower than the observed flux, constraints can be placed on the properties of extra dimensions.

In summary, Kaluza-Klein gravitons which were produced in the early Universe can still exist in the present. Furthermore, these particles can accumulate in the galactic halo, leading to a high density in the galactic core. If KK modes heavier than ~ 40 MeV can exist in the galaxy with a significant abundance and can produce nonrelativistic positrons, then the bounds from galactic positron production are significantly stronger than the bounds from collider experiments for $d \leq 4$, and are comparable to the bounds from the extragalactic γ -ray background and other astrophysics experiments for all dimensions.

4.4 Conclusions

The possible existence of extra dimensions has been studied for almost a century, with several models proposed to explain a variety of phenomena both within the Standard Model and beyond the Standard Model. The simplest of these is the addition of a number of non-warped space-like dimensions with the topology of a torus, referred to as the ADD model.

In this chapter, I have reviewed the existing bounds on the ADD model from

collider searches, from the γ -ray background, and from the properties of astrophysical objects such as supernovae and neutron stars. For d = 2, 3, 4 the bounds from neutron stars are the strongest constraints on the ADD model. For higher dimensions of d = 5, 6, astrophysics experiments have provided constraints, but the next generation of high energy colliders such as the LHC are expected to be able to probe regions of parameter space which are not yet constrained.

I then demonstrated how the existing bounds could be improved upon using Big Bang nucleosynthesis, as originally published by myself and collaborators in Ref [193], and using the 511 $keV \gamma$ -ray flux from the galactic core, which has not been previously published.

In the first case, decaying inflatons lose a fraction of their energy to the production of Kaluza-Klein gravitons. The energy stored in these gravitons is then released later in the evolution of the Universe, which effectively reheats the Universe. For KK gravitons with masses in the range of $m_{gr} \sim 80 \ GeV$, this reheating occurs before the completion of Big Bang nucleosynthesis and alters the predicted abundance of light nuclei. Since these abundances are tightly constrained by observations, the properties of the extra dimensions are also constrained, although these constraints are dependent on the mass of the inflaton . For $d \geq 4$ these bounds are stronger than previous constraints. However it is expected that the LHC will be able to probe the case of d = 6 up to $M_* \sim 6 \ TeV$, which could improve upon the nucleosynthesis bounds of $M_* \gtrsim 3 \ TeV$.

In the second case, the effects of Kaluza-Klein gravitons in the modern Universe were studied. These particles are produced thermally in the early Universe, and survive long enough to get trapped in the gravitational well of the galaxy. They then decay to γ -rays and to electron-positron pairs, which subsequently annihilate to 511 keV γ -rays, producing a flux of γ -rays at our solar system. Using existing measurements of this flux from the INTEGRAL experiment, the properties of the KK gravitons can be constrained, and in turn the properties of the extra dimensions can be constrained. If the reheat temperature is $T_{RH} \sim 1 \ MeV$, then the resulting bounds are comparable to the bounds derived from other astrophysics experiments. In models with a higher reheat temperature, the constraints from the 511 keV γ -ray flux are expected to be stronger than other astrophysics constraints for d = 2 and are expected to be comparable to the constraints from the γ -ray background for $d \geq 3$. However these bounds are dependent on the energy loss of positrons in the galactic core, and therefore contain a level of uncertainty.

Although these two methods of probing extra dimensions have been applied to a specific model, it is expected that they can be generalized to other models. It is also clear from these results and from the previous constraints that astrophysics experiments are able to constrain models of extra dimensions better than colliders and other terrestrial experiments for a large extra dimensions with d = 2, 3, 4, and are expected to be competitive with colliders for d = 5, 6.
Chapter 5

Conclusions

In spite of its many successes, it is clear that the Standard Model cannot describe all aspects of nature. Aside from the lack of a valid theory of quantum gravity, astrophysics experiments have determined that $\sim 96\%$ of the energy content of the Universe is unexplained. There also remain questions about why the Standard Model forces are several orders of magnitude stronger than gravity, why the cosmological constant is so small, and several other unexplained phenomena. Terrestrial experiments have searched for signs of new physics, but as yet have been unsuccessful.

In this dissertation, I have demonstrated how new theories can be probed using remnants of the early Universe. In the first few minutes, the Universe contained ultra-high energy particles and fields, and therefore any new theories are expected to contribute to its evolution. It is expected that some of these effects left signatures that could be detected in the present. Some examples have been reviewed in this dissertation, and in several cases the constraints imposed by examining the early Universe have been shown to be stronger than the corresponding constraints from terrestrial experiments.

In the Chapter 2, I reviewed the motivations for introducing dark matter as well as the current experimental limits on dark matter. In particular, I introduced a series of minimal models in which only a minimum amount of new physics is introduced. In each model, I applied existing experimental constraints from dedicated searches, from high energy colliders, and from astrophysical experiments, to demonstrate their properties. These minimal models are generic, and therefore these results can be applied to a larger class of dark matter models.

In addition to existing constraints, I have shown that for sufficiently light particles, which can exist in several of the minimal models, it is possible to produce the correct dark matter abundance while also providing observable effects in B-meson decays. I also showed that light dark matter models can exist which are not constrained by any present experiments, including B-meson decays. These results are general, and can be used to probe or constrain most models of light dark matter.

In Chapter 3, the possibility of long lived charged relics was presented. In particular, I have shown that charged particles present during Big Bang nucleosynthesis could form bound states and catalyze the standard nuclear reactions. This catalysis serves to create ⁶Li while destroying ⁷Li , and in a certain region of parameter space can explain both the observed ⁶Li abundance and the observed suppression of the primordial ⁷Li abundance relative to the standard BBN model. Through the use of CBBN, bounds can be placed on models of heavy charged relics.

In the Chapter 4, the motivations for introducing additional spacetime dimensions were reviewed along with the existing experimental constraints from colliders and from astrophysical experiments. I demonstrated that the effects of extra dimensions could affect nucleosynthesis in the early universe and derived constraints on both the size of the higher dimensions and on the higher dimensional Planck mass. For the case of $d \ge 4$ these bounds are stronger than the previous astrophysics and collider bounds on the ADD model. Also in this chapter, I showed how the presence of excited Kaluza-Klein states in the galactic core and their subsequent decays could be used to explain the 511 keV γ -ray line. By requiring the flux from KK-graviton decay not exceed the observed flux, I was able to add additional constraints the ADD model.

In conclusion, in this dissertation I have demonstrated how three different types of new physical theories -neutral particles, charged particles, and extra dimensions - can be studied and constrained using their effects on the early Universe. For each model, existing experimental data was used to apply new constraints and in most cases the limits from astrophysics and cosmology were comparable to or stronger than the limits from terrestrial experiments, thus proving that the early Universe provides a viable probe of new physics.

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